

Classification of grammars into 4 groups, depending on the form of the productions:

- grammars of type 0 : no limitations
- ——— " ——— 1 : context-sensitive
- ——— " ——— 2 : context-free
- ——— " ——— 3 : regular (or right linear)

Definition : grammar of type 0

Productions have the most general form $\alpha \rightarrow \beta$, with $\alpha \in V^* \cdot V_N \cdot V^*$ and $\beta \in V^*$

A language generated by a grammar of type 0 is called of type 0.

Example: grammar for $L = \{0^n 1^m \mid m, n \in \mathbb{N} \text{ \& } n < m\}$

$G = (\{S\}, \{0, 1\}, P, S)$

with P : 1) $S \rightarrow OS1$

generates $\underbrace{0 \dots 0}_{k \text{ times}} \underbrace{S1 \dots 1}_{k \text{ times}}$

2) $OS \rightarrow S$

removes a 0 on the left of S

3) $S \rightarrow 1$

generates the final 1

Derivation of 001111:

$S \xrightarrow{1} OS1 \xrightarrow{1} OOS11 \xrightarrow{1} OOS111 \xrightarrow{2} OOS111 \xrightarrow{3} 001111$

Derivation of 111:

$S \xrightarrow{1} OS1 \xrightarrow{1} OOS11 \xrightarrow{2} OS11 \xrightarrow{2} S11 \xrightarrow{3} 111$

- Note: 1) the application of productions could go on forever (e.g. rule 1 in the previous example)
- 2) grammars of type 0 allow for derivations that shorten the sentential form (see for instance the previous derivations)

Definition: grammar of type 1 (context-sensitive)

Productions have the form $\alpha \rightarrow \beta$, with $\alpha \in V^* \cdot V_N \cdot V^*$, $\beta \in V^+$, and $|\alpha| \leq |\beta|$

These productions cannot shorten the length of the sentential form to which they are applied

A language generated by a grammar of type 1 is called of type 1 or context-sensitive

Example: grammar for $L = \{a^n b^n c^n \mid n \geq 1\}$

$$G = (\{S, A, B, C\}, \{a, b, c\}, P, S)$$

- with P :
- 1) $S \rightarrow aSBC$
 - 2) $S \rightarrow aBC$
 - 3) $CB \rightarrow BC$
 - 4) $aB \rightarrow ab$
 - 5) $bB \rightarrow bb$
 - 6) $bC \rightarrow bc$
 - 7) $cC \rightarrow cc$
- generate $\underbrace{aa \dots a}_{k \text{ times}} \underbrace{BCBC \dots BC}_{k \text{ times}}$
- moves the C's to the end
- generate the terminals from left to right
- Note: we cannot simply have $B \rightarrow b$ and $C \rightarrow c$ because this would generate many words not in L

Example (con't)

Derivation of $aaabbbccc$:

$$\begin{aligned}
 S &\stackrel{1}{\Rightarrow} a \underline{S} BC \stackrel{1}{\Rightarrow} aa \underline{S} BC BC \stackrel{2}{\Rightarrow} aaa \underline{S} BC BC BC \stackrel{3}{\Rightarrow} aaa \underline{S} BC BB CC \\
 &\stackrel{3}{\Rightarrow} aaa \underline{S} BB CC \stackrel{3}{\Rightarrow} aaa \underline{S} BB CC \stackrel{4}{\Rightarrow} aaab \underline{S} BB CC \\
 &\stackrel{5}{\Rightarrow} aaab \underline{S} CC \stackrel{5}{\Rightarrow} aaabbb \underline{S} CC \stackrel{6}{\Rightarrow} aaabbb \underline{S} CC \\
 &\stackrel{7}{\Rightarrow} aaabbb \underline{S} C \stackrel{7}{\Rightarrow} aaabbbccc
 \end{aligned}$$

Note: not each sequence of direct derivations leads to a sentence in $L(G)$

$$\begin{aligned}
 S &\Rightarrow a \underline{S} BC \Rightarrow aa \underline{S} BC BC \Rightarrow aaa \underline{S} BC BC BC \Rightarrow aaa \underline{S} BC BB CC \\
 &\Rightarrow aaab \underline{S} BB CC \Rightarrow \underline{aaabcb BB CC}
 \end{aligned}$$

we cannot apply any other production

Definition: grammar of type 2 (context-free)

Productions have the form $A \rightarrow \beta$, with $A \in V_N$ and $\beta \in V^+$

These productions are productions of type 1, with the additional requirement that on the left there is a single nonterminal

A language generated by a grammar of type 2 is called of type 2 or context-free

Example: grammar for $L = \{0^n 1^m \mid m, n \in \mathbb{N} \text{ \& } n < m\}$

$$G = (\{S, A, B\}, \{0, 1\}, P, S)$$

with P :

$$1) S \rightarrow A \mid B$$

$$2) A \rightarrow 0A1 \mid B$$

$$3) B \rightarrow 1 \mid 1B$$

generates $\underbrace{0 \dots 0}_{k \text{ times}} \underbrace{1 \dots 1}_{l \text{ times}} \quad k < l$

generates $\underbrace{1 \dots 1}_{k \text{ times}} \quad k > 1$

Example: grammar for $L = \{0^m 1^n 1^m 0^m \mid m, n \in \mathbb{N} \text{ \& } m+n > 0\}$

$$G = (\{S, A\}, \{0, 1\}, P, S)$$

with P : 1) $S \rightarrow 00 \mid 0S0 \mid A$

2) $A \rightarrow 11 \mid 1A1$

generates $\underbrace{0 \dots 0}_{k \text{ times}} \underbrace{1 \dots 1}_{l \text{ times}} \underbrace{1 \dots 1}_{k \text{ times}} \underbrace{0 \dots 0}_{l \text{ times}}$

generates $\underbrace{1 \dots 1}_{k \text{ times}}$

$k \geq 2 \text{ \& } k \text{ even}$ possibly $l=0$ or $l=0$ but not both

Definition: grammar of type 3 (regular, right linear)

Productions have the form $A \rightarrow S$, with $A \in V_N$

$$S \in V_T \cup (V_T \cdot V_N)$$

(i.e. $A \rightarrow aB$ or $A \rightarrow a$ with $A, B \in V_N$ and $a \in V_T$)

A language generated by a grammar of type 3 is called of type 3 or regular

Example: $\{a^n b \mid n \geq 0\}$ is of type 3, since it is generated by the grammar $S \rightarrow aS \mid b$

Note: a grammar of type 3 is called linear because on the righthand side of a production there is at most one nonterminal; it is called right-linear because the nonterminal is on the right of the terminal

Exercise: Show that grammars of type 3 generate the class of regular languages that do not contain ε

Solution to the exercise:

E9.5

Given a grammar $G = (V_N, V_T, P, S)$ we construct an NFA

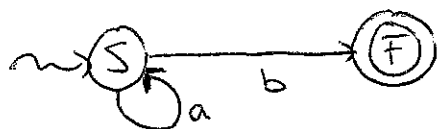
$$A_G = (V_N \cup \{F\}, V_T, S, S, \{F\})$$

with $B \in \delta(A, a)$ iff $A \rightarrow aB$

$F \in \delta(A, a)$ iff $A \rightarrow a$

Note that A_G is constructed in such a way that $w \in L(A_G)$ if and only if $w \in L(G)$

Example: If $G = (\{S\}, \{a, b\}, \{S \rightarrow aS \mid b\}, S)$ then A_G looks as follows



Conversely, given an NFA $A = (Q, \Sigma, \delta, q_0, F)$ we construct a grammar

$$G_A = (Q, \Sigma, P, q_0)$$

with $p \rightarrow aq \in P$ iff $q \in \delta(p, a)$

$p \rightarrow a \in P$ iff $q \in \delta(p, a)$ and $q \in F$

Note that G_A is constructed in such a way that $w \in L(G_A)$ if and only if $w \in L(A)$

Example: If $A = (\{q_0, q_1\}, \{a, b\}, \delta, q_0, \{q_1\})$ with $\delta(q_0, a) = \{q_0\}$, $\delta(q_0, b) = \{q_1\}$, and $\delta(q_1, a) = \delta(q_1, b) = \emptyset$ then A_G has the productions $q_0 \rightarrow aq_0 \mid bq_1 \mid b$

Note: We are never able to produce a sentence out of this sentential form