

# **Computing Cores for Data Exchange**

## **New Algorithms and Practical Solutions**



**Georg Gottlob**  
**TU Wien**

# Talk Structure



## Brief introduction

## General core computation (no dependencies)

- Core computation via hypertree decompositions  $O(n^{b+3}) \rightarrow O(n^{\underline{b/2}+2})$
- Fixed-Parameter Intractability w.r.t. blocksize b

## Computing cores in presence of dependencies (TGDs & EGDs)

- A tractable class: Simple TGDs + arbitrary EGDs
- Another tractable class: Full TGDs + arbitrary EGDs
- NP-complete problem variations

# Cores

Instance:

{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) }

Logical meaning

$\exists X, Y, U, V:$

$p(X,Y) \ \& \ p(X,b) \ \& \ p(a,b) \ \& \ p(U,c) \ \& \ p(U,V) \ \& \ q(a,c,d)$

# Cores

endomorphism  $h: \{Y \rightarrow b\}$

$$I = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$
$$\{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$

# Cores

endomorphism  $h: \{Y \rightarrow b\}$

$$I = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$

$$\{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$

REDUNDANT!

$$\exists X, Y \ p(X,Y) \ \& \ P(X,b)$$

$\uparrow\downarrow$

$$\exists X \ p(X,b)$$

# Cores

endomorphism  $h: \{Y \rightarrow b\}$

$$I = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$

$$\{ \cancel{p(X,Y)}, p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$

REDUNDANT!

$$\exists X, Y \ p(X, Y)$$



$$\exists X \ p(X, b)$$

# Cores

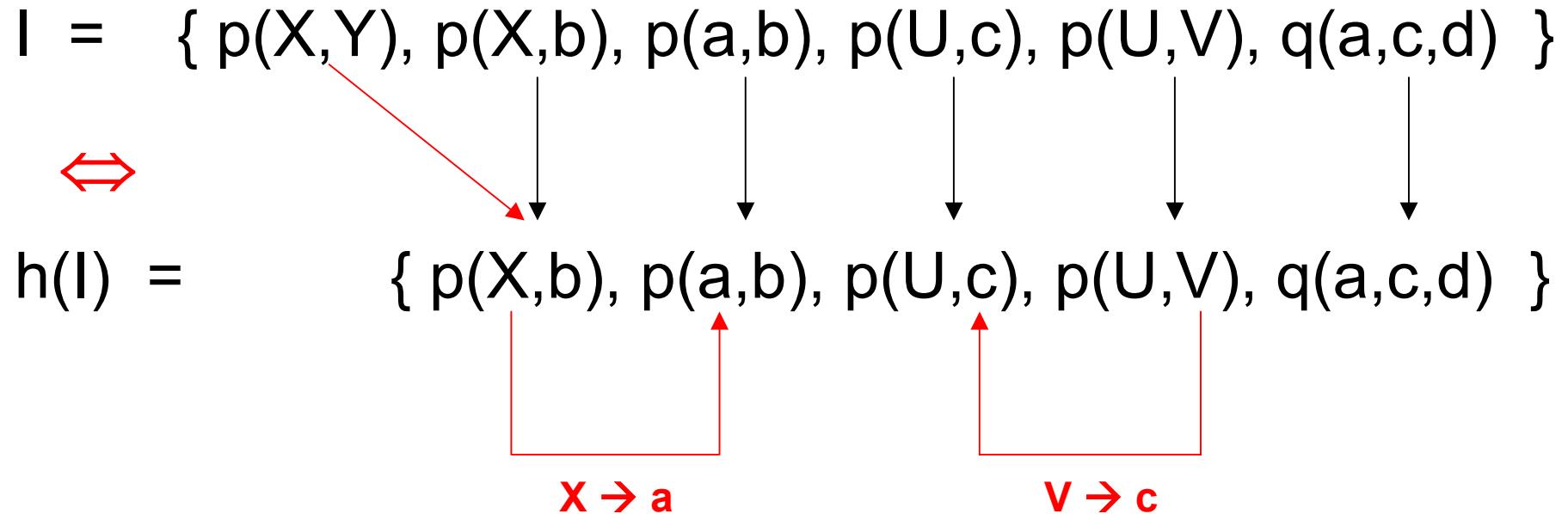
endomorphism  $h: \{Y \rightarrow b\}$

$$\begin{array}{lcl} I = & \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ h(I) = & \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \end{array}$$

$\iff$

# Cores

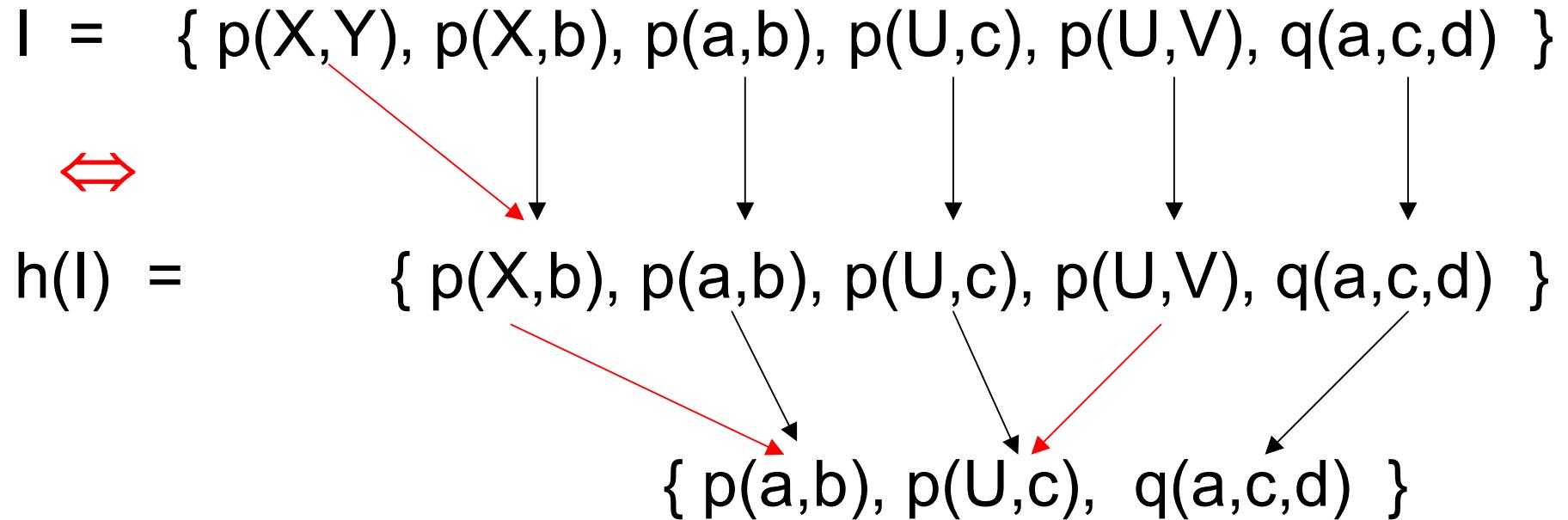
endomorphism  $h: \{Y \rightarrow b\}$



$h(I)$  can be further reduced by endomorphism  $g: \{X \rightarrow a, V \rightarrow c\}$

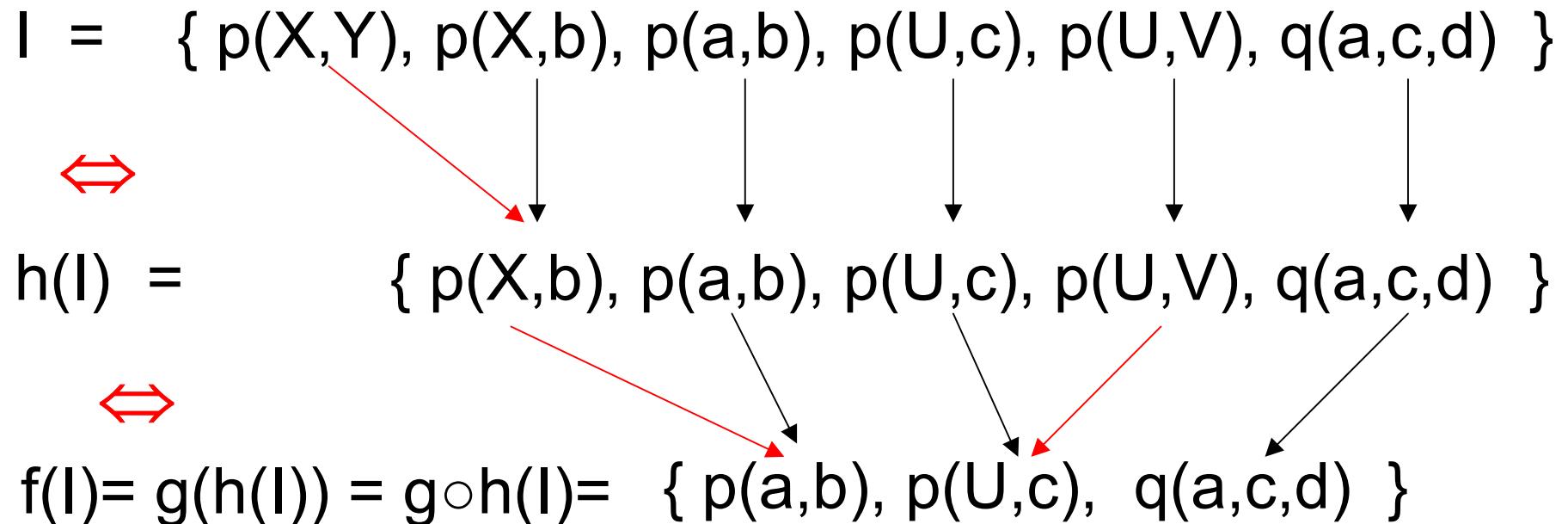
# Cores

endomorphism  $h: \{Y \rightarrow b\}$



$h(I)$  can be further reduced by endomorphism  $g: \{X \rightarrow a, V \rightarrow c\}$

# Cores



**endomorphism  $f$ :**  $\{X \rightarrow a, Y \rightarrow b, V \rightarrow c\}$

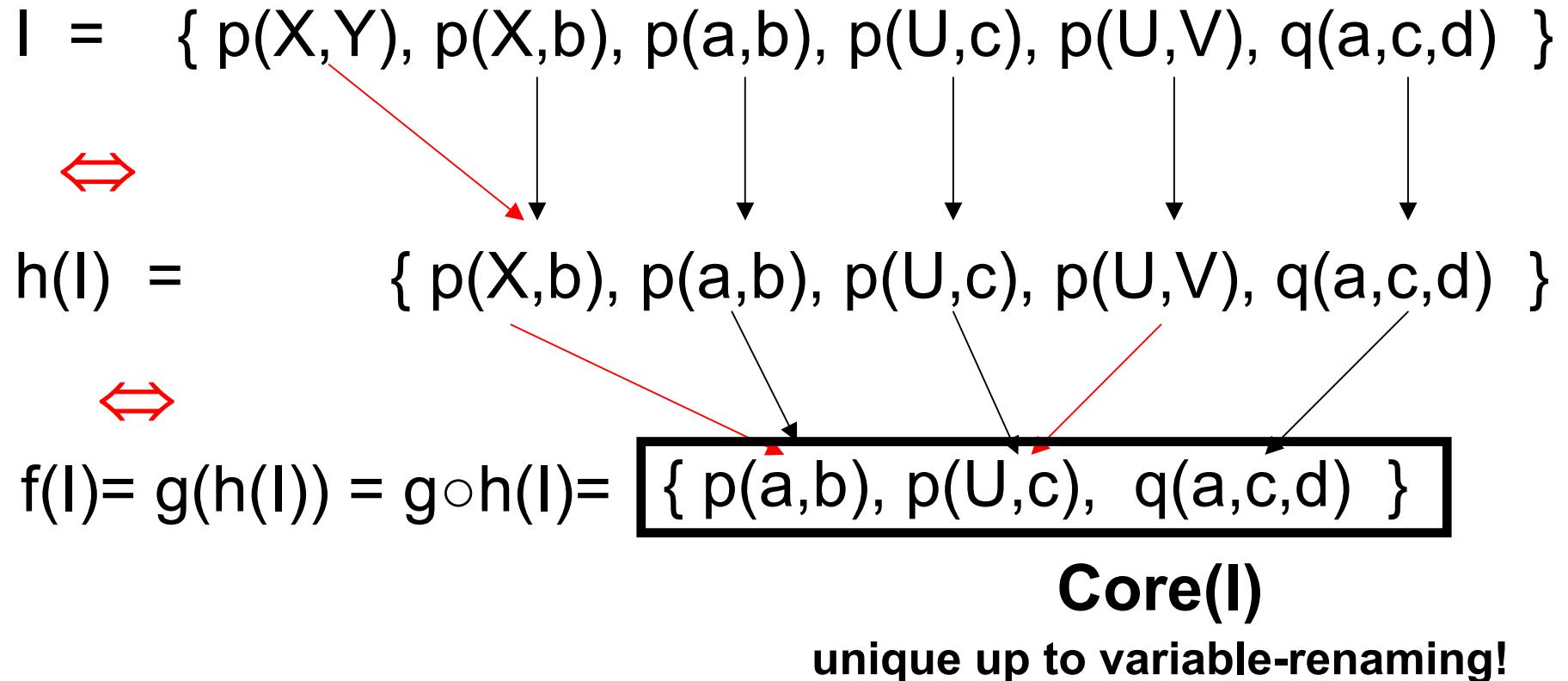
# Cores

$$\begin{aligned} I &= \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ &\iff h(I) = \{ p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \} \\ &\iff f(I) = g(h(I)) = g \circ h(I) = \{ p(a,b), p(U,c), q(a,c,d) \} \end{aligned}$$

no refinement by endomorphisms possible !

endomorphism  $f: \{X \rightarrow a, Y \rightarrow b, V \rightarrow c\}$

# Cores



endomorphism  $f: \{X \rightarrow a, Y \rightarrow b, V \rightarrow c\}$

# Blocks

I = { p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) }

Blocks: Connected components in the variable-graph

Atom-Blocks: corresponding sets of atoms

# Blocks

$I = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$

$\{X,Y\}$

$\{U,V\}$      $\text{blocksize}(I)=2$

Blocks: Connected components in the variable-graph

Atom-Blocks: corresponding sets of atoms

$\text{blocksize}(I) =$  size of largest block of  $I$

Computing  $\text{core}(\mathcal{I})$  is NP-hard in general.

It is tractable for bounded blocksize  
[Fagin, Kolaitis, Popa]

We can use a suitable adaptation of  
the DC algorithm [G.,Leitsch 85]

# Core Computation

$$I = \{ p(X,Y), p(X,b), p(a,b), p(U,c), p(U,V), q(a,c,d) \}$$

[Fagin et al]: Compute  $\text{Core}(I)$  by successively  
finding and applying  
useful local endomorphisms

*useful*: at least 1 variable disappears  
*local*: identity, except for 1 block

**Can be regarded as a series of query-containment checks**  
 $I$  contains  $J$ ,  $I \triangleright J$  iff  $\exists$  homomorphism  $h: h(I) \subseteq J$

# Algorithm CORECOMP

INPUT: An instance I

OUTPUT: Core(I)

1. Identify the blocks of I;  $B(X)$  denotes block of  $X$  in I;
2.  $K := I$ ;
3. FOR each  $X \in \text{var}(I)$  DO  
    IF  $X \in \text{var}(K)$  AND  $K[B(X)] \triangleright (K - \text{atoms}(X, K))$   
    THEN  $K := K - \text{atoms}(X, K)$ ;
4. Output K.

# Algorithm CORECOMP

INPUT: An instance I

OUTPUT: Core(I)

1. Identify the blocks of I;  $B(X)$  denotes block of X in I;
2.  $K := I$ ;
3. FOR each  $X \in \text{var}(I)$  DO  
    IF  $X \in \text{var}(K)$  AND  $K[B(X)] \triangleright (K - \text{atoms}(X, K))$   
    THEN  $K := K - \text{atoms}(X, K)$ ;
4. Output K.

blocksize=b

$\leq b$  variables

Essentially  $|\text{var}(I)|$  block containment tests

Each takes  $O(n^{b+2})$  steps.  $\rightarrow$  runtime  $O(n^{b+3})$

# Algorithm CORECOMP

INPUT: An instance I

OUTPUT: Core(I)

1. Identify the blocks of I;  $B(X)$  denotes block of X in I;
2.  $K := I$ ;
3. FOR each  $X \in \text{var}(I)$  DO  
    IF  $X \in \text{var}(K)$  AND  $K[B(X)] \triangleright (K - \text{atoms}(X, K))$   
    THEN  $K := K - \text{atoms}(X, K)$ ;
4. Output K.

blocksize=b

$\leq b$  variables

Essentially  $|\text{var}(I)|$  block containment tests

Each takes  $O(n^{b+2})$  steps. → runtime  $O(n^{b+3})$   
**we improve this bound**

# THEOREM:

Let  $Q$  and  $Q'$  be conjunctive queries.

Checking  $Q \triangleright Q'$  can be done in time

$$O(|Q| n^{b/2 + 1})$$

where  $b = |\text{var}(Q)|$

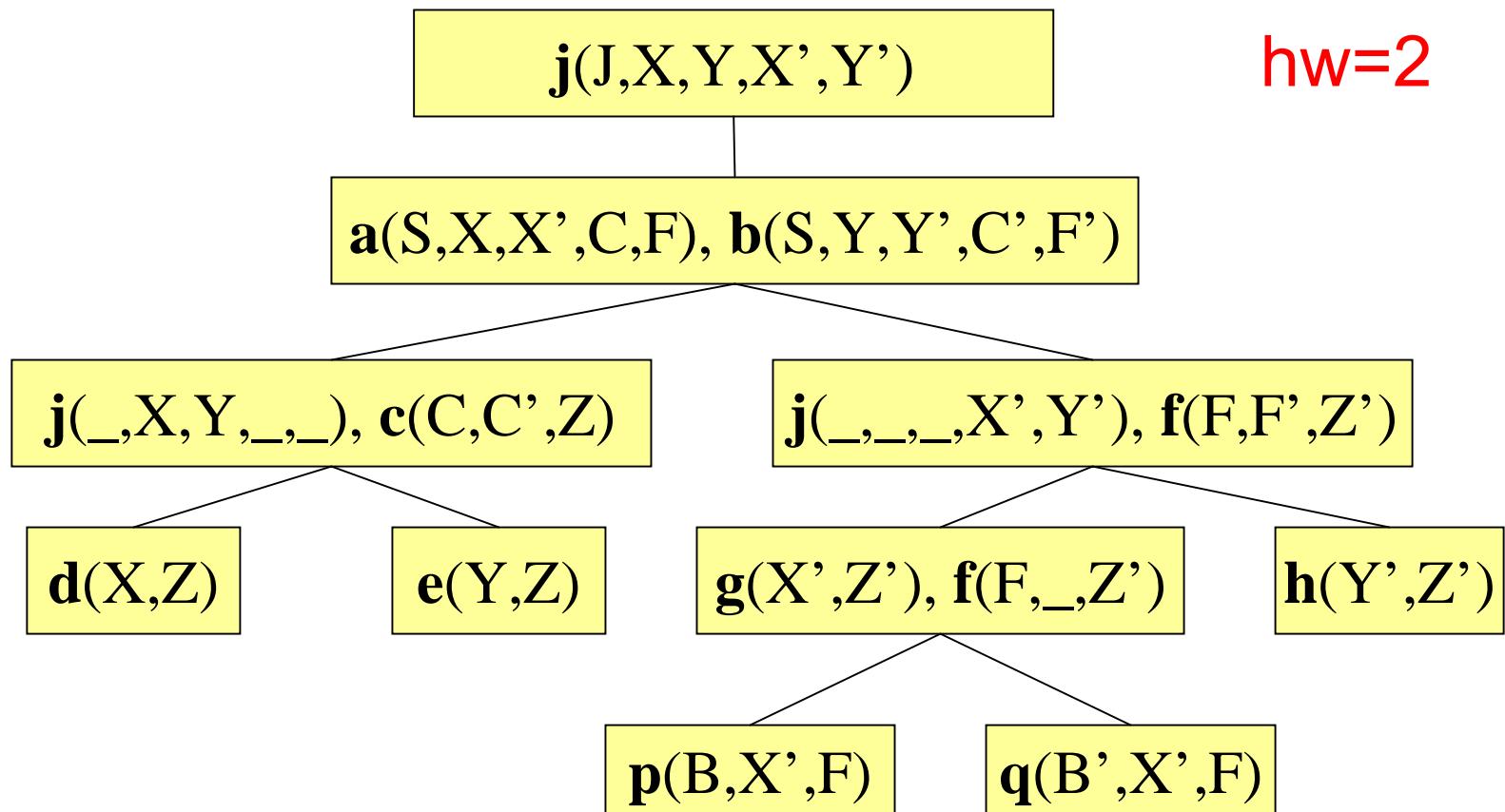
It is well-known that checking whether  $Q \triangleright Q'$  is equivalent to evaluate the Boolean query  $Q$  over  $\text{can}(Q')$

# **Proof (idea).**

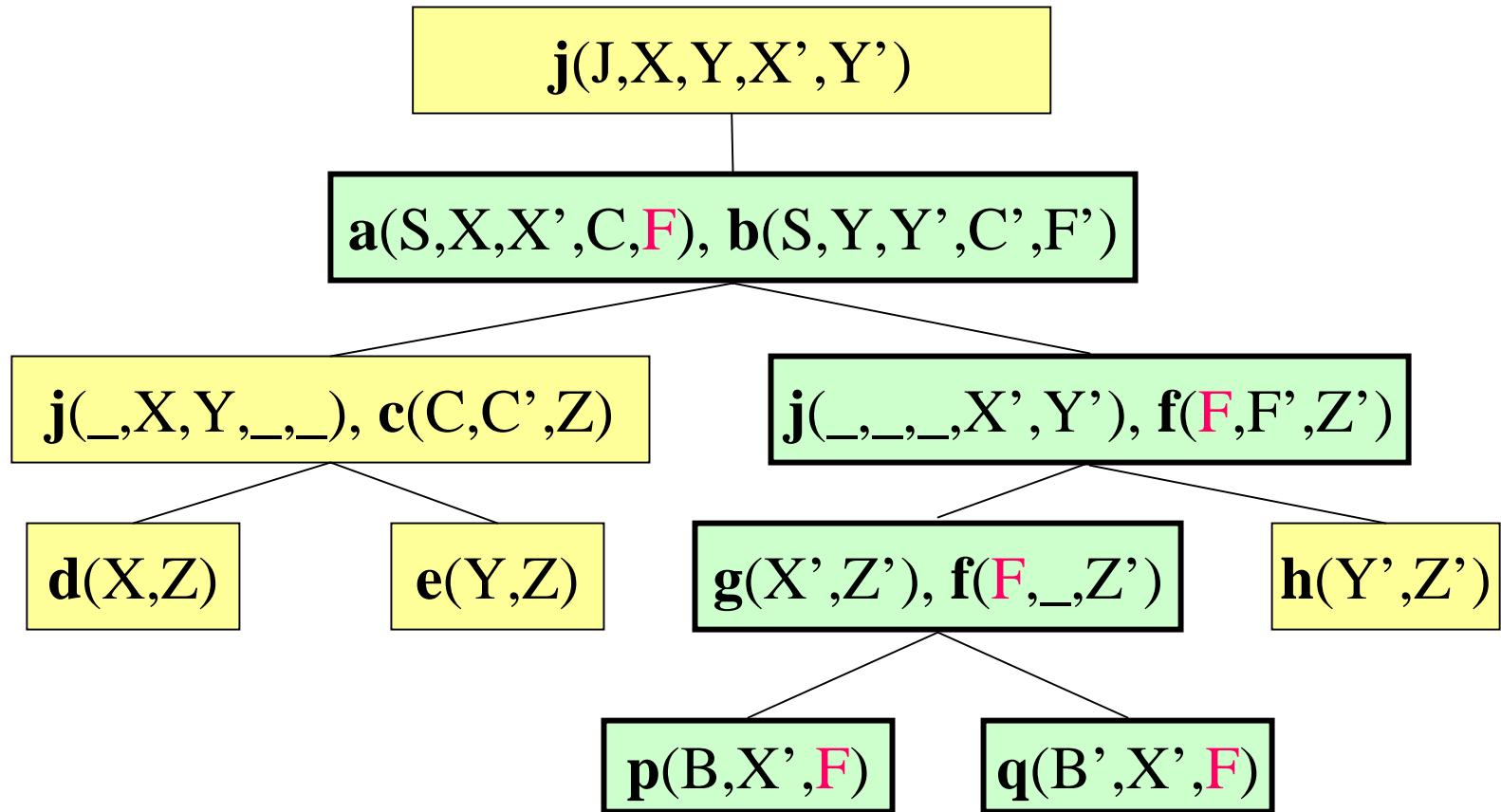
Use hypertree decompositions.

[G., Leone, Scarcello 98]

$ans \leftarrow a(S, X, X', C, F) \wedge b(S, Y, Y', C', F') \wedge c(C, C', Z) \wedge d(X, Z) \wedge$   
 $e(Y, Z) \wedge f(F, F', Z') \wedge g(X', Z') \wedge h(Y', Z') \wedge$   
 $j(J, X, Y, X', Y') \wedge p(B, X', F) \wedge q(B', X', F)$



# connectedness condition



**Proof (idea).** Use hypertree decompositions.  
[G., Leone, Scarcello 98]

We show that each query  $Q$  with  $b$  variables has  
**hypertree-width  $\leq b/2 + 1$**

It can be transformed into an equivalent  
acyclic query and evaluated in time  $O(n^{b/2+c})$   
using Yannakakis' algorithm for Boolean  
acyclic queries (i.e. the bottom-up phase only).

## Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,z), q(r,y,z)$

Add atoms with 2 new variables as long as Possible.

## Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

Add atoms with 2 new variables as long as Possible.

## Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

Add atoms with 2 new variables as long as Possible.

# Proof (continued).

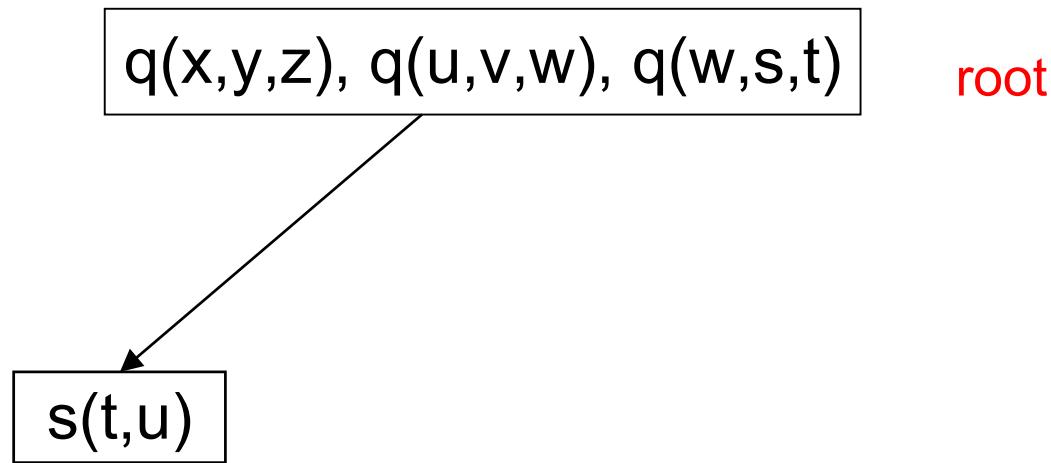
$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

$q(x,y,z), q(u,v,w), q(w,s,t)$

root

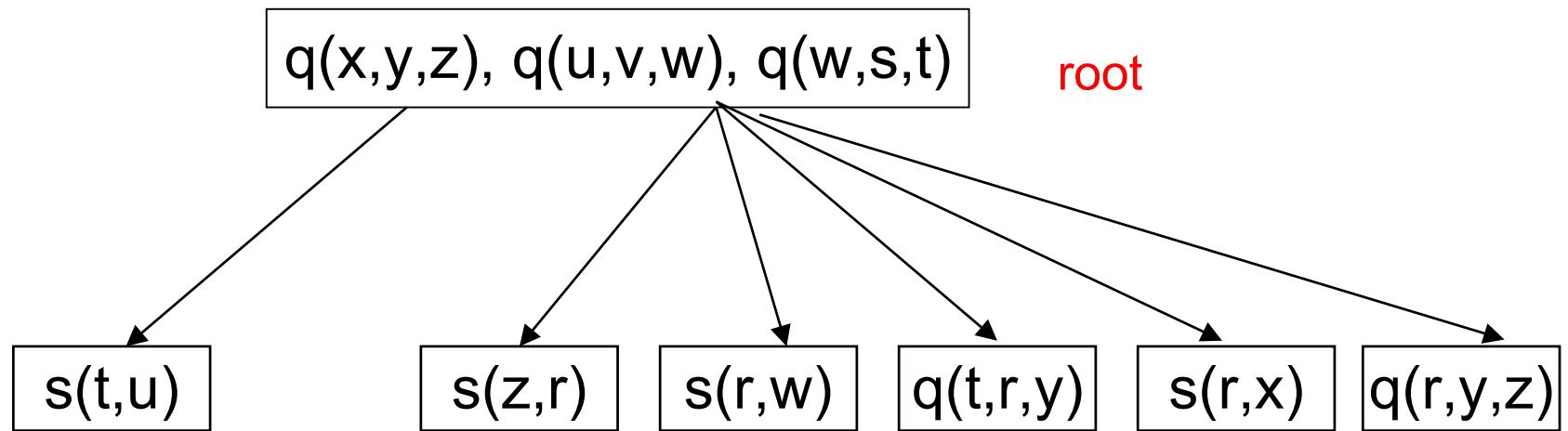
# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$



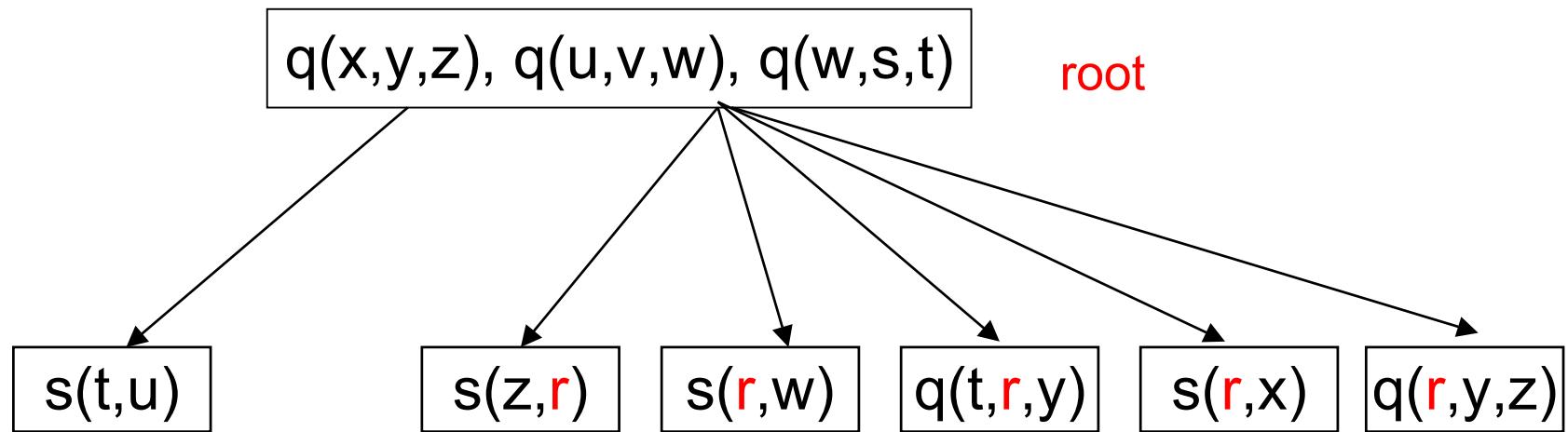
# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$



# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

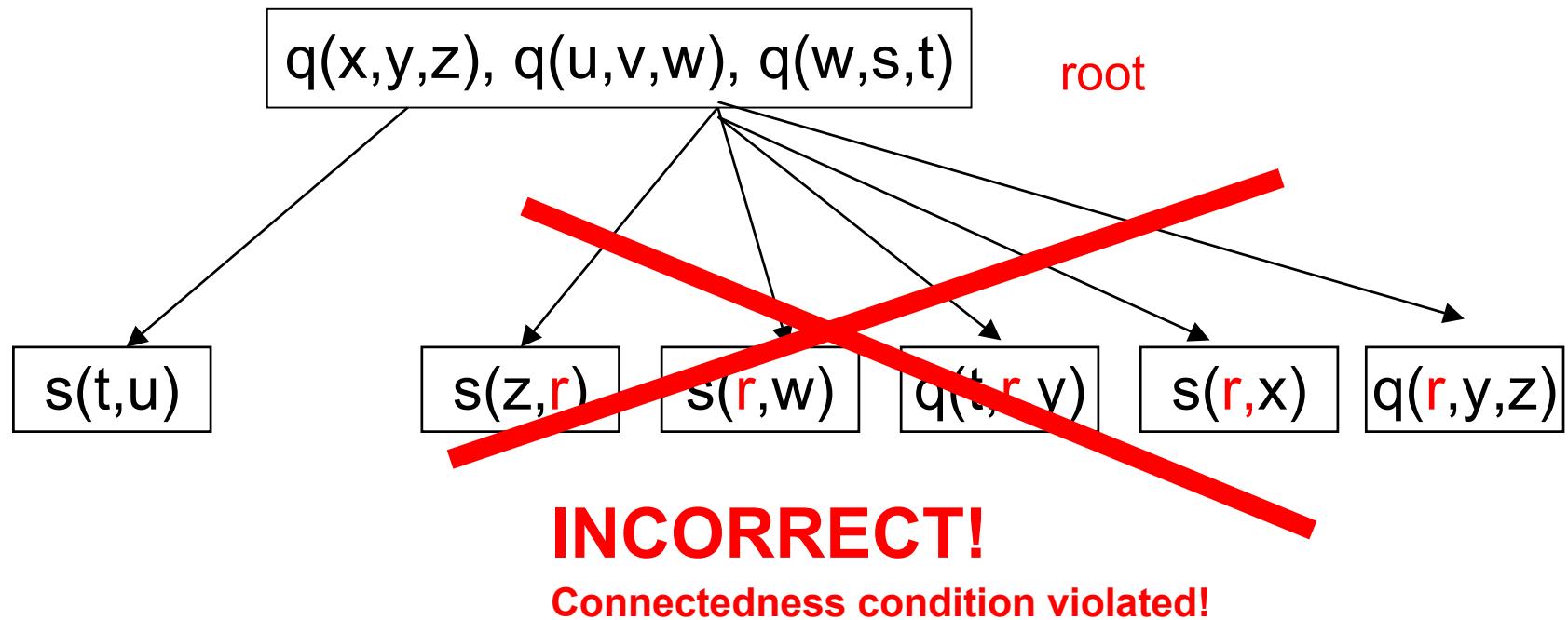


**INCORRECT!**

**Connectedness condition violated!**

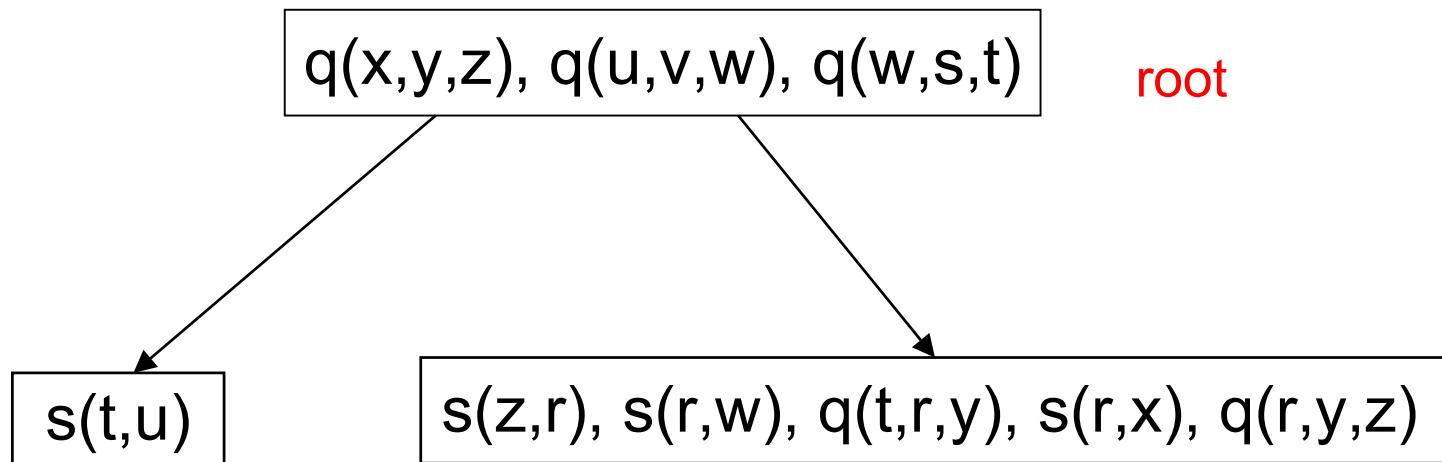
# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$



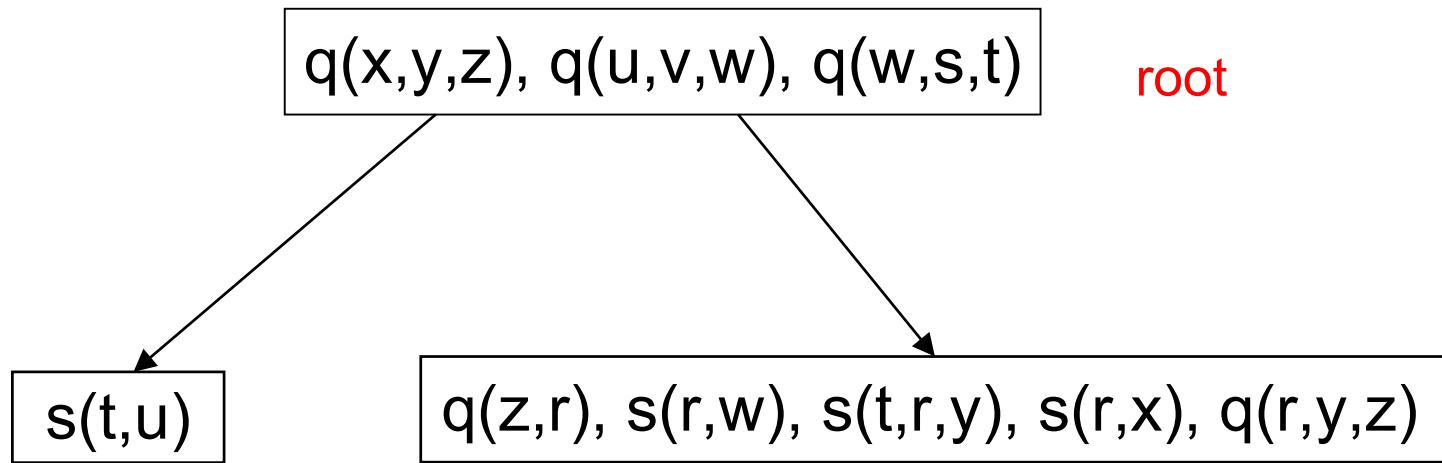
# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$



# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

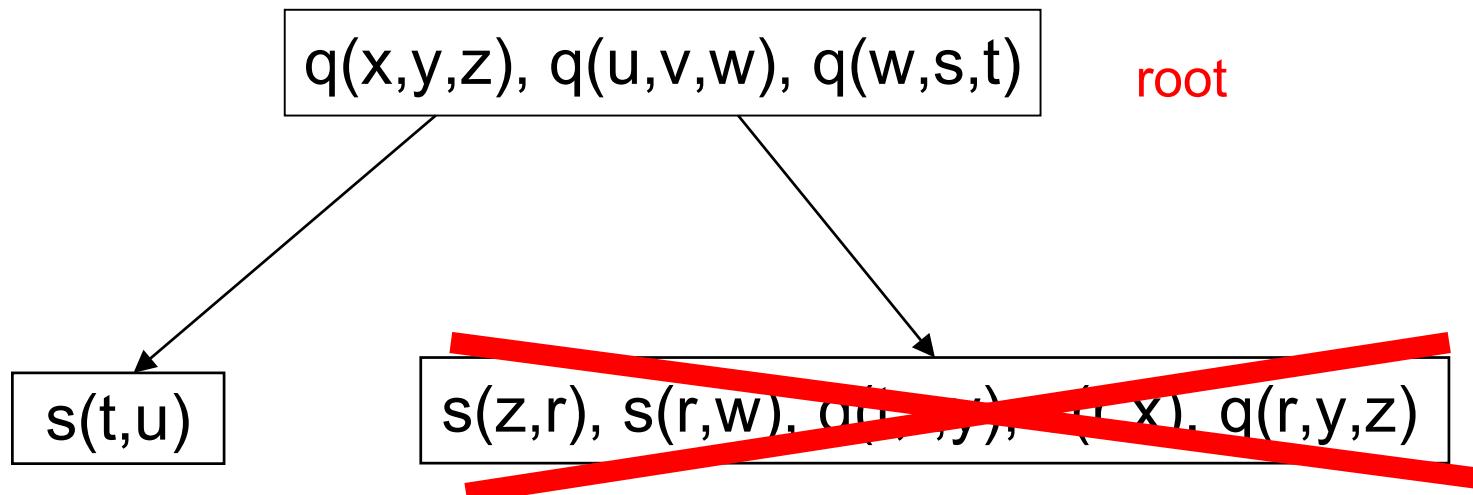


**Too large!**

**Width would increase with each additional r-atom**

# Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$



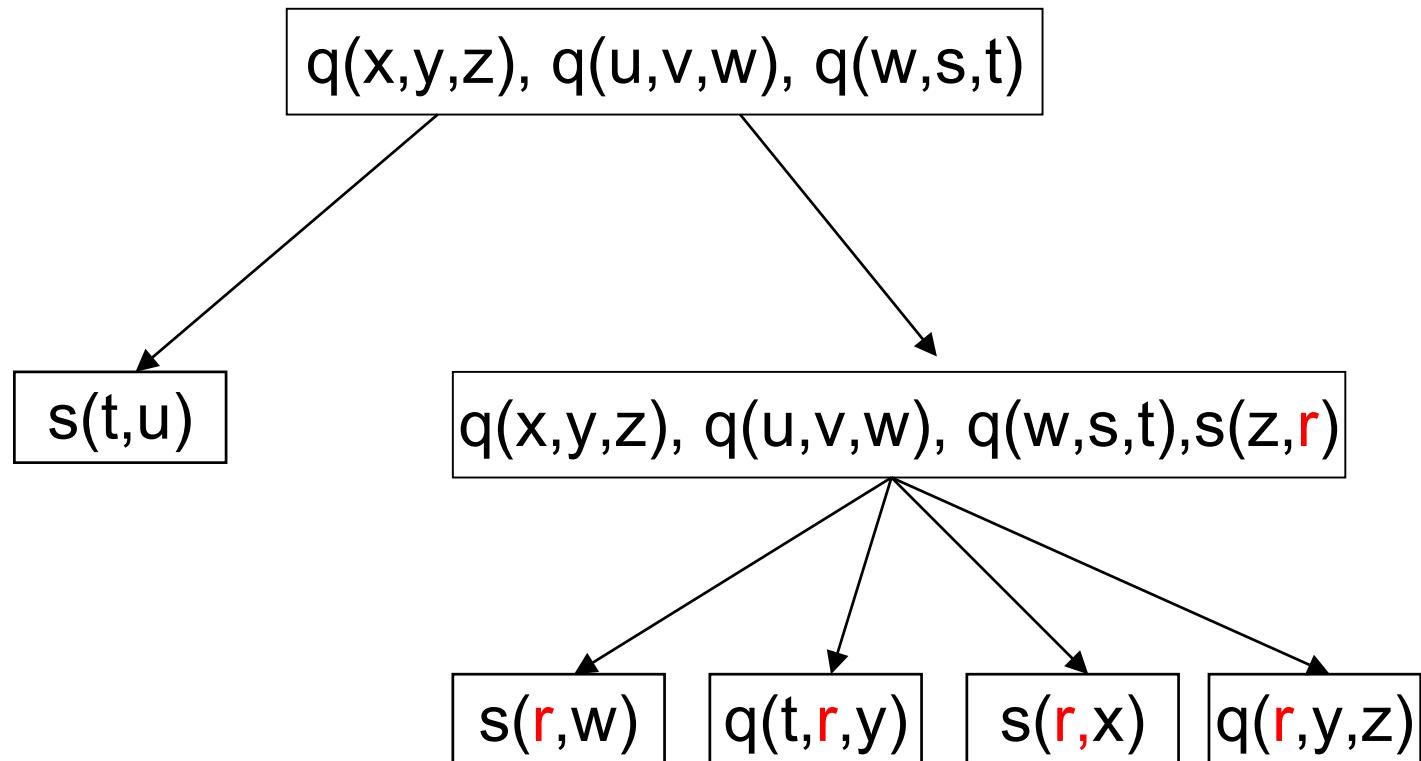
**Too large!**

**Width would increase with each additional r-atom**

Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

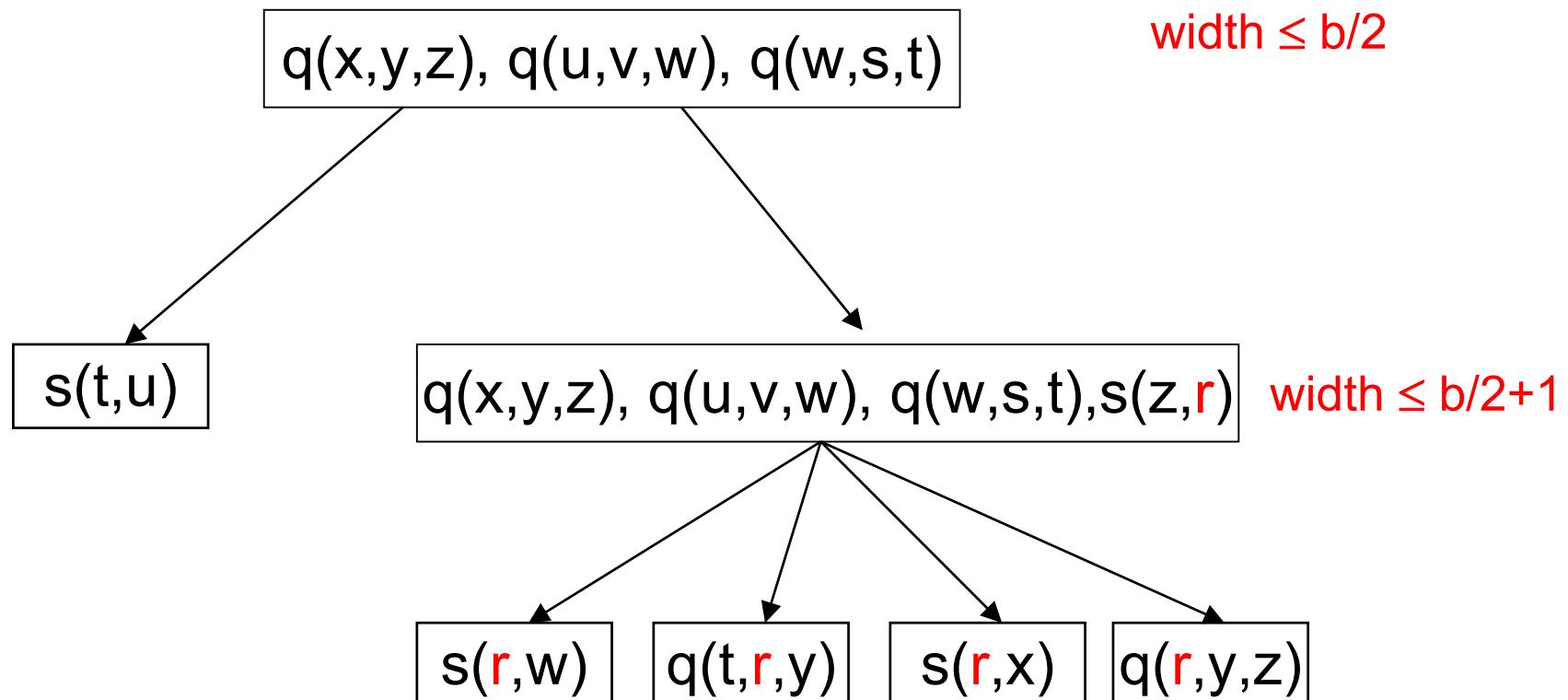
The solution:



Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

The solution:

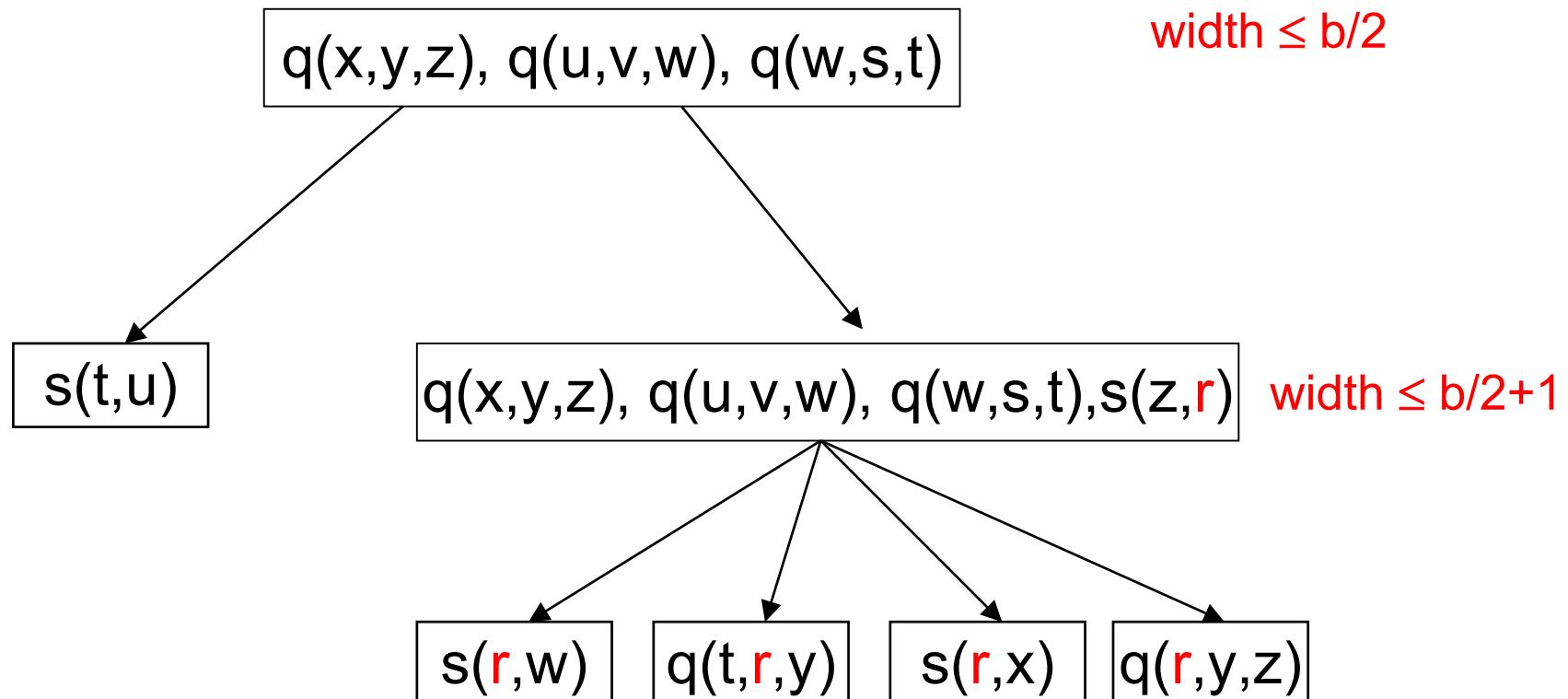


q.e.d

Proof (continued).

$q(x,y,z), s(z,r), q(u,v,w), s(t,u), s(r,w), q(w,s,t), q(t,r,y), s(r,x), q(r,y,z)$

The solution:



Note: Treewidth instead does not help!

q.e.d

## **Corollary:**

Bound for CORE computation:  $O(n^{b/2+2})$ .

Question: Can we eliminate the parameter  $b$  in the exponent?

Answer: Most likely not !

## **THEOREM:**

Core computation is fixed-parameter intractable  
w.r.t. the blocksize  $b$ .

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X, Y) \ \& \ q(Y, Z) \rightarrow \exists U, V \ r(X, U) \ \& \ p(Z, V)$$

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X, Y) \ \& \ q(Y, Z) \rightarrow \exists U, V \ r(X, U) \ \& \ p(Z, V)$$

**Simple TGD:**     $r(X, Y, Z) \rightarrow \exists U, V \ s(X, Y, U, V) \ \& \ p(X, Z, V)$

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X,Y) \ \& \ q(Y,Z) \rightarrow \exists U,V \ r(X,U) \ \& \ p(Z,V)$$

**Simple TGD:**  $r(X,Y,Z) \rightarrow \exists U,V \ s(X,Y,U,V) \ \& \ p(X,Z,V)$

Note: Inclusion dependencies are simple TGDs!

Schemas:  $R(A,B)$  ,  $S(C,D,E)$

IND:  $R[A] \subseteq S[C]$

$$r(X,Y) \rightarrow \exists U,V \ s(X,U,V)$$

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X,Y) \ \& \ q(Y,Z) \rightarrow \exists U,V \ r(X,U) \ \& \ p(Z,V)$$

**Simple TGD:**  $r(X,Y,Z) \rightarrow \exists U,V \ s(X,Y,U,V) \ \& \ p(X,Z,V)$

Note: INDs are simple TGDs

**Weakly acyclic TGDs (defined for sets of TGDs)**

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X,Y) \ \& \ q(Y,Z) \rightarrow \exists U,V \ r(X,U) \ \& \ p(Z,V)$$

**Simple TGD:**  $r(X,Y,Z) \rightarrow \exists U,V \ s(X,Y,U,V) \ \& \ p(X,Z,V)$

Note: INDs are simple TGDs

**Weakly acyclic TGDs (defined for sets of TGDs)**

**Full TGDs: no  $\exists$  quantifiers** e.g.  $p(X,Y) \ \& \ p(Y,Z) \rightarrow p(X,Z)$

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X,Y) \ \& \ q(Y,Z) \rightarrow \exists U,V \ r(X,U) \ \& \ p(Z,V)$$

**Simple TGD:**  $r(X,Y,Z) \rightarrow \exists U,V \ s(X,Y,U,V) \ \& \ p(X,Z,V)$

Note: INDs are simple TGDs

**Weakly acyclic TGDs (defined for sets of TGDs)**

**Full TGDs: no  $\exists$  quantifiers** e.g.  $p(X,Y) \ \& \ p(Y,Z) \rightarrow p(X,Z)$

**Equality generating dependencies EGDs:**

$$p(X,Y) \ \& \ p(X,Z) \rightarrow Y=Z$$

# Dependencies

**Tuple generating dependencies TGDs:**

$$p(X,Y) \ \& \ q(Y,Z) \rightarrow \exists U,V \ r(X,U) \ \& \ p(Z,V)$$

**Simple TGD:**  $r(X,Y,Z) \rightarrow \exists U,V \ s(X,Y,U,V) \ \& \ p(X,Z,V)$

Note: INDs are simple TGDs

**Weakly acyclic TGDs (defined for sets of TGDs)**

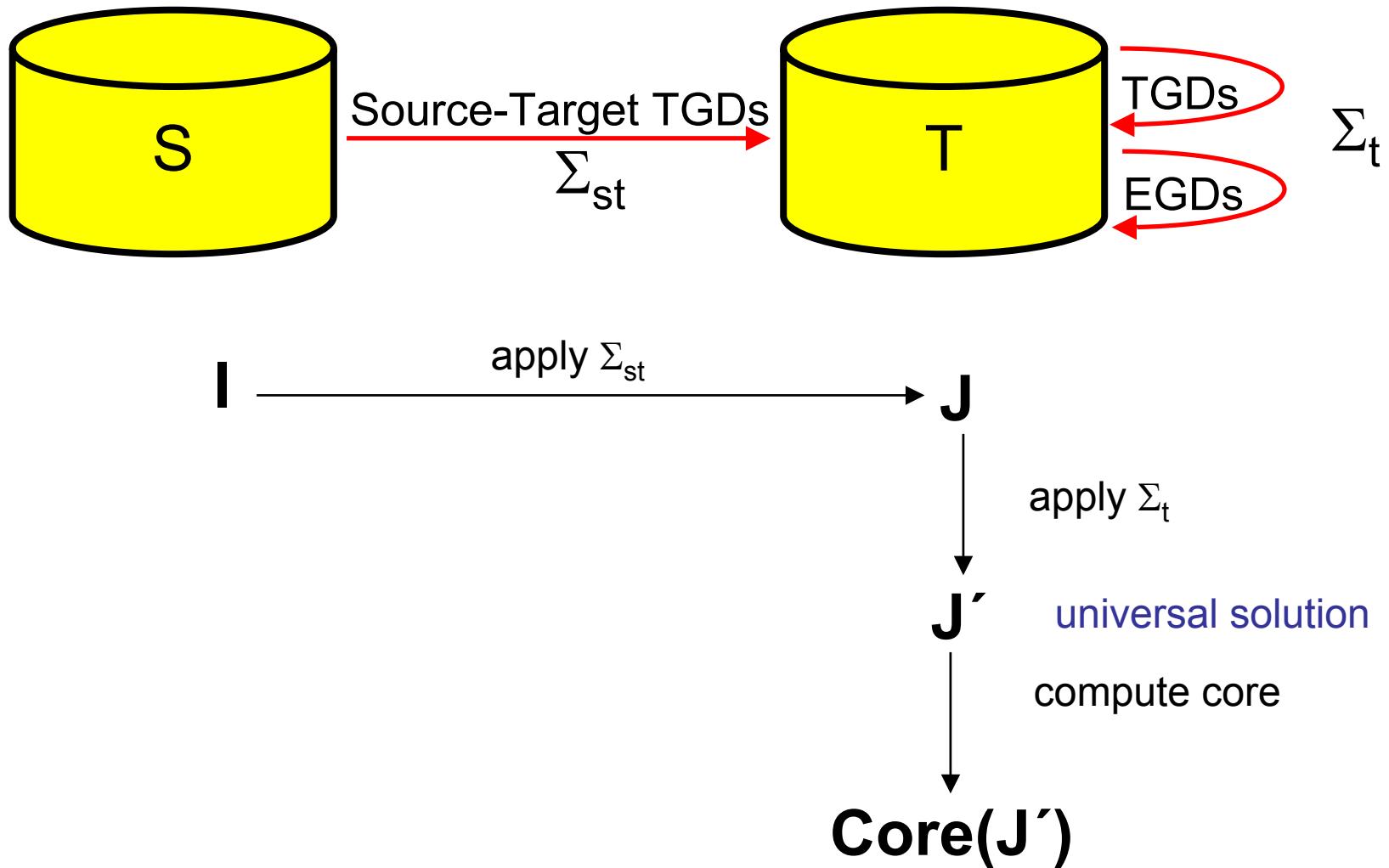
**Full TGDs: no  $\exists$  quantifiers** e.g.  $p(X,Y) \ \& \ p(Y,Z) \rightarrow p(X,Z)$

**Equality generating dependencies EGDs:**

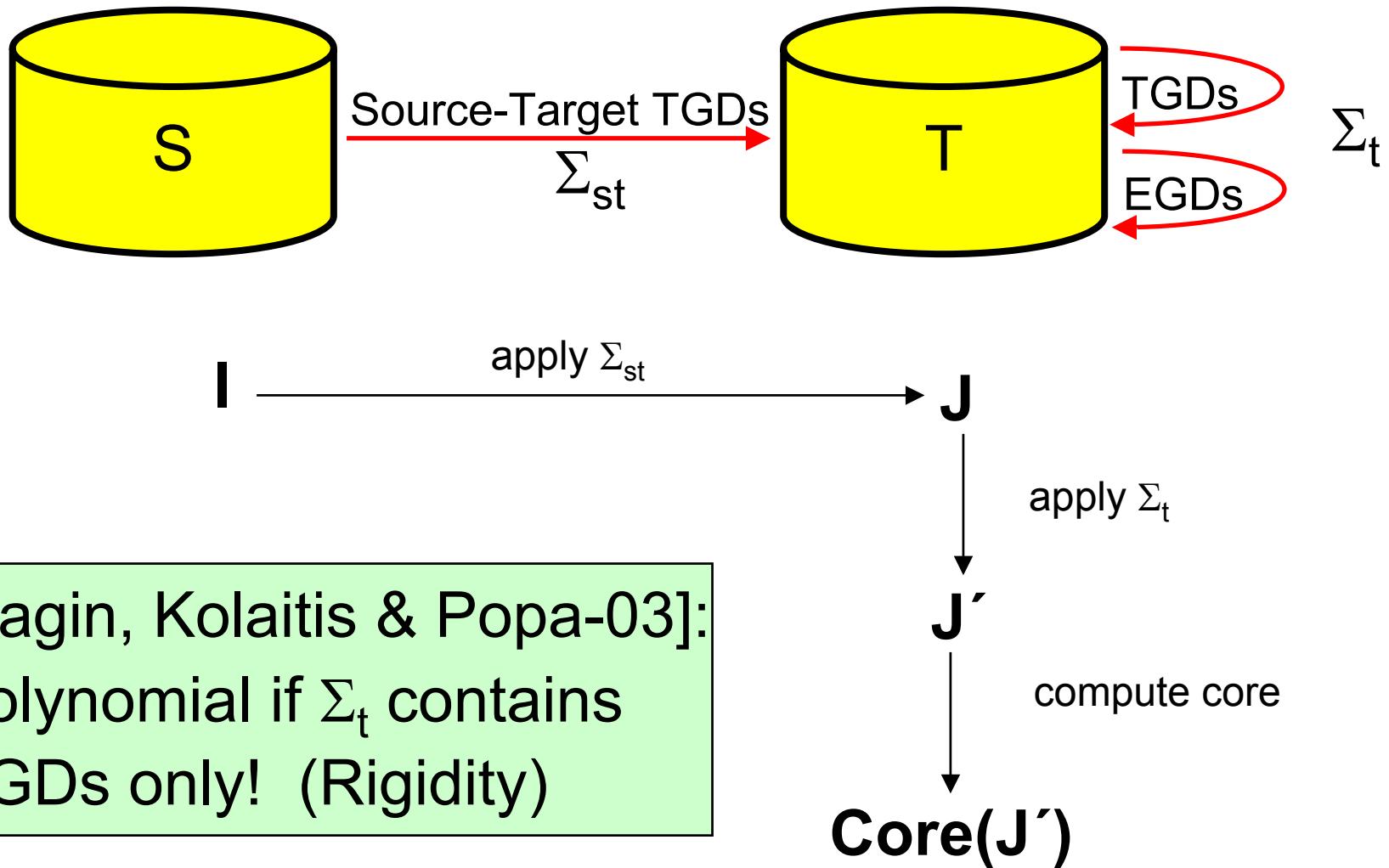
$$p(X,Y) \ \& \ p(X,Z) \rightarrow Y=Z$$

Note: FDs are EGDs

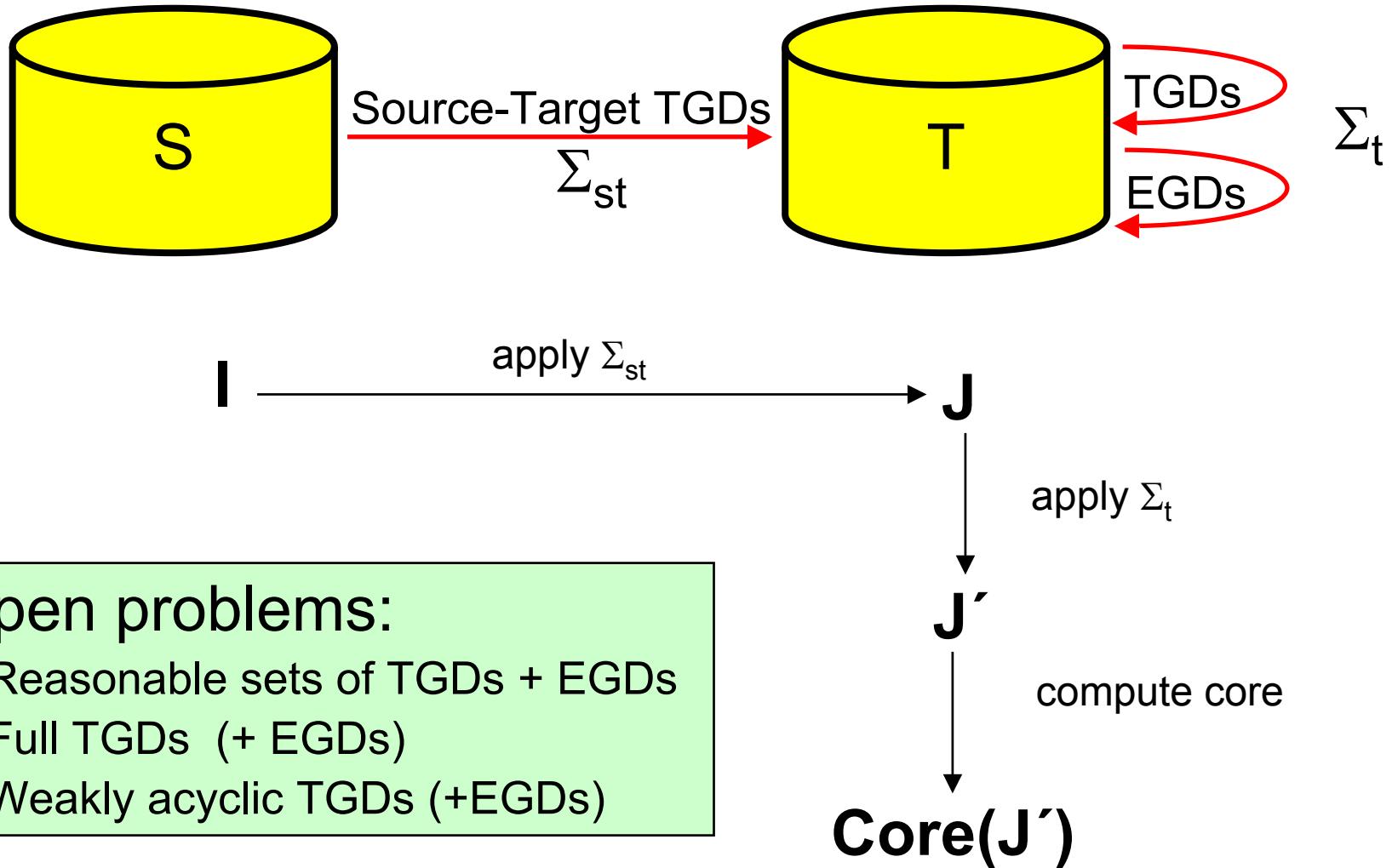
# Data Exchange



# Data Exchange



# Data Exchange

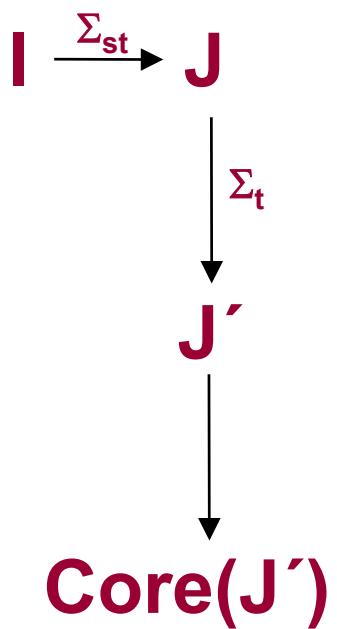


# Tractability Result 1

**THEOREM:** Data exchange with EGDs + weakly acyclic simple TGDs is tractable.

PROOF IDEA:

- $J$  has bounded hypertree width (hw)
- simple TGDs preserve hw
  - $J'$  has bounded hw
- Use [Fagin et. al.]'s rigidity argument for dealing with EGDs.

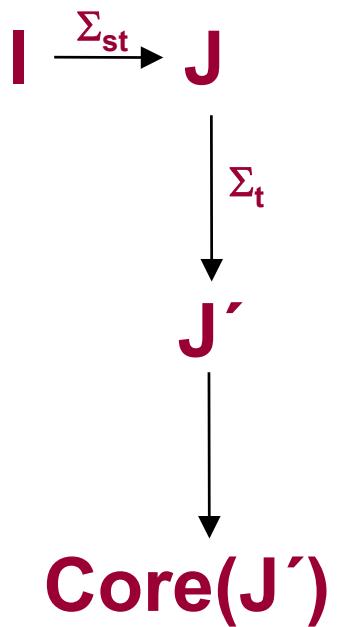


# Tractability Result 1

**THEOREM:** Data exchange with EGDs + weakly acyclic simple TGDs is tractable.

PROOF IDEA:

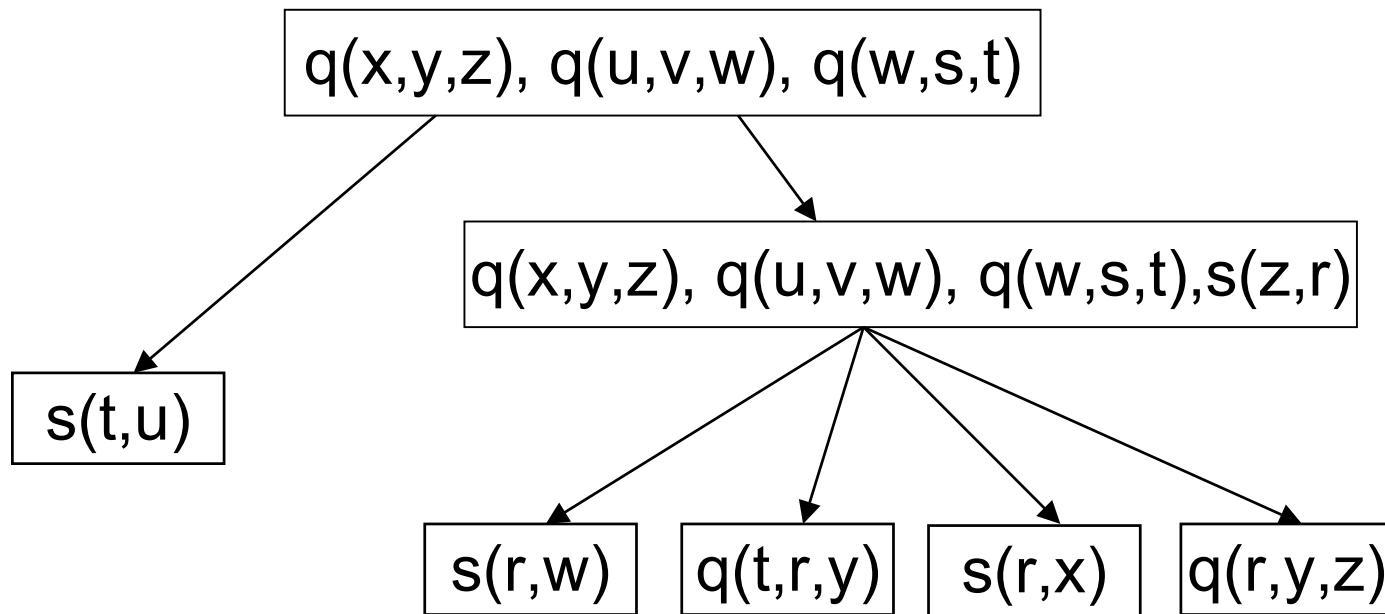
- $J$  has bounded hypertree width (hw)
- simple TGDs preserve hw
  - ➔  $J'$  has bounded hw
- Use [Fagin et. al.]'s rigidity argument for dealing with EGDs.



# Proof Sketch (continued).

simple TGDs preserve hw

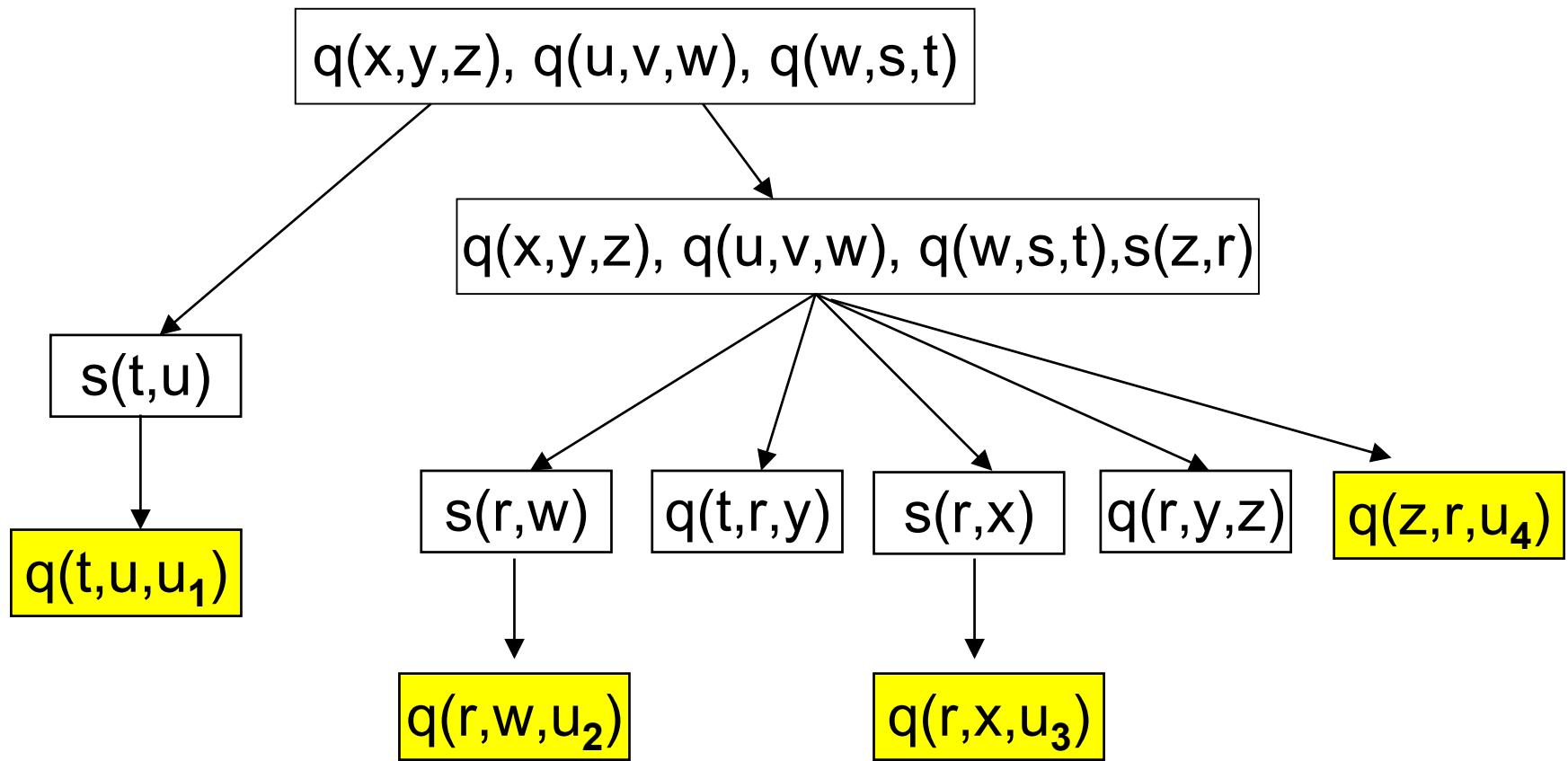
$$s(x_1, x_2) \rightarrow \exists x_3 q(x_1, x_2, x_3)$$



# Proof Sketch (continued).

simple TGDs preserve hw

$$s(x_1, x_2) \rightarrow \exists x_3 q(x_1, x_2, x_3)$$

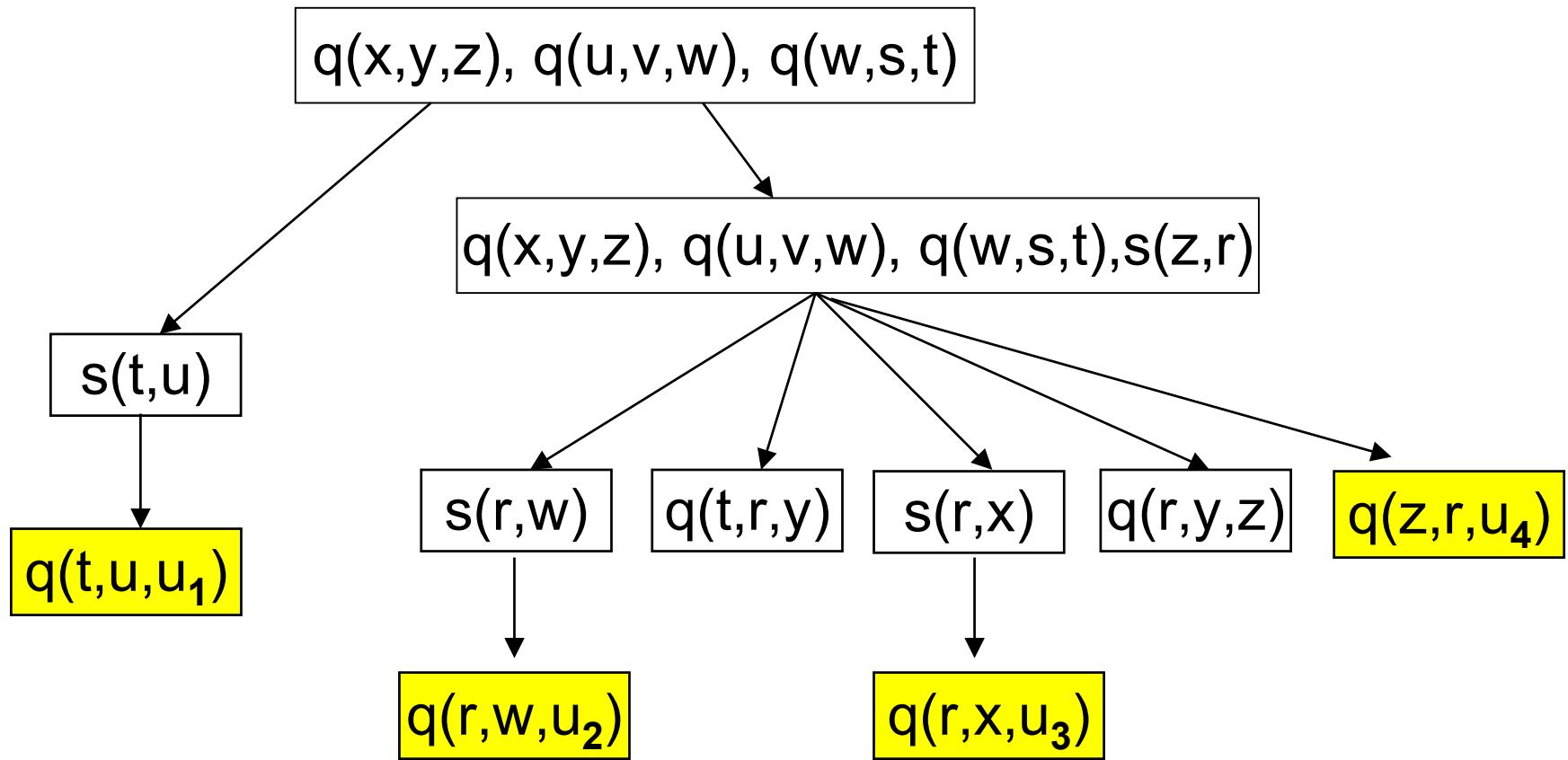


# Proof Sketch (continued).

simple TGDs preserve hw

$$s(x_1, x_2) \rightarrow \exists x_3 q(x_1, x_2, x_3)$$

Variables from different blocks are never mixed!

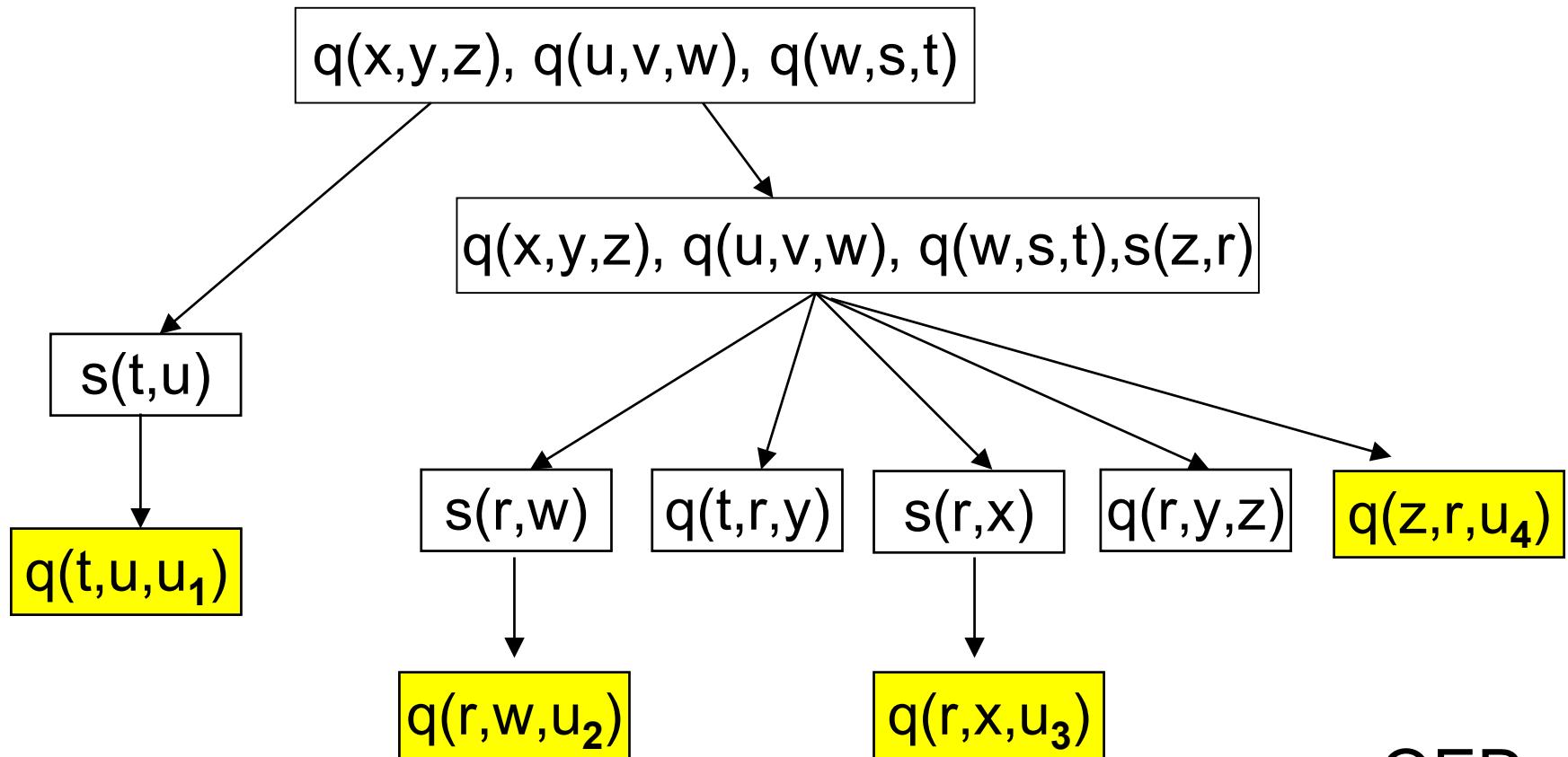


# Proof Sketch (continued).

simple TGDs preserve hw

$$s(x_1, x_2) \rightarrow \exists x_3 \ q(x_1, x_2, x_3)$$

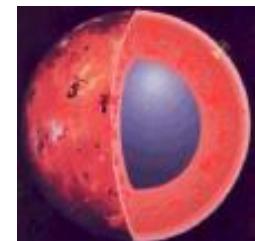
Variables from different blocks are never mixed!



Blocksize can increase, but hw remains bounded !

QED

**Corollary:** Core computation in data exchange  
is tractable if  $\Sigma_t$  consists of FDs and  
acyclic inclusion dependencies.



# Tractability Result 2

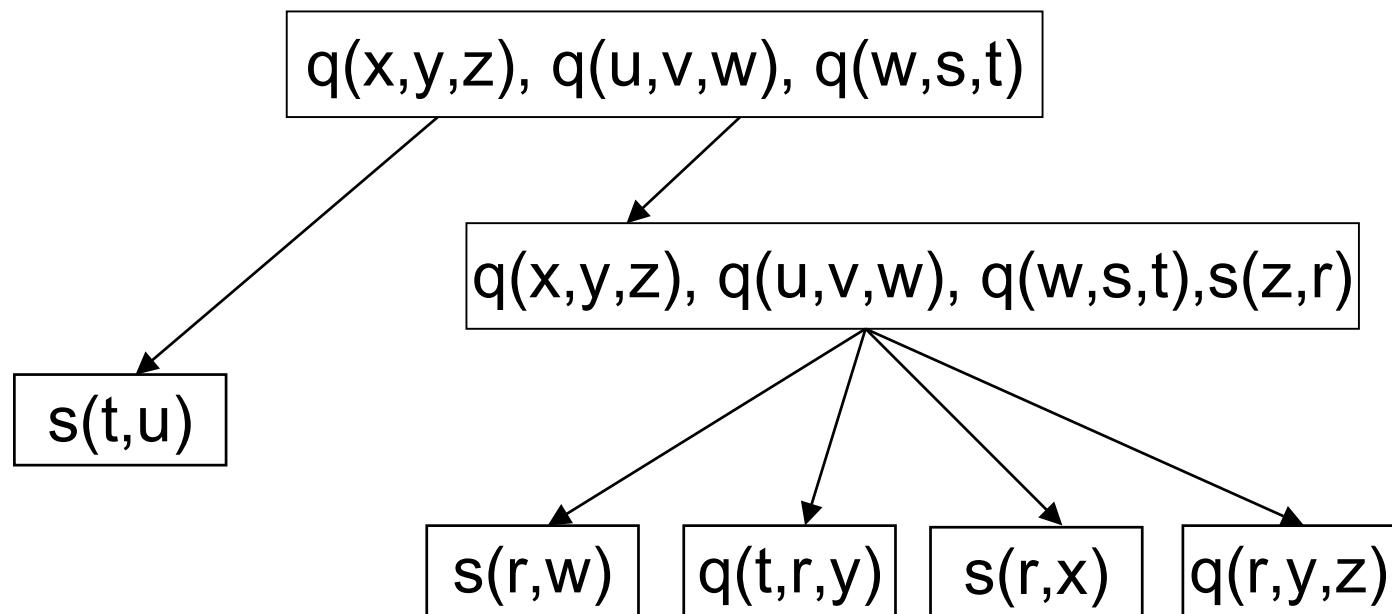
**THEOREM:** Data exchange with full TGDs + EGDs is tractable.

Difficulties

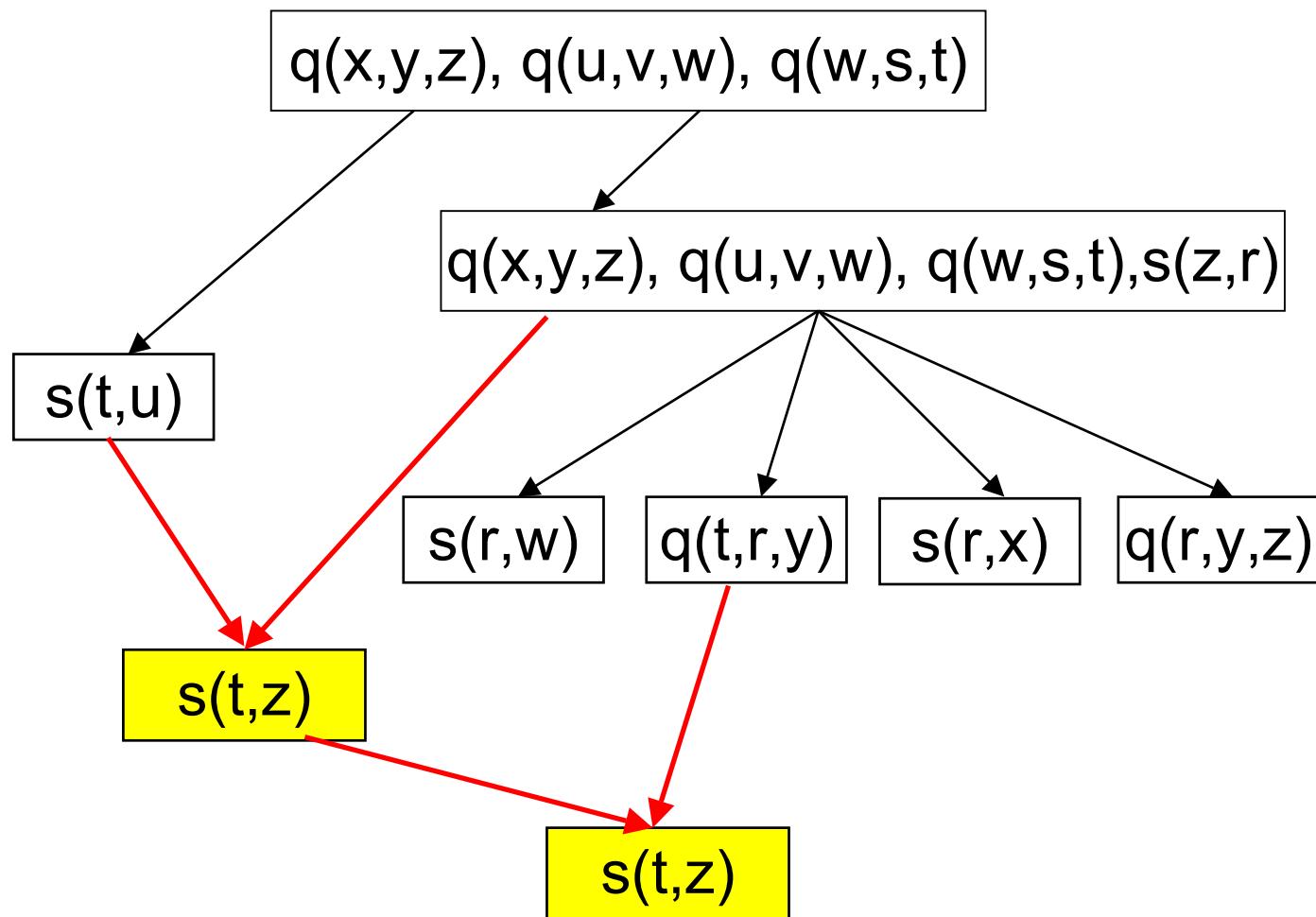
Blocks are merged when applying TGDs, thus no tree-structure possible !!!

→ Unbounded hypertree width.

Full TGD:  $s(x_1, x_2) \& q(x_3, x_4, x_5) \rightarrow s(x_1, x_5)$



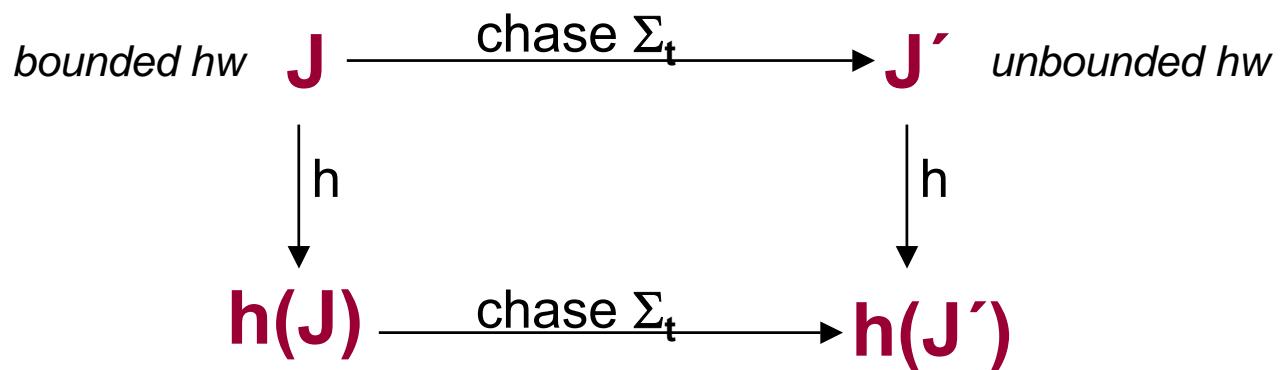
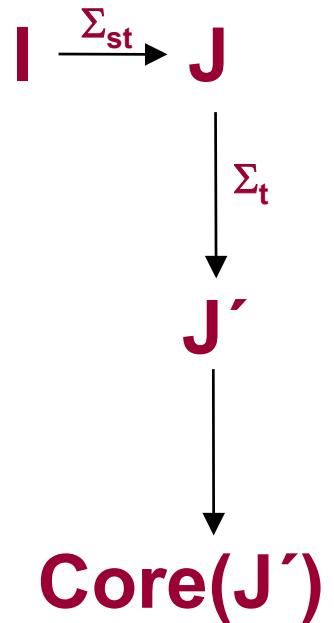
Full TGD:  $s(x_1, x_2) \& q(x_3, x_4, x_5) \rightarrow s(x_1, x_5)$



## Solution:

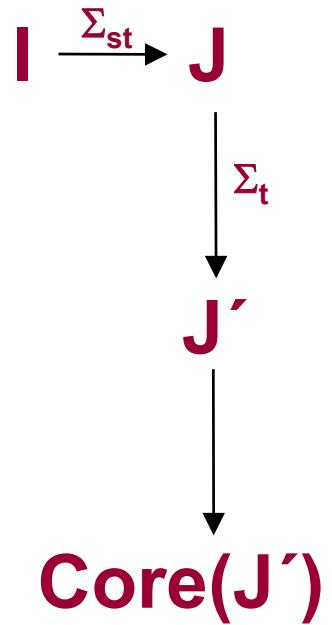
Find useful homomorphism  $h: J \rightarrow J'$   
such that  $h$  is a useful endomorphism  $J' \rightarrow J'$

Possible, if the following diagram commutes:

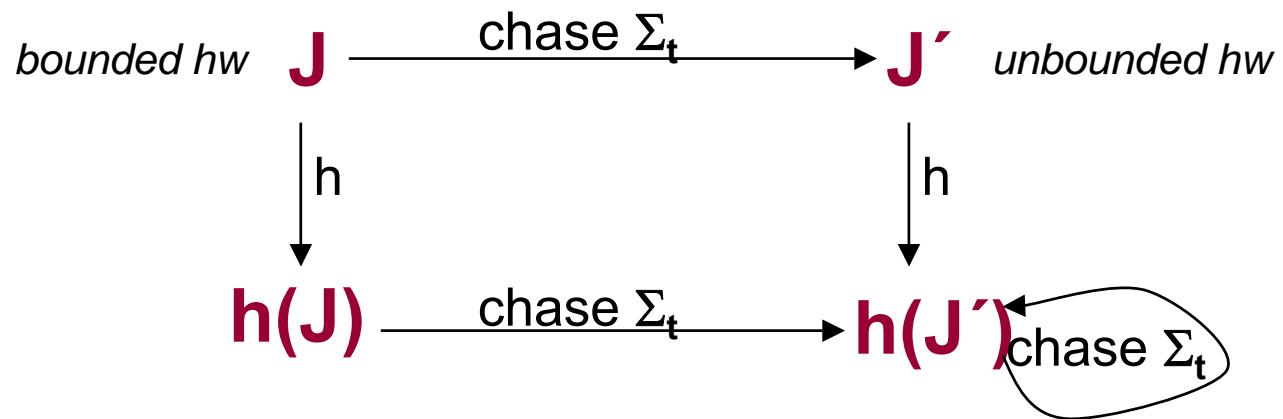


## Solution:

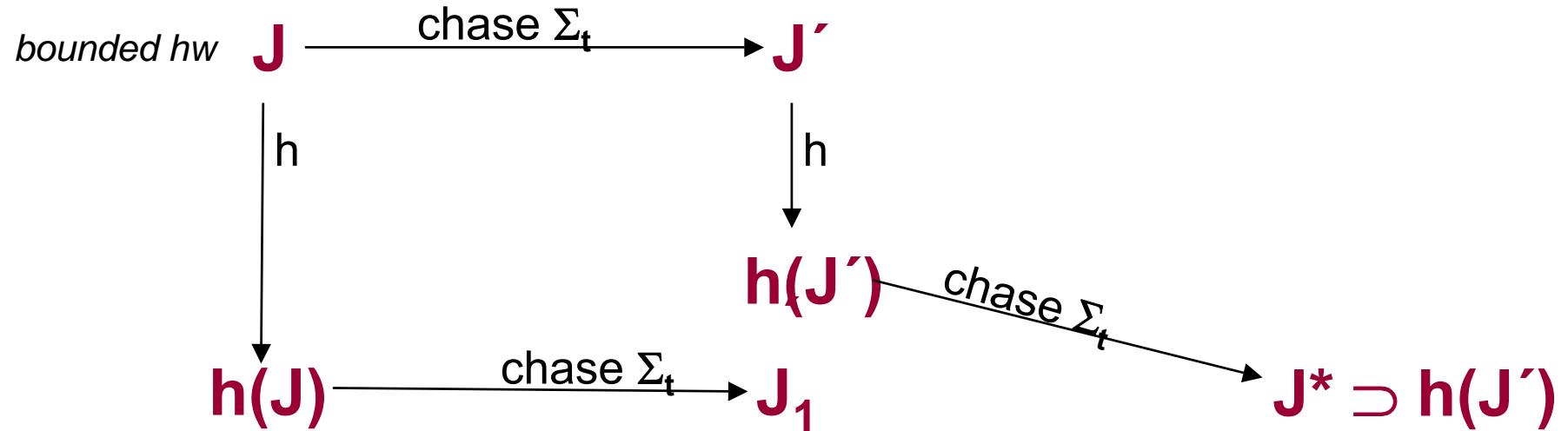
Find useful homomorphism  $h: J \rightarrow J'$   
such that  $h$  is a useful endomorphism  $J' \rightarrow J'$



Possible, if the following diagram commutes:



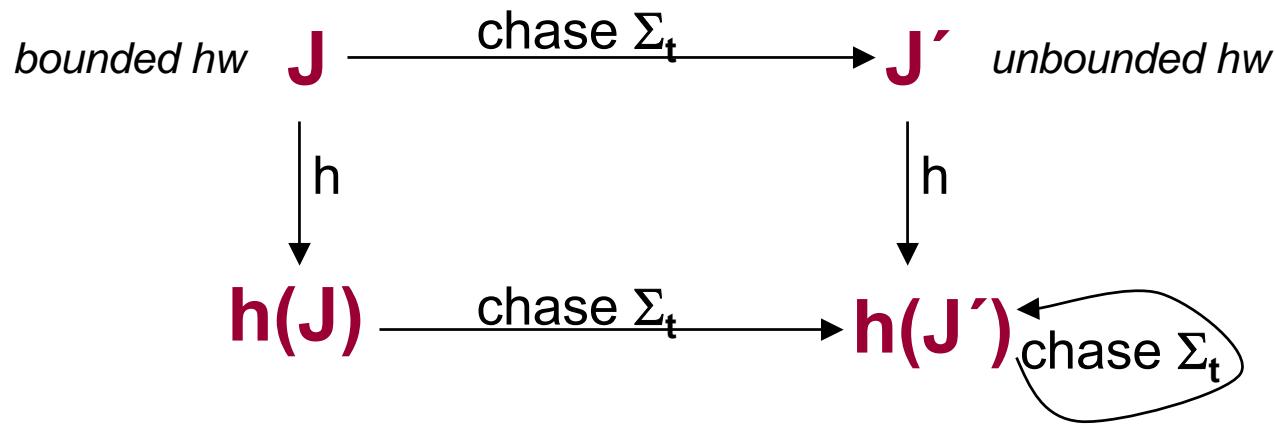
Unfortunately, in general, the diagram does not commute:



**Definition:**  $h$  idempotent if  $h^2=h$ , i.e., if  $\forall x: h(h(x))=h(x)$

**Lemma:** If  $h$  is a useful endomorphism  $J \rightarrow J'$ , then  $h$  can be transformed in polynomial time into useful idempotent endomorphism  $J \rightarrow J'$ .

**Lemma:** The diagram commutes whenever  $h:J \rightarrow J'$  is an idempotent endomorphism.



This allows us to design an algorithm that successively refines  $h$  via “local changes” as long as possible.  
At the end,  $\text{core}(J)$  is computed.

To deal properly with EGDs, we simulate EGDs by full TGDs:

Assume the schema  $\{p(\dots) \ s(\dots)\}$

$$p(x,y,z) \rightarrow x=y$$

is simulated by the TGDs

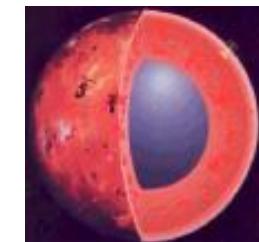
$$p(x,y,z) \ \& \ s(x,y) \rightarrow s(x,x)$$

$$p(x,y,z) \ \& \ s(x,y) \rightarrow s(y,y)$$

$$p(x,y,z) \ \& \ p(x,u,y) \rightarrow p(x,u,x)$$

$$p(x,y,z) \ \& \ p(x,u,y) \rightarrow p(y,u,y)$$

etc. ....



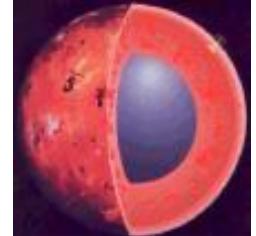
We can show that after computing the core these TGDs have the same effect as the EGD.

# Limits of Tractability

**Theorem:** Data exchange with full TGDs and a Null predicate is NP-complete.

$$P(X,a) \ \& \ q(X,Y) \ \& \ \text{Null}(X) \rightarrow r(X,Y)$$

# Conclusions



- New general algorithm for computing cores based on hypertree decompositions
  - A relevant class of target dependencies, for which data exchange is tractable:

EGDs + weakly acyclic simple TGDs  
(encompassing FDs + w.a. INDs)
  - A more involved tractable class: EGDs + full TGDs
- Open: EGDs + weakly acyclic TGDs.