

Question: Using a counting argument, we have seen that there are functions that cannot be computed (or, in other words, problems that cannot be solved by any algorithm).

How can we exhibit a specific problem of this form?

Solution: we need a formal definition of algorithm

Let us start with something we know: Java

Can we show that there is no Java program that solves a specific problem?

Hello - World problem:

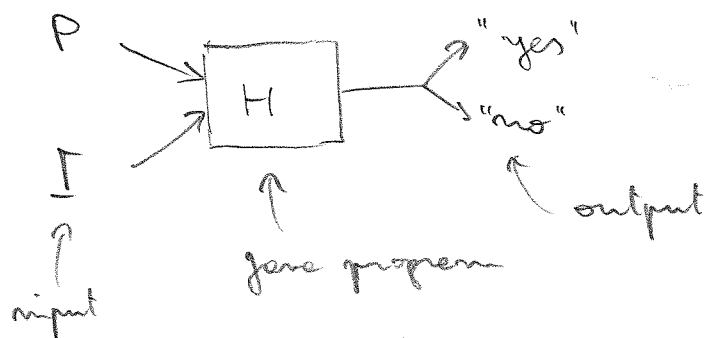
Your first Java program: HW:

```
public class HW {  
    public static void main (String [] args) {  
        System.out.println ("Hello, world");  
    }  
}
```

The first 12 characters output by HW are "Hello, world".

Hello-world problem (HWP): given an arbitrary Java program  $P$  and an input  $I$  for  $P$ , does  $P(I)$  print "Hello, world" as its first 12 characters?

Consider a solution to HWP:



Does such a program  $H$  exist?

- we could see  $P$  for printable statements
- but, how do we know whether they are executed?

To give you an idea how difficult this can become, consider

Fermat's last theorem:

The equation  $x^n + y^n = z^n$  has no integer solution for  $n \geq 3$ .

For  $n=2$ : a solution is  $x=3, y=4, z=5$

For  $n \geq 3$ : mathematicians have believed that the theorem is true, but no proof was found until recently (proof given by Wiles is very complex, and still under verification)

Consider a simple java program  $P_1$  that:

- 1) reads input  $n$
- 2) for all possible  $x, y, z$  do  
if  $(x^n + y^n = z^n)$   
println("Hello, world");

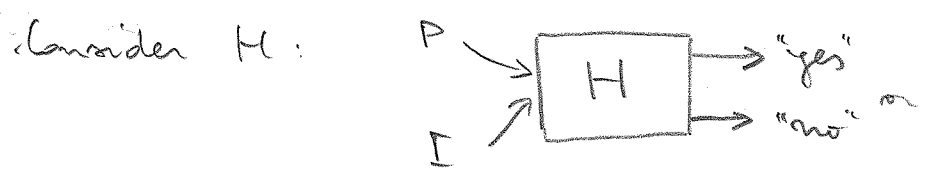
Consider input  $n=3$ :  $P_1$  prints "Hello, world" only if F.L.T. is false, otherwise  $P_1$  loops forever.

⇒ If we could solve HWP, we would also have proved or disproved F.L.T.

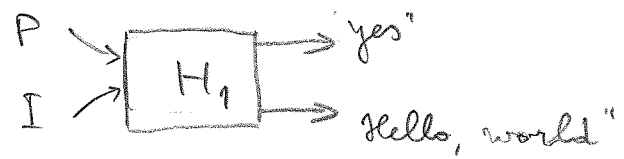
This would be too nice !! Where is the problem?

Theorem: There is no Java program H that decides HWP.

Proof: assume H exists and derive a contradiction.

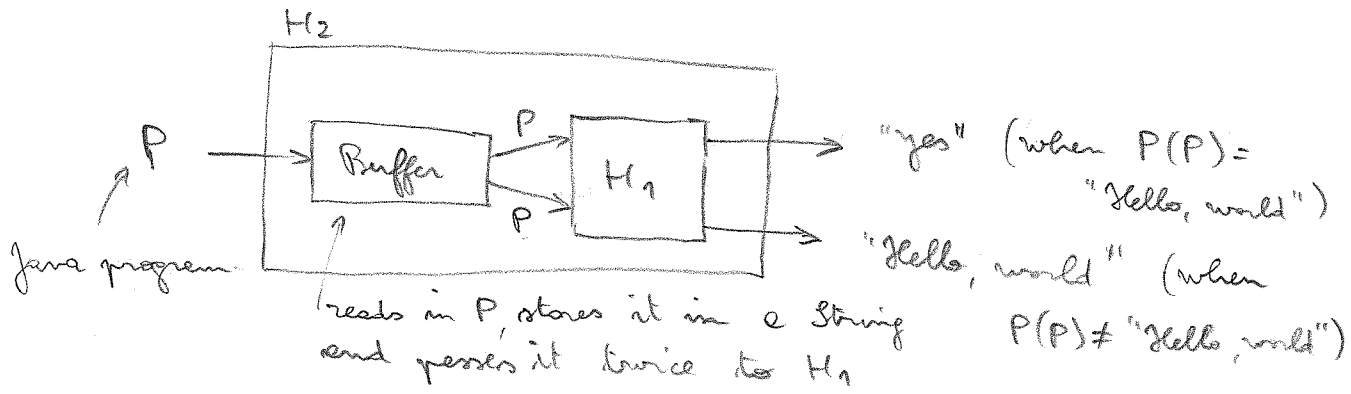


We modify H to  $H_1$  s.t.  $H_1$  prints "Hello, world" instead of "no"



(Note: we have to modify the printed statements in H)

We modify  $H_1$  to  $H_2$ , which takes only input P and feeds it to  $H_1$  as both P and I:



Let us consider  $H_2(P)$  when  $P = H_2$ .

suppose  $H_2(H_2) = \text{"yes"}$  ⇒  $P(P) = \text{"Hello, world"}$

suppose  $H_2(H_2) = \text{"Hello, world"}$  ⇒  $P(P) \neq \text{"Hello, world"}$

But  $P = H_2$  ⇒ contradiction ⇒  $H, H_1, H_2$  cannot exist! Q.E.D.

We have shown HWP to be undecidable,

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i.e., there cannot be an algorithm (or a program) that solves it.

We can show that other problems are undecidable by "reducing" HWP to them.

### Reductions

foo-problem: given a program  $R$  and its input  $z$ , does  $R$  ever call a function named  $foo$  while executing on input  $z$ .

Idea: we reduce the HWP to the foo-problem, i.e. we show that if it's possible to solve the foo-problem on  $(R, z)$ , then we can solve HWP on  $(Q, y)$ , for any program  $Q$  with input  $y$ .

Since HWP is undecidable, so is the foo-problem.

Suppose there is a program  $F$  that takes as input  $(R, z)$  and decides the foo-problem for  $(R, z)$ .

We show how  $F$  can be used to construct  $H$  that decides HWP on input  $(Q, y)$ .

Idea: apply modifications to  $Q$

- 1) rename function  $foo$  in  $Q$  (if present) to  $qfoo$ .  
 $\Rightarrow Q_1$
- 2) add a dummy function  $foo$  to  $Q_1 \Rightarrow Q_2$
- 3) modify  $Q_2$  to store all its output in some array  $A$ .  
 $\Rightarrow Q_3$
- 4) modify  $Q_3$  so that after every printed statement it checks array  $A$  to see if "Hello, world" has been printed. If yes, then call function  $foo \Rightarrow Q_4$

Note: We can write a Java program that takes as input a Java sourcefile and modifies it as specified above.

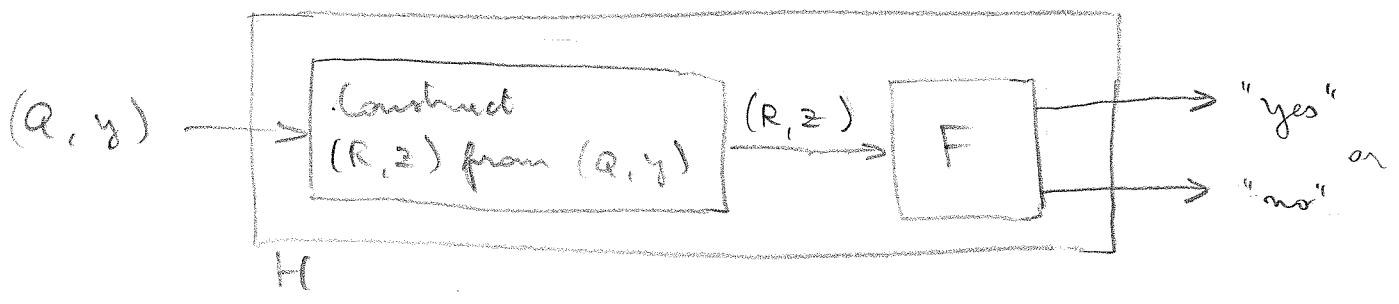
Let  $R = Q_4$  and  $z = y$ .

We have by construction:

$Q(y)$  prints "Hello, world"  $\Leftrightarrow$   
 $R(z)$  calls function  $foo$ .

Hence, we can use  $F$  that solves  $foo$ -problem on  $R(z)$  to construct  $H$  that solves HWP on  $Q(y)$ .

Schematically:



Proof: since  $H$  does not exist, also  $F$  cannot exist.

Q.E.D

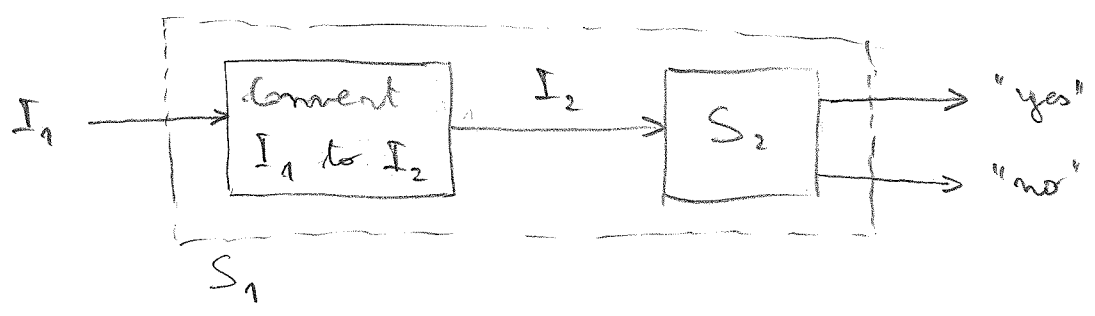
Showing undecidability by reduction from undecidable problem

Problem  $P_1$  taking input  $I_1$  known to be undecidable  
---  $P_2$  ---  $I_2$  to show undecidable.

Reduction: convert  $I_1$  to  $I_2$  such that

$$P_1(I_1) = \text{"yes"} \iff P_2(I_2) = \text{"yes"}$$

given solution program  $S_2$  for  $P_2$ , we could obtain  
---  $S_1$  for  $P_1$



Since  $S_1$  does exist, we obtain that  $S_2$  cannot exist  
 $\Rightarrow P_2$  is undecidable.

END OF OPTIONAL  
PART ↑

Existence of undecidable problems:

While it was tricky to show that a specific problem is undecidable, it is rather easy to show that there are infinitely many undecidable problems.

We use a counting argument:

- a problem  $P$  is a language over  $\Sigma$  (for some finite  $\Sigma$ )  
 (the strings in the language represent those instances of  $P$  for which the answer is "yes")

$\Rightarrow$  there are uncountably many problems

- an algorithm is a string over  $\Sigma'$  (for some finite  $\Sigma'$ )

$\Rightarrow$  there are countably many algorithms

$\Rightarrow$  There must be (uncountably many) problems for which there is no algorithm.

## Turing Machines

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Java (or C, Pascal, ...) programs are not well-suited to develop a theory of computation:

- run-time environment and run-time errors
- complex language constructs
- finite memory
- "state" of the computation is complicated to represent
- would need to show that the results for a specific programming language are in fact general

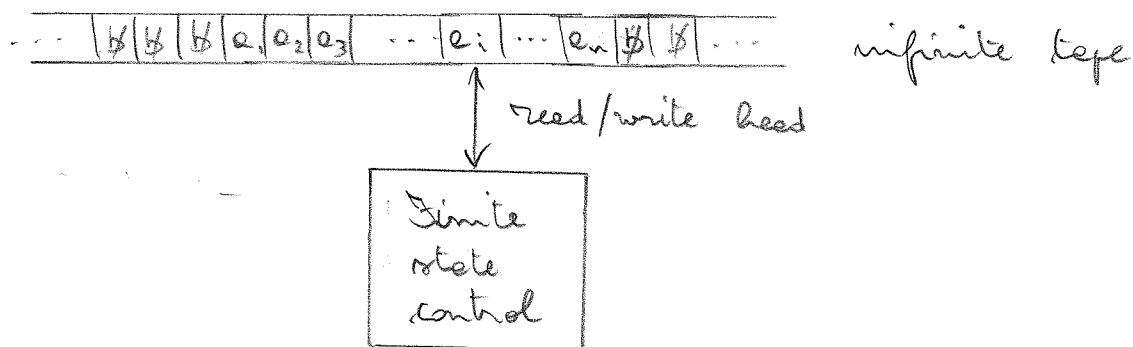
⇒ We resort to an abstract computing device, the Turing Machine (TM)

- simple and universal programming language
- state of computation is easy to describe
- unbounded memory
- can simulate any known computing device

Church-Turing hypothesis:

All reasonably powerful computation models are equivalent to TMs (but not more powerful).

⇒ TMs model anything we can compute.



Programmed by specifying transitions

- move depends on
- current state (finitely many)
  - symbol under the tape head

- effects of a move:
- new state
  - write new symbol on tape cell under the head
  - move head left/right/stay

Observations:

relationship to real computers: CPU  $\leftrightarrow$  finite state control  
memory  $\leftrightarrow$  tape

"differences" (features lost in the abstraction)

- no random access memory
- limited instruction set

However: a TM can simulate a computer (with a cubic increase in running time - see book 8.6)



Definition A TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, \$, F)$

- $Q$  ... set of states (finite)  $q_0 \in Q$  ... initial state
- $\Sigma$  ... input alphabet (finite)  $\Gamma$  ... tape alphabet (finite)
- $F \subseteq Q$  ... final states  $\$ \in \Gamma$  ... blank symbol

Conditions:  $\Sigma \subseteq \Gamma$ , since input is written initially on tape  
 $\$ \in \Gamma - \Sigma$ , since the rest of the tape is blank

Initially: - state  $q_0$   
 - tape contains  $w$  surrounded by  $\$$   
 - tape head is at the leftmost cell of the input

Transitions:  $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$

$\delta(q, x) = (p, y, d)$  means that

- if  $M$  is in state  $q$  and tape head is over symbol  $x$ , then  $M$  - changes state to  $p$
- replaces  $x$  by  $y$  on the tape
  - moves tape head by one cell in direction  $d$   
 (left for  $L$ , right for  $R$ ,  $S$  for stay in place)

The TM is deterministic:

for each  $\delta(q, x)$  we have at most one move  
 ( $\delta(q, x)$  could also be undefined)

Acceptance:  $w$  is accepted by TM  $M$  if  $M$ , when started with  $w$  on the tape, eventually enters a final state

We can assume that all final states are halting, i.e. no transition is defined for them.

Rejection: - halts in non final state (i.e., no transition defined)  
 - never halts (infinite loop)

Difference between FA/PDA and TM:

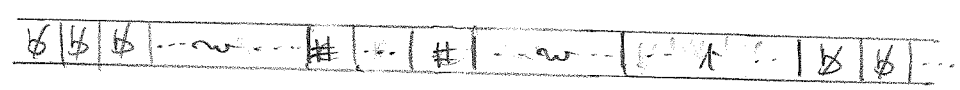
FA/PDA scans over w and accepts/rejects when it has reached its end

TM can move back and forth over w and accepts/rejects when it halts or rejects if it loops forever

13/10/2010

Example:  $L = \{ w \#^+ w^R \mid w \in \{0, 1\}^+, \# \in \{0, 1, \#\}^* \}$

initially



- TM idea:
- remember (in the state) leftmost symbol, and erase it
  - move to leftmost symbol after #'s
  - if the two don't match, then reject
  - otherwise replace the symbol by #, move left and start again

$$M = (Q, \Sigma, \Gamma, \delta, q_0, \$, F)$$

14/10/2014

$$Q = \{q_0, q_1, \dots, q_7\}$$

$$F = \{q_7\}$$

$$\Sigma = \{0, 1, \#\}$$

$$\Gamma = \{0, 1, \#, \$\}$$

$$\begin{aligned} \delta(q_0, 0) &= (q_1, \$, R) \\ \delta(q_0, 1) &= (q_2, \$, R) \end{aligned} \left. \vphantom{\begin{aligned} \delta(q_0, 0) \\ \delta(q_0, 1) \end{aligned}} \right\} \begin{array}{l} \text{Erase 0 and look for matching 0} \\ \text{--- 1 --- 1} \end{array}$$

$$\begin{aligned} \delta(q_1, 0) &= (q_1, 0, R) \\ \delta(q_1, 1) &= (q_1, 1, R) \\ \delta(q_1, \#) &= (q_3, \#, R) \end{aligned} \left. \vphantom{\begin{aligned} \delta(q_1, 0) \\ \delta(q_1, 1) \\ \delta(q_1, \#) \end{aligned}} \right\} \begin{array}{l} \text{Skip over 0's and 1's,} \\ \text{till \# is found (remembering 0)} \end{array}$$

$$\begin{aligned} \delta(q_2, 0) &= (q_2, 0, R) \\ \delta(q_2, 1) &= (q_2, 1, R) \\ \delta(q_2, \#) &= (q_4, \#, R) \end{aligned} \left. \vphantom{\begin{aligned} \delta(q_2, 0) \\ \delta(q_2, 1) \\ \delta(q_2, \#) \end{aligned}} \right\} \begin{array}{l} \text{--- " ---} \\ \text{(remembering 1)} \end{array}$$

$$\left. \begin{aligned} \delta(q_3, \#) &= (q_3, \#, R) \\ \delta(q_3, 0) &= (q_5, \#, L) \end{aligned} \right\}$$

Skip over #'s, look for 0, and replace it by #.  
 Note: if after #'s a 1 or a  $\emptyset$  is found, M halts and rejects

$$\left. \begin{aligned} \delta(q_4, \#) &= (q_4, \#, R) \\ \delta(q_4, 1) &= (q_5, \#, L) \end{aligned} \right\}$$

As previous ones, replacing 0/1 with 1/0.

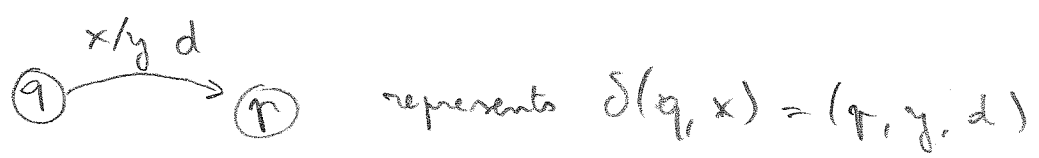
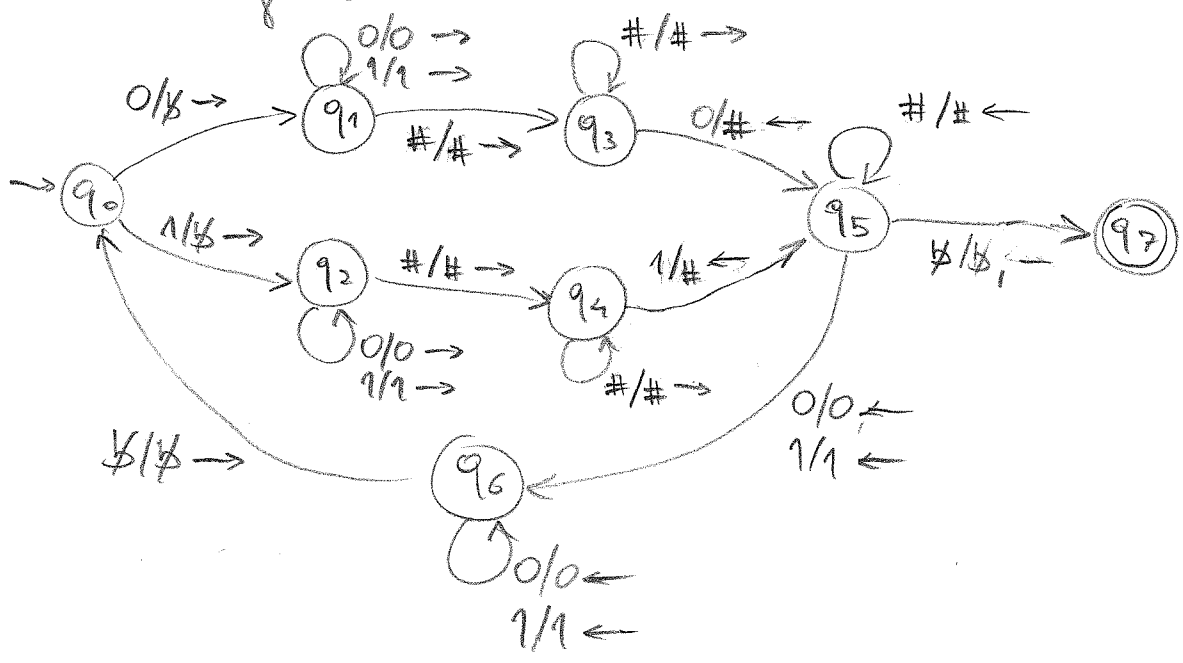
$$\left. \begin{aligned} \delta(q_5, \#) &= (q_5, \#, L) \\ \delta(q_5, 0) &= (q_6, 0, L) \\ \delta(q_5, 1) &= (q_6, 1, L) \\ \delta(q_5, \emptyset) &= (q_7, \emptyset, S) \end{aligned} \right\}$$

Move left skipping #'s. If to the left of the #'s a 0 or 1 is found, move to  $q_6$  to skip them also. If  $\emptyset$  is found, accept

$$\left. \begin{aligned} \delta(q_6, 0) &= (q_6, 0, L) \\ \delta(q_6, 1) &= (q_6, 1, L) \\ \delta(q_6, \emptyset) &= (q_0, \emptyset, R) \end{aligned} \right\}$$

Move left, skipping 0's and 1's, and restart again.

Transition diagram



13/11/03

Instantaneous description (I.D.) or configuration of e TM (2.12) a

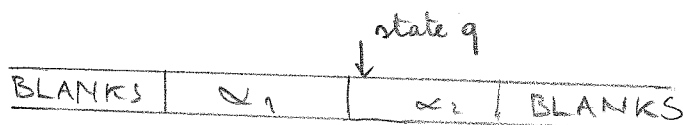
describes the current situation of TM and tape.

$$I.D. = \alpha_1 q \alpha_2 \quad \text{with } q \in Q$$

$$\alpha_1, \alpha_2 \in \Gamma^*$$

- means:
- non-blank portion of tape contains  $\alpha_1 \alpha_2$
  - head is on leftmost symbol of  $\alpha_2$
  - machine is in state  $q$

Corresponds to



Let  $ID = \Gamma^* \times Q \times \Gamma^*$  be the set of instantaneous descriptions.

We use the relation  $\vdash_M \subseteq ID \times ID$  to describe the transitions of e TM  $M$ .

(when  $M$  is clear from the context, we abbreviate  $\vdash_M$  with  $\vdash$ )

Example:

$$q_0 01 \# 01 \vdash q_1 1 \# 01 \vdash 1 q_1 \# 01 \vdash$$

$$\vdash 1 \# q_3 01 \vdash 1 q_5 \# \# 1 \vdash$$

$$\vdash q_5 1 \# \# 1 \vdash q_6 \cancel{\#} 1 \# \# 1 \vdash$$

$$\vdash q_0 1 \# \# 1 \vdash \dots \vdash$$

$$\vdash q_5 \cancel{\#} \# \# \# \vdash q_7 \# \# \# \leftarrow \text{accepts}$$

Note: we can define  $\vdash_M$  formally, making use of  $\delta$ . [See next page]

Making use of the closure  $\vdash^*$  of  $\vdash$  we can define the language accepted by e TM

Definition: Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, \cancel{\#}, F)$  be a TM.

Then the language  $\mathcal{L}(M)$  accepted by  $M$  is

$$\mathcal{L}(M) = \left\{ w \in \Sigma^* \mid q_0 w \vdash^* \alpha_1 q \alpha_2 \text{ with } q \in F \text{ and } \alpha_1, \alpha_2 \in \Gamma^* \right\}$$

Relation  $\vdash_M \subseteq ID \times ID$  describes the moves of TM

$$M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$$

where  $ID = \Gamma^* \times Q \times \Gamma^*$

- Let  $\delta(q, x) = (p, y, L)$  be a leftward move of  $M$

$$\text{then } x_1 \dots x_{i-1} q x_i x_{i+1} \dots x_n \vdash_M x_1 \dots x_{i-2} p x_{i-1} y x_{i+1} \dots x_n$$

note: the head is now at cell  $i-1$

There are two exceptions to this general case

1) if  $i=1$ , then  $M$  moves to the blank to the left of  $x_1$

$$q x_1 x_2 \dots x_n \vdash_M p B y x_2 \dots x_n$$

2) if  $i=n$  and  $y=B$ , then the symbol  $B$  written over  $x_n$  is not represented in the resulting  $ID$

$$x_1 \dots x_{n-1} q x_n \vdash_M x_1 \dots x_{n-2} p x_{n-1}$$

- Similarly, we can define when  $ID_1 \vdash_M ID_2$  for a rightward move  $\delta(q, x) = (p, y, R)$  of  $M$

[Exercise]

Notes:

- 1) We have used TMs for language recognition, which in turn corresponds to solving decision problems
- We can, however, consider also TMs as computing functions
  - the output (result of the function) is left on the tape

2) The class of languages accepted by TMs are called recursively enumerable

- for a string  $w$  in the language
  - the TM halts on input  $w$  in a final state
- for a string  $w$  not in the language
  - the TM may halt in a non-final state, or
  - it may loop forever

Those languages for which the TM always halts (regardless of whether it accepts or not) are called recursive:

- these languages correspond to recursive functions
- TMs that always halt are a good model of algorithms and they correspond to decidable problems

We present some notational conveniences that make it easier to write TM programs

Idea: use structured states and tape symbols

1) Storage in the state: ("CPU register")

Idea: state names are a tuple of the form

$$[q, D_1, \dots, D_k]$$

$D_i$  ... acts as stored symbol

$q$  ... control portion of the state

Example: TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, \emptyset, F)$  for  $L = 01^* + 10^*$

Idea:  $M$  remembers the first symbol and checks that it does not reappear

$$Q = \{ [q_i, e] \mid i \in \{0, 1\}, e \in \{0, 1, \_ \} \} = \\ \{ [q_0, \_], [q_0, 0], [q_0, 1], [q_1, \_], [q_1, 0], [q_1, 1] \}$$

$$\Sigma = \{0, 1\}$$

$$\Gamma = \{0, 1, \emptyset\}$$

$$q_0 = [q_0, \emptyset]$$

$$F = \{ [q_1, \_] \}$$

Meaning of  $[q_i, e]$

- control portion  $q_i$ :

$q_0$  ...  $M$  has not yet read its first symbol

$q_1$  ...  $M$  has read its first symbol

- data portion  $e$ :  $e$  is the first symbol read

transitions:

$$\delta([q_0, -], e) = ([q_1, e], e, R), \text{ for } e \in \{0, 1\}$$

$\Rightarrow$  M remembers in  $[q_1, e]$  that it has read  $e$

$$\left. \begin{aligned} \delta([q_1, 0], 1) &= ([q_1, 0], 1, R) \\ \delta([q_1, 1], 0) &= ([q_1, 1], 0, R) \end{aligned} \right\} \begin{array}{l} \text{M moves right as} \\ \text{long as it does not} \\ \text{see the first symbol} \end{array}$$

$$\delta([q_1, e], \$) = ([q_1, -], \$, R), \text{ for } e \in \{0, 1\}$$

$\dots$  M accepts when it reaches the first  $\$$

## 2) Multiple tracks:

Idea: view tape as having multiple tracks, i.e.  $\Gamma$   
each symbol in  $\Gamma$  has multiple components

	0	*	$\$$	
...	1	0	0	...
	a	a	$\bar{1}$	

the symbols on the tape are  $\begin{bmatrix} 0 \\ 1 \\ a \end{bmatrix}$ ,  $\begin{bmatrix} * \\ 0 \\ a \end{bmatrix}$ ,  $\begin{bmatrix} \$ \\ 0 \\ \bar{1} \end{bmatrix}$

Example:  $L = \{ww \mid w \in \{0, 1\}^+\}$

We first need to find midpoint, and then we can match corresponding symbols.

To find midpoint: we view tape as 2 tracks

			*		
	0	1	1	0	1

$\leftarrow$  used to put markers on symbols

Hence:  $\Gamma = \left\{ \begin{bmatrix} \$ \\ \$ \end{bmatrix}, \begin{bmatrix} \$ \\ 0 \end{bmatrix}, \begin{bmatrix} \$ \\ 1 \end{bmatrix}, \begin{bmatrix} * \\ 0 \end{bmatrix}, \begin{bmatrix} * \\ 1 \end{bmatrix} \right\}$

(note: we need no \* over  $\$$ )



We put markers on two outermost symbols and move them inwards:

$$\begin{aligned}
& \delta(q_0, [\#_i]) = (q_1, [*_i], R) \\
& \delta(q_1, [\#_i]) = (q_1, [\#_i], R) \\
& \delta(q_1, [\#_i]) = (q_2, [\#_i], L) \\
& \delta(q_1, [*_i]) = (q_2, [\#_i], L) \\
& \delta(q_2, [\#_i]) = (q_3, [*_i], L) \\
& \delta(q_3, [\#_i]) = (q_3, [\#_i], L) \\
& \delta(q_3, [*_i]) = (q_0, [\#_i], R)
\end{aligned}
\left. \begin{array}{l} \\ \\ \\ \\ \\ \\ \end{array} \right\} \begin{array}{l} \text{move right till end} \\ \text{or first marked symbol} \\ \\ \text{move rightmost mark} \\ \text{one symbol to the left} \\ \\ \text{move left till end} \\ \text{or first marked symbol} \end{array}$$

Note: we have each of the above for  $i \in \{0, 1\}$

At the end: head is over first symbol of second w, with a \* above it, in state  $q_0$ .

### 3) Subroutines / procedure calls

15/10/2014

Example: shifting over

given:  $ID_1 = \alpha q_i x \beta$  for  $x \in \Gamma$

want:  $ID_2 = \alpha \square q_j x \beta$   $\alpha, \beta \in \Gamma^*$

$\square \in \Gamma$

Subroutine for shifting over can be used repeatedly to create space in the middle of the tape

e.g. to implement a counter

$$\begin{aligned}
& \$0\$ \rightarrow \$1\$ \rightarrow \$\square 1\$ \rightarrow \$01\$ \rightarrow \$10\$ \rightarrow \\
& \rightarrow \$11\$ \rightarrow \$\square 11\$ \rightarrow \$011\$ \rightarrow \dots
\end{aligned}$$

Procedure call:  $\delta(q_i, x) = ([\uparrow, x], [\uparrow], R)$ ,  $\forall x \in \Gamma$  (2.17) a

- remember return state  $q_i$ , end crossed symbol  $x$
- state  $\uparrow$  calls procedure

Procedure  $\uparrow$  for shifting

1) shift 1 cell to the right

$$\delta([\uparrow, x], y) = ([\uparrow, y], x, R) \quad \forall x, y \in \Gamma \text{ with } y \neq \$$$

2) till we have reached end of  $\beta$

$$\delta([\uparrow, y], \$) = (r, y, L) \quad \forall y \in \Gamma$$

3) return to calling point by moving left

$$\delta(r, y) = (r, y, L) \quad \forall y \neq [\uparrow]$$

4) exit end return to state  $q_i$

$$\delta(r, [\uparrow]) = (q_i, \square, R)$$

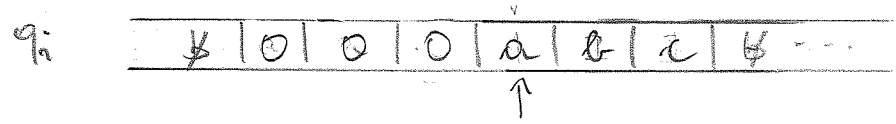
In fact, we can implement arbitrary complex procedures, with any kind of parameter passing

**Exercise:** redesign the TMs you have seen so far to take advantage of storage in the state, multiple tracks, and subroutines

**Exercise:** Implement a procedure call to copy a string to the end of the input, i.e. given  $ID_1 = \alpha \$ q_i \beta \$ \gamma$   
we want  $ID_2 = \alpha \$ q_i \beta \$ \gamma \$ \beta$

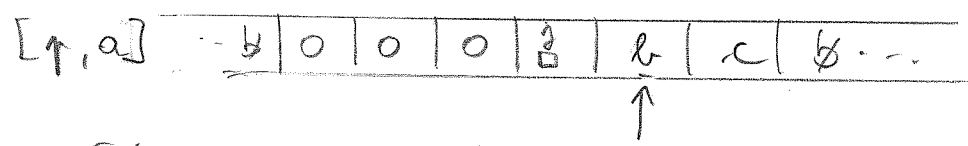
Example of computation for shifting over

State

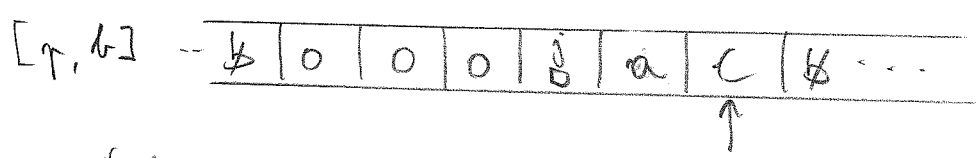


we want to place 0 after the 0's

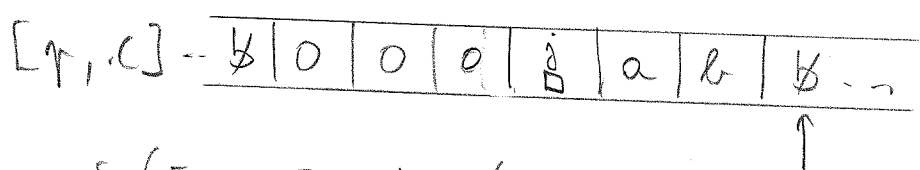
$$\delta(q_i, a) = ([q, a], [\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}], R)$$



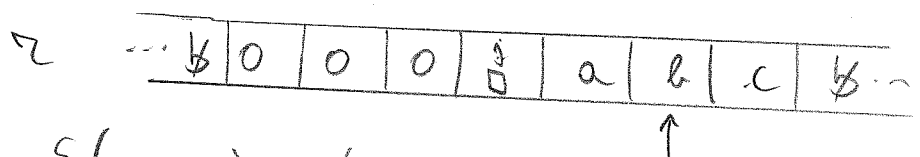
$$\delta([q, a], b) = ([q, b], a, R)$$



$$\delta([q, b], c) = ([q, c], b, R)$$

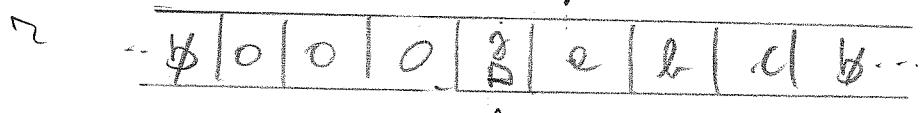


$$\delta([q, c], \emptyset) = (r, c, L)$$

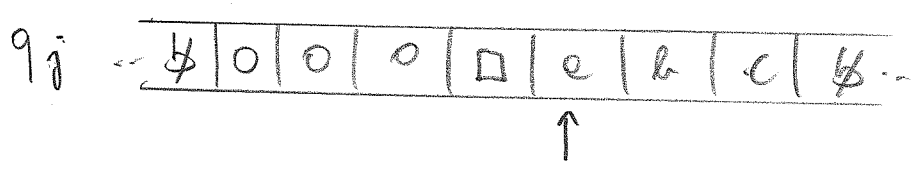


$$\delta(r, x) = (r, x, L)$$

$x \neq [\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}]$



$$\delta(r, [\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}]) = (q_i, \emptyset, R)$$



## Extensions to the basic TM

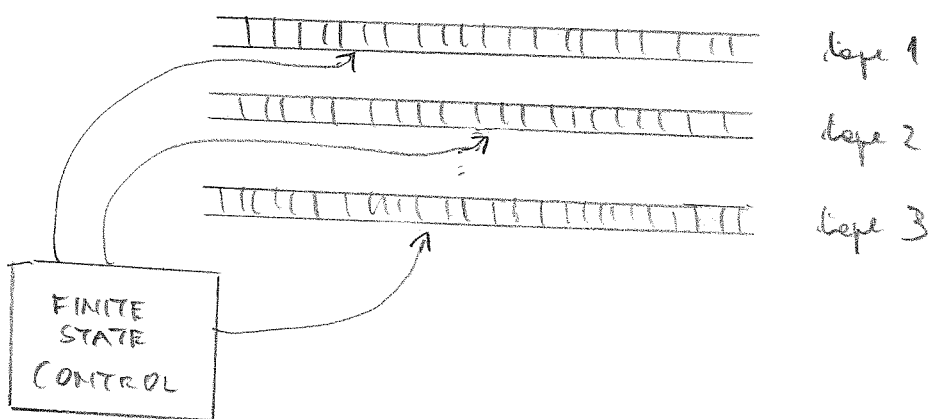
2.18

Note: if the TM seen so far can compute all that can be computed, then it should not become more expressive by extending it

We consider two extensions: - multiple tapes  
- nondeterminism

and show that both can be captured by the basic T.M.

### 1) Multi-tape T.M.



Initially: input  $w$  is on tape 1 with tape-head on the leftmost symbol. Other tapes are all blank.

Transitions: specify behaviour of each head independently

$$\delta(q, x_1, \dots, x_k) = (q, (y_1, d_1), \dots, (y_k, d_k))$$

$x_i$  ... symbol under head  $i$

$y_i$  ... new symbol written to head  $i$

$d_i$  ... direction in which head  $i$  moves

To simulate  $k$ -tape TM  $M_k$  with a 1-tape TM  $M_1$ , we use  $2k$  tracks in  $M_1$ : for each tape of  $M_k$

- one track of  $M_1$  to store tape content
- one track of  $M_1$  to mark head position with \*

	A	B	A	C	B	A		tape 1
				*				head 1
	0	0	1	1	1	0		tape 2
		*						head 2
	b	b	a	b	a	b		tape 3
						*		head 3

Each transition of  $M_k$  is simulated by a series of transitions of  $M_1$ :  $\delta(q, x_1, \dots, x_k) = (q, (y_1, d_1), \dots, (y_k, d_k))$

- start at leftmost head position marker
- sweep right and remember in appropriate "CPU registers" the symbols  $x_i$  under each head (note: there are exactly  $k$ , and hence finitely many)
- knowing all  $x_i$ 's, sweep left, change each  $x_i$  to  $y_i$ , and move the marker for tape  $i$  according to  $d_i$

Note:  $M_1$  needs to remember always how many of the  $k$  heads are to its left (uses an additional CPU-register)

The final states of  $M_1$  are those that have in the state-component a final state of  $M_k$ .

We can verify that we can construct  $M_1$  so that

$$L(M_1) = L(M_k)$$

(details are straightforward, but cumbersome)

**Exercise** Provide the details of the construction to convert a 2-tape TM to a 1-tape TM

## Simulation speed:

2:20

Note: - enhancements do not affect the expressive power of e TM  
- they do affect its efficiency

Definition: e TM is said to have running time  $T(n)$  if it halts within  $T(n)$  steps on all inputs of length  $n$ .

Note:  $T(n)$  could be infinite

Theorem: If  $M_k$  has running time  $T(n)$ , then  $M_s$  will simulate it with running time  $O(T(n)^2)$ .

Proof: Consider input  $w$  of length  $n$ .

- $M_k$  runs at most  $T(n)$  time on it.
- At each step, leftmost and rightmost heads can drift apart by at most 2 additional cells.
- It follows that after  $T(n)$  steps the  $k$  heads cannot be more than  $2 \cdot T(n)$  apart, and  $M_k$  uses  $\leq 2 \cdot T(n)$  tape cells

Consider  $M_s$ :

- makes two sweeps for each transition of  $M_k$
- each sweep takes at most  $O(T(n))$
- number of transitions of  $M_k$  is  $\leq T(n)$

It follows that the total running time is  $O(T(n)^2)$ .

2) Non-deterministic TMs (NTM)

In a (deterministic) TM,  $\delta(q, x)$  is unique or undefined

In a NTM,  $\delta(q, x)$  is a finite set of triples

$$\delta(q, x) = \{(r_1, y_1, d_1), \dots, (r_k, y_k, d_k)\}$$

At each step, the NTM can non-deterministically choose which transition to make.

As for other ND devices: a string  $w$  is accepted if the NTM has at least one execution leading to a final state.

21/10/2014

16/10/2013

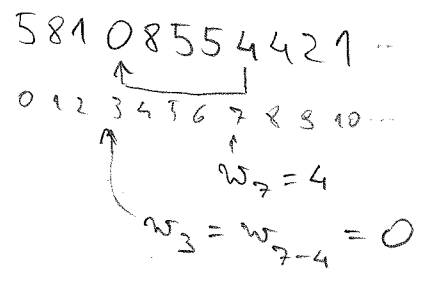
Example:  $\Sigma = \{0, 1, \dots, 9\}$

$$L = \{w \in \Sigma^* \mid \text{a } 0 \text{ appears } i \text{ positions to the left of some } i, \text{ in } w, \text{ with } 0 < i \leq 9\} =$$

$$= \{w \in \Sigma^* \mid \exists j > 0 \text{ s.t. } w_{j-1} = 0\}$$

( $w_i$  indicates the  $i$ -th character of  $w$ )

Ex.  $02146 \notin L$



NTM  $N$ : s.t.  $L(M) = L$

$$Q = \{q_0, f, [r, 0], [r, 1], \dots, [r, 9]\}$$

$$F = \{f\}$$

$$\Gamma = \{0, 1, \dots, 9, \emptyset\}$$

Idea for N: scan w from left to right,

- guess at some  $w_j = i$ ,
- store i in CPU register, and
- move i steps left to find 0

Transitions:

- $\delta(q_0, 0) = \{(q_0, 0, R)\}$  (since  $w_j > 1$ )
- $\forall i > 0 : \delta(q_0, i) = \{(q_0, i, R), ([\uparrow, i], i, L)\}$   
↑  
guess
- $\forall i \geq 2, \forall x \in \Gamma : \delta([\uparrow, i], x) = \{[\uparrow, i-1], x, L\}$
- accepting:  $\delta([\uparrow, 1], 0) = \{f, 0, R\}$

Execution traces on input  $w = 103332$

$q_0 103332 \vdash 1q_0 03332 \vdash 10q_0 3332 \vdash 103q_0 332 \vdash$   
 $\vdash 10[\uparrow, 3]3332 \vdash 1[\uparrow, 2]03332 \vdash [\uparrow, 1]103332$   
 $\Rightarrow \text{reject}$

$q_0 103332 \vdash^* 1033q_0 32 \vdash 103[\uparrow, 3]332 \vdash$   
 $\vdash 10[\uparrow, 2]3332 \vdash 1[\uparrow, 1]03332 \vdash 10f 3332$   
 $\Rightarrow \text{accept}$

Theorem: Let N be a NTM. Then there exists a DTM D s.t.  
 $L(D) = L(N)$

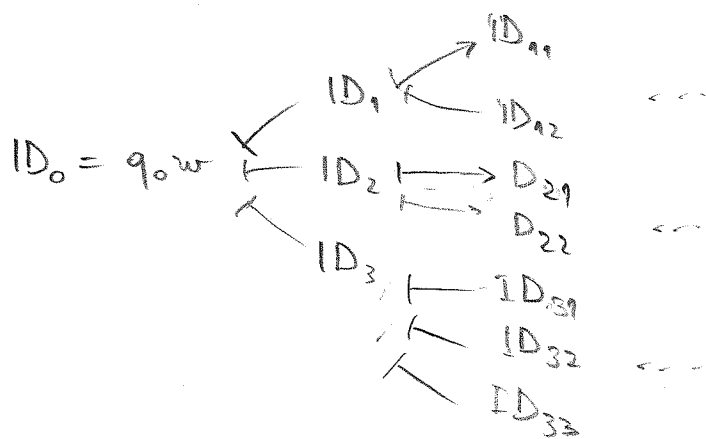
Proof: Given N, we show how to construct a multi-tape DTM that can simulate the execution of N on some input w. We can then convert the multi-tape DTM to a single-tape DTM



Idea for the simulation:

2.23

Consider the execution tree of  $N$  on some input  $w$



DTM  $D$  will perform a breadth-first search of the execution tree, systematically enumerating the IDs, until it finds an accepting one.

We use two tapes:

tape 2: is for working

tape 1: contains a sequence of ID's of  $N$  in BFS order

- $*$  used to separate two ID's
- $\hat{*}$  marks next ID to be explored
  - ID's to the left of  $\hat{*}$  have been explored
  - ID's to the right of  $\hat{*}$  are still to be explored
- initially, only  $ID_0 = q_0 w$  is on the tape
- we can use multiple tracks for convenience

"BFS order" means that the sequence of IDs is managed as a queue:

- $*$  determines the beginning of the queue
- the end of tape 1 represents the end of the queue

Algorithm: repeat the following steps

step 0: examine current  $ID_c$  (the one after  $\hat{*}$ ) and read  $q, e$  from it

if  $q \in F$ , then accept and halt

step 1: let  $\delta(q, e)$  have  $k$  possible transitions

- copy  $ID_c$  onto tape 2

- make  $k$  new copies of  $ID_c$  and place them at the end of tape 1

step 2: modify the  $k$  copies of  $ID_c$  on tape 1 to become the  $k$  possible outcomes of  $\delta(q, e)$  on  $ID_c$

step 3: move  $\hat{*}$  right past  $ID_c$ .

clean up tape 2

return to step 0

It is possible to verify:

- the above steps can all be implemented in a DTM.

- the construction is correct, i.e.  $w \in L(D)$  iff  $w \in L(N)$

Evolution of tape 1:

1)  $\hat{*} ID_0 *$

2)  $\hat{*} ID_0 * ID_0 * ID_0 * ID_0 *$

3)  $\hat{*} ID_0 * ID_1 * ID_2 * ID_3 *$

4)  $* ID_0 \hat{*} ID_1 * ID_2 * ID_3 *$

5)  $* ID_0 \hat{*} ID_1 * ID_2 * ID_3 * ID_{11} * ID_{12} *$

6)  $* \quad \quad \quad - \quad - \quad \quad * ID_{11} * ID_{12} *$

7)  $* ID_0 * ID_1 \hat{*} ID_2 * ID_3 * ID_{11} * ID_{12} *$

...

## Simulation time:

2.25

Let NTM  $N$  have running time  $T(n)$ .

What is the running time of  $D$ ?

Let  $m$  be the maximum number of non-det. choices for each transition (i.e., the maximum size of  $\delta(q, x)$ )

Consider execution tree of  $N$  on  $w$ .

let  $t = T(|w|) \Rightarrow$  exec. tree has at most  $t$  levels

size of the tree is  $\leq 1 + m + m^2 + \dots + m^t =$

$$\equiv \frac{m^{t+1} - 1}{m - 1} = O(m^t)$$

Thus  $D$  has at most  $O(m^t)$  iterations of steps 0-3.

Each iteration requires at most  $O(m^t)$  steps

$\Rightarrow$  Total running time is  $m^{O(t)}$ , i.e. exponential