PhD course on View-based query processing

## **Data integration – lecture 2**

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- 1. Introduction to view-based query processing [Lenzerini]
- 2. Conjunctive query evaluation [Gottlob]
- 3. Data exchange [Gottlob]
- 4. Data integration [De Giacomo, Rosati]
- 5. Data integration through ontologies [De Giacomo]
- 6. View-based query processing over semistructured data [Calvanese]
- 7. Reasoning about views [Lenzerini]

- the role of global integrity constraints
- inclusion dependencies
- query reformulation under inclusion dependencies
  - chase
  - canonical model
  - query rewriting algorithm
- key dependencies
- decidability and separation

- integrity constraints (ICs) posed over the global schema
- specify intensional knowledge about the domain of interest
- add semantics to the information
- but: data in the sources can conflict with global integrity constraints
- the presence of global integrity constraints rises semantic and computational problems
- open research problems

Most important ICs for the relational model:

- key dependencies (KDs)
- functional dependencies (FDs)
- inclusion dependencies (IDs)
- foreign keys (FKs)
- exclusion dependencies (EDs)

- an ID states that the presence of a tuple in a relation implies the presence of a tuple in another relation where t' contains a projection of the values contained in t
- syntax:  $r[i_1, \ldots, i_k] \subseteq s[j_1, \ldots, j_k]$
- ullet e.g., the ID  $r[1]\subseteq s[2]$

corresponds to the FOL sentence

$$\forall x, y, z \, . \, r(x, y, z) \to \exists x', z' \, . \, s(x', x, z')$$

• IDs are a special form of tuple-generating dependencies

# Semantics for GAV systems under integrity constraints

We refer only to databases over a fixed infinite domain  $\Gamma$ .

Given a source database C for a system I, a global database B is **legal** for (I, C) if:

- 1. it satisfies the ICs on the global schema
- 2. it satisfies the mapping, i.e.  $\mathcal{B}$  is constituted by a **superset** of the **retrieved global database**  $ret(\mathcal{I}, \mathcal{C})$
- $ret(\mathcal{I}, \mathcal{C})$  is obtained by evaluating, for each relation in  $\mathcal{G}$ , the mapping queries over the source database
- assumption of **sound mapping** (open-world assumption)

- we are interested in certain answers
- a tuple t is a certain answer for a query Q if t is in the answer to Q for all (possibly infinite) legal databases for (I, C)
- the certain answers to Q are denoted by  $cert(Q, \mathcal{I}, \mathcal{C})$

Global schema: player(Pname, YOB, Pteam) team(Tname, Tcity, Tleader)

**Constraints**: team[Tleader, Tname]  $\subseteq$  player[Pname, Pteam]

Mapping:player
$$\rightsquigarrow$$
 $\begin{cases} player(X, Y, Z) \leftarrow s_1(X, Y, Z) \\ player(X, Y, Z) \leftarrow s_3(X, Y, Z) \end{cases}$ team $\rightsquigarrow$ team $(X, Y, Z) \leftarrow s_2(X, Y, Z)$ 

Source database  ${\cal C}$ 



Retrieved global database  $ret(\mathcal{I}, \mathcal{C})$ 





All legal global databases for  $\mathcal{I}$  have **at least** the tuples shown above, where  $\alpha$  is some value of the domain  $\Gamma$ .



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Warning 1 there may be an infinite number of legal databases for  ${\cal I}$ 

Warning 2 in case of cyclic IDs, legal databases for  $\mathcal{I}$  may be of infinite size



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Consider the query  $q(X, Z) \leftarrow player(X, Y, Z)$ :



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Consider the query  $q(X, Z) \leftarrow player(X, Y, Z)$ :

 $cert(q, \mathcal{I}, \mathcal{C}) = \{ \langle \mathsf{Totti}, \mathsf{Roma} \rangle, \langle \mathsf{Vieri}, \mathsf{Inter} \rangle, \langle \mathsf{Del} \mathsf{Piero}, \mathsf{Juve} \rangle \}$ 

# **Query processing under inclusion dependencies**

- intuitive strategy: add new facts until IDs are satisfied
- problem: infinite construction in the presence of cyclic IDs
- example 1:  $r[2] \subseteq r[1]$ suppose  $ret(\mathcal{I}, \mathcal{C}) = \{r(a, b)\}$ 1) add  $r(b, c_1)$ 2) add  $r(c_1, c_2)$ 3) add  $r(c_2, c_3)$

(infinite construction)

. . . .

• example 2:  $r[1] \subseteq s[1], \quad s[2] \subseteq r[1]$ suppose  $ret(\mathcal{I}, \mathcal{C}) = \{r(a, b)\}$ 1) add  $s(a, c_1)$ 2) add  $r(c_1, c_2)$ 3) add  $s(c_1, c_3)$ 4) add  $r(c_3, c_4)$ 5) add  $s(c_3, c_5)$ 

(infinite construction)

- chase of a database: exhaustive application of a set of rules that transform the database, in order to make the database consistent with a set of integrity constraints
- the chase for IDs has only one rule, the ID-chase rule

- if the schema contains the ID  $r[i_1, \ldots, i_k] \subseteq s[j_1, \ldots, j_k]$ and there is a fact in  $\mathcal{DB}$  of the form  $r(a_1, \ldots, a_n)$ and there are no facts in  $\mathcal{DB}$  of the form  $s(b_1, \ldots, b_m)$ such that  $a_{i_\ell} = b_{j_\ell}$  for each  $\ell \in \{1, \ldots, k\}$ , then add to  $\mathcal{DB}$  the fact  $s(c_1, \ldots, c_m)$ , where for each h such that  $1 \leq h \leq m$ , if  $h = j_\ell$  for some  $\ell$  then  $c_h = a_{i_\ell}$ otherwise  $c_h$  is a new constant symbol (not occurring already in  $\mathcal{DB}$ )
- notice: new existential symbols are introduced (skolem terms)

- bad news: the chase is in general infinite
- good news: the chase identifies a canonical model
- canonical model = a database that "represents" of all the models of the system
- we can use the chase to prove soundness and completeness of a query processing method
- but: only for positive queries!

### **Query processing under inclusion dependencies**

why don't we use a finite number of existential constants in the chase?

example: 
$$r[1] \subseteq s[1]$$
,  $s[2] \subseteq r[1]$ 

suppose  $ret(\mathcal{I}, \mathcal{C}) = \{r(a, b)\}$ 

compute  $chase(ret(\mathcal{I}, \mathcal{C}))$  with only one new constant  $c_1$ :

0) r(a, b); 1) add  $s(a, c_1)$ ; 2) add  $r(c_1, c_1)$ ; 3) add  $s(c_1, c_1)$ this database is **not** a canonical model for  $(\mathcal{I}, \mathcal{C})$ 

- e.g., for the query q(X) := r(X, Y), s(Y, Y):  $a \in q^{chase(ret(\mathcal{I}, \mathcal{C}))}$  while  $a \notin cert(q, \mathcal{I}, \mathcal{C})$
- $\Rightarrow$  unsound method!

(and is unsound for **any** finite number of new constants)

- basic idea: let's chase the query, not the data!
- query chase: dual notion of database chase
- IDs are applied from right to left
- advantage: much easier termination conditions! which imply:
  - decidability properties
  - efficiency

Given a user query Q over  ${\mathcal G}$ 

- $\bullet\,$  we look for a rewriting R of Q expressed over  ${\cal S}\,$
- a rewriting R is perfect if  $R^{\mathcal{C}} = cert(Q, \mathcal{I}, \mathcal{C})$  for every source database  $\mathcal{C}$ .

With a perfect rewriting, we can do **query answering by rewriting** 

Note that we avoid the construction of the retrieved global database  $ret(\mathcal{I},\mathcal{C})$ 

**Intuition:** Use the IDs as basic rewriting rules

 $\mathsf{q}(X,Z) \leftarrow \mathsf{player}(X,Y,Z)$ 

 $\mathsf{team}[\mathit{Tleader}, \mathit{Tname}] \subseteq \mathsf{player}[\mathit{Pname}, \mathit{Pteam}]$ as a logic rule:  $\mathsf{player}(W_3, W_4, W_1) \leftarrow \mathsf{team}(W_1, W_2, W_3)$  Intuition: Use the IDs as basic rewriting rules

 $\mathsf{q}(X,Z) \leftarrow \mathsf{player}(X,Y,Z)$ 

 $team[Tleader, Tname] \subseteq player[Pname, Pteam]$ 

as a logic rule: player $(W_3, W_4, W_1) \leftarrow \text{team}(W_1, W_2, W_3)$ 

#### **Basic rewriting step:**

when the atom unifies with the head of the rule

**substitute** the atom with the **body** of the rule

We add to the rewriting the query

$$\mathsf{q}(X,Z) \ \leftarrow \ \mathsf{team}(Z,Y,X)$$

Iterative execution of:

- 1. **reduction:** atoms that unify with other atoms are eliminated and the unification is applied
- 2. basic rewriting step

**Input:** relational schema  $\Psi$ , set of IDs  $\Sigma_I$ , UCQ Q**Output:** perfect rewriting of QQ' := Q;repeat  $Q_{aux} := Q';$ for each  $q \in Q_{aux}$  do (a) for each  $g_1, g_2 \in body(q)$  do if  $g_1$  and  $g_2$  unify then  $Q' := Q' \cup \{\tau(reduce(q, g_1, g_2))\};$ (b) for each  $g \in body(q)$  do for each  $I \in \Sigma_I$  do if I is applicable to g then  $Q' := Q' \cup \{ q[g/gr(g, I)] \}$ until  $Q_{aux} = Q'$ ; return Q'

- ID-rewrite terminates
- ID-rewrite produces a perfect rewriting of the input query
- more precisely:
  - $unf_{\mathcal{M}}(q)$  = unfolding of the query q w.r.t. the GAV mapping  $\mathcal{M}$
- $\bullet$  Theorem:  $unf_{\mathcal{M}}(\mathrm{ID\text{-}rewrite}(q))$  is a perfect rewriting of the query q
- **Theorem:** query answering in GAV systems under IDs is in PTIME in data complexity (actually in LOGSPACE)

- a KD states that a set of attributes functionally determines all the relation attributes
- syntax:  $key(r) = \{i_1, ..., i_k\}$
- e.g., the KD  $key(r) = \{1\}$  corresponds to the FOL sentence

 $\forall x, y, y', z, z'.r(x, y, z) \land r(x, y', z') \to y = y' \land z = z'$ 

- KDs are a special form of equality-generating dependencies
- we assume that **only one key** is specified on every relation

- possibility of inconsistencies (recall the **sound** mapping)
- when  $ret(\mathcal{I}, \mathcal{C})$  violates the KDs, no legal database exists and query answering becomes trivial!

**Theorem:** Query answering under IDs and KDs is undecidable.

**Proof:** by reduction from implication of IDs and KDs.

Non-key-conflicting IDs (NKCIDs) are of the form

$$r_1[\mathbf{A}_1] \subseteq r_2[\mathbf{A}_2]$$

where  $\mathbf{A}_2$  is **not** a strict superset of  $key(r_2)$ 

Theorem (IDs-KDs separation): Under KDs and NKCIDs:

if  $ret(\mathcal{I},\mathcal{C})$  satisfies the KDs

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foreign keys (FKs) are a special case of NKCIDs

## Query processing under separable KDs and IDs

- global algorithm:
  - 1. verify consistency of  $ret(\mathcal{I},\mathcal{C})$  with respect to KDs
  - 2. compute ID-rewrite of the input query
  - 3. unfold the query computed at previous step
  - 4. evaluate the query over the sources
- the KD consistency check can be done by suitable CQs with inequality
- (exercise: choose a key dependency and write a query that checks consistency with respect to such a key)
- computation of  $ret(\mathcal{I}, \mathcal{C})$  can be avoided (by unfolding the queries for the KD consistency check)

relation: player[Pname, Pteam] key dependency:  $key(player) = \{Pname\}$ 

KD (in)consistency query:

 $q() \ \coloneqq \ \mathsf{player}(X,Y), \mathsf{player}(X,Z), Y \neq Z$ 

q true iff the instance of player violates the key dependency

mapping:  

$$\begin{aligned} & \text{player}(X,Y) \leftarrow \mathsf{s}_1(X,Y) \\ & \text{player}(X,Y) \leftarrow \mathsf{s}_2(X,Y) \end{aligned} \\ & q' = \text{unfolding of } q: \end{aligned} \\ & q'() = \mathsf{s}_1(X,Y), \mathsf{s}_1(X,Z), Y \neq Z \lor \end{aligned}$$

$$\mathbf{s}_{1}(X,Y), \mathbf{s}_{2}(X,Z), Y \neq Z \lor$$
$$\mathbf{s}_{2}(X,Y), \mathbf{s}_{1}(X,Z), Y \neq Z \lor$$
$$\mathbf{s}_{2}(X,Y), \mathbf{s}_{2}(X,Z), Y \neq Z$$

Computational characterization:

• **Theorem:** query answering in GAV systems under KDs and NKCIDs is in PTIME in data complexity (actually in LOGSPACE)

## Information integration under integrity constraints

- the above algorithms are applicable in information integration systems with GAV mappings and (separable) KDs and IDs
- what happens in the presence of LAV mappings?
- what happens in the presence of other integrity constraints (exclusion dependencies)?
- see next lecture

- ID are "repaired" by the sound semantics
- KD violations are NOT repaired
- need for a more "tolerant" semantics
- see next lecture

- under KDs and FKs, can we go beyond CQs?
- union of CQs (UCQs): YES

 $\mathsf{ID}$ -rewrite $(q_1 \lor \ldots \lor q_n) = \mathsf{ID}$ -rewrite $(q_1) \lor \ldots \lor \mathsf{ID}$ -rewrite $(q_n)$ 

- recursive queries: NO
- answering recursive queries under KDs and FKs is undecidable
   [Calvanese & Rosati, 2003]
- (same undecidability result holds in the presence of IDs only)