

Closure Properties of Regular Languages

Union, Intersection, Difference,
Concatenation, Kleene Closure,
Pumping Lemma,
Minimal State DFA

Closure Properties

- ◆ Recall a closure property is a statement that a certain operation on languages, when applied to languages in a class (e.g., the regular languages), produces a result that is also in that class.
- ◆ For regular languages, we can use any of its representations to prove a closure property.

Closure Under Union

- ◆ If L and M are regular languages, so is $L \cup M$.
- ◆ **Proof:** Let L and M be the languages of regular expressions R and S , respectively.
- ◆ Then $R|S$ is a regular expression whose language is $L \cup M$.

Closure Under Concatenation and Kleene Closure

- ◆ Same idea:
 - ◆ RS is a regular expression whose language is the concatenation LM .
 - ◆ R^* is a regular expression whose language is L^* .

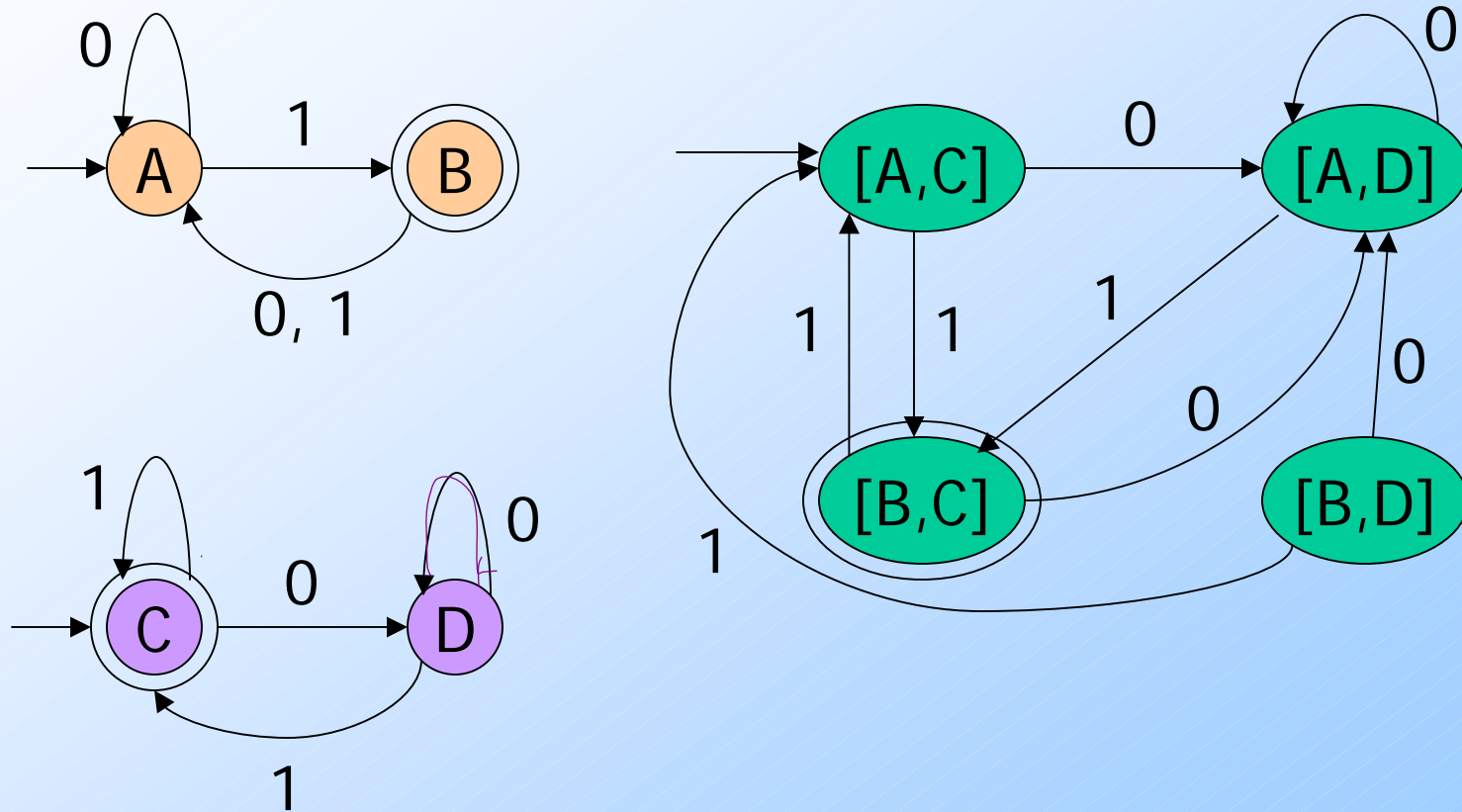
Product Automata

- ◆ Given languages L and M construct the *product DFA* from DFA's for L and M.
- ◆ Let these DFA's have sets of states Q and R, respectively.
- ◆ Product DFA has set of states $Q \times R$.
 - ◆ I.e., pairs $[q, r]$ with q in Q, r in R.

Product DFA – Continued

- ◆ Start state = $[q_0, r_0]$ (the start states of the DFA's for L, M).
- ◆ **Transitions:** $\delta([q,r], a) = [\delta_L(q,a), \delta_M(r,a)]$
 - ◆ δ_L, δ_M are the transition functions for the DFA's of L, M.
 - ◆ That is, we simulate the two DFA's in the two state components of the product DFA.

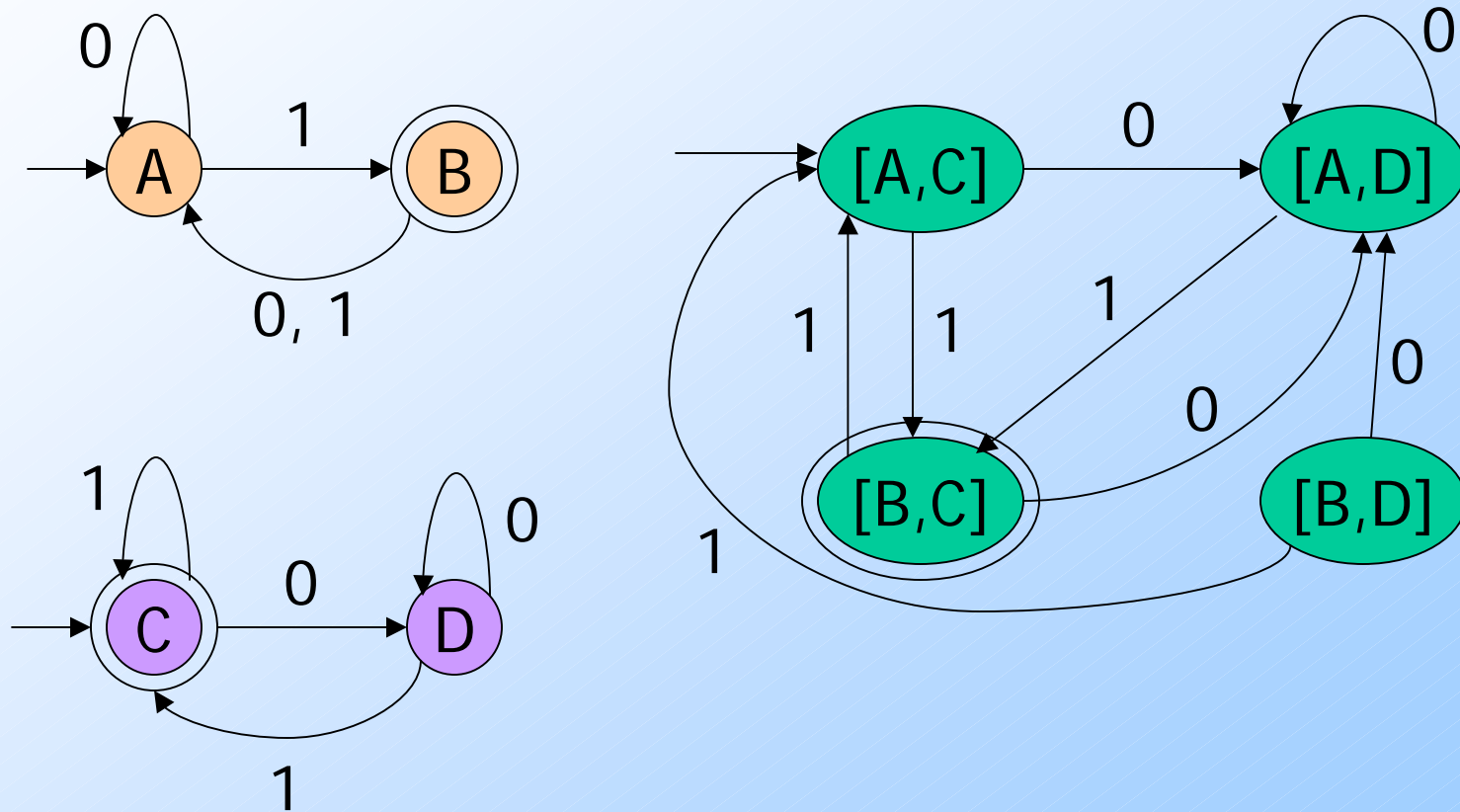
Example: Product DFA for Intersection



Closure Under Intersection

- ◆ If L and M are regular languages, then so is $L \cap M$.
- ◆ **Proof:** Let A and B be DFA's whose languages are L and M , respectively.
- ◆ Construct C , the product automaton of A and B .
- ◆ Make the final states of C be the pairs consisting of final states of both A and B .

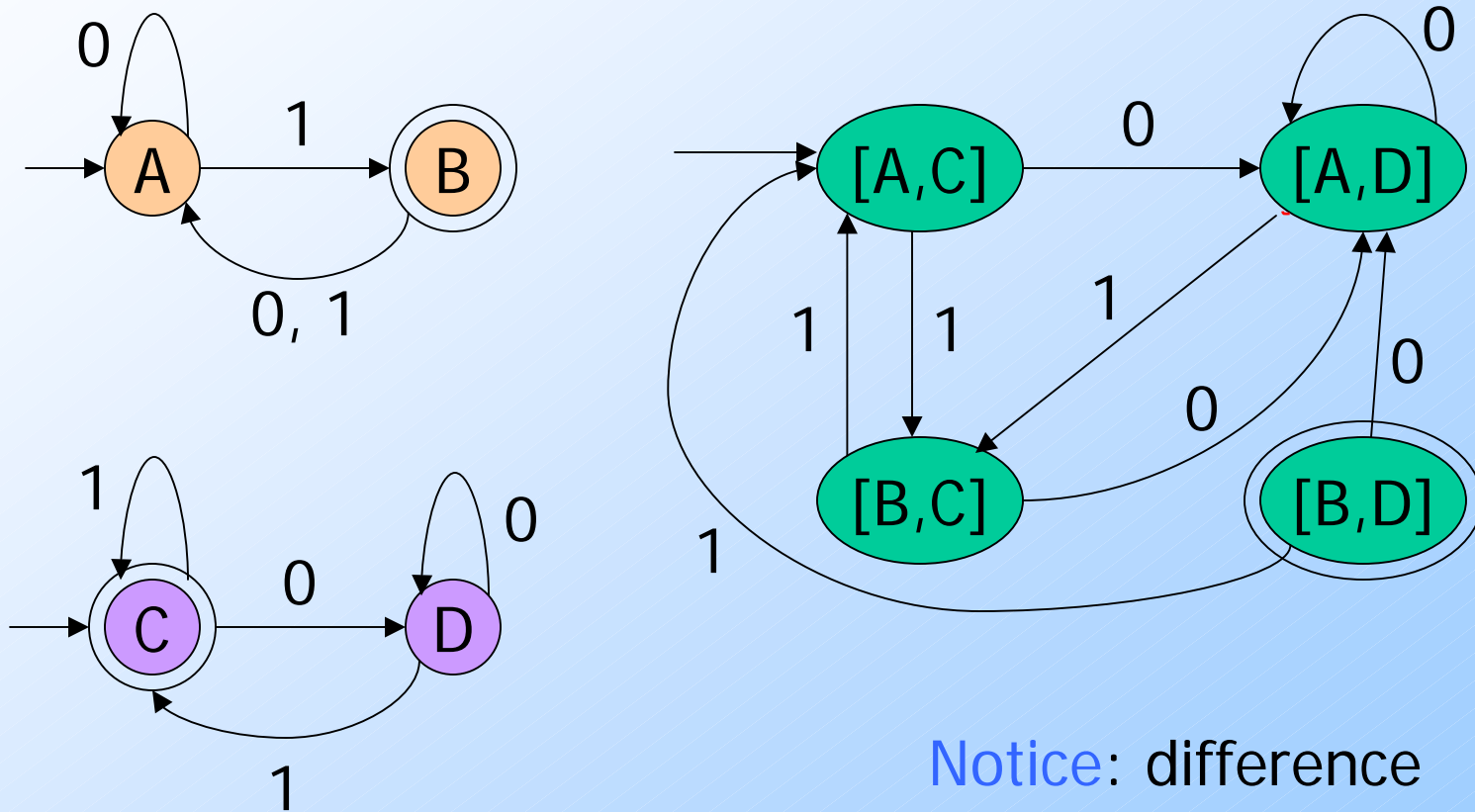
Example: Product DFA for Intersection



Closure Under Difference

- ◆ If L and M are regular languages, then so is $L - M =$ strings in L but not M .
- ◆ **Proof:** Let A and B be DFA's whose languages are L and M , respectively.
- ◆ Construct C , the product automaton of A and B .
- ◆ Make the final states of C be the pairs where A -state is final but B -state is not.

Example: Product DFA for Difference



Notice: difference is the empty language

Closure Under Complementation

- ◆ The *complement* of a language L (with respect to an alphabet Σ such that Σ^* contains L) is $\Sigma^* - L$.
- ◆ Since Σ^* is surely regular, the complement of a regular language is always regular.

Closure Under Complementation

Let L be regular and $A_L = (S, V, \delta, s_0, F)$ its DFA

The DFA for the complement language, \underline{L} , is:

$$A_{\underline{L}} = (S, V, \delta, s_0, S - F)$$

Decision Properties of Regular Languages

General Discussion of “Properties”

The Pumping Lemma

Membership, Emptiness, Etc.

Decision Properties

- ◆ A *decision property* for a class of languages is an algorithm that takes a formal description of a language (e.g., a DFA) and tells whether or not some property holds.
- ◆ **Example:** Is language L empty?

The Membership Question

- ◆ Our first decision property is the question: “is string w in regular language L ?”
- ◆ Assume L is represented by a DFA A .
- ◆ Simulate the action of A on the sequence of input symbols forming w .

The Emptiness Problem

- ◆ Given a regular language, does the language contain any string at all.
- ◆ Assume representation is DFA.
- ◆ Construct the transition graph.
- ◆ Compute the set of states reachable from the start state.
- ◆ If any final state is reachable, then yes, else no.

The Infiniteness Problem

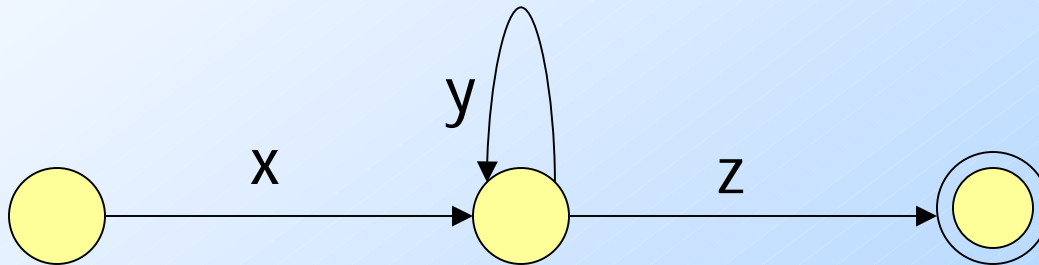
- ◆ Is a given regular language infinite?
- ◆ Start with a **DFA** for the language.
- ◆ **Key idea**: if the DFA has n states, and the language contains any string of length n or more, then the language is infinite.
- ◆ Otherwise, the language is surely finite.
 - ◆ Limited to strings of length n or less.

Proof of Key Idea

- ◆ If a DFA with $|S|=n$ accepts a string w of length n or more, then **there must be a state that appears twice** on the path labeled w from the start state to a final state.
- ◆ Because there are at least $n+1$ states along the path.

Proof – (2)

$w = xyz$, with $y \neq \epsilon$

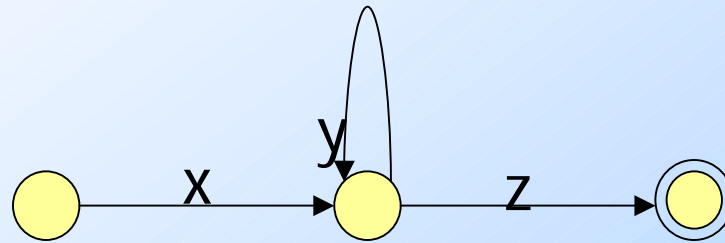


Then xy^iz is in the language for all $i \geq 0$.

Since y is not ϵ , we see an infinite number of strings in L .

Proof of **Infiniteness**

◆ Remember:



◆ We can choose y to be the **first** cycle on the path.

◆ So $|xy| \leq n$; in particular, $1 \leq |y| \leq n$.

◆ Thus, if w is of length n or more, then $w = xyz$, but also xy^iz is recognized.

The Pumping Lemma

- ◆ We have, almost accidentally, proved a statement that is quite useful for showing certain languages are not regular.
- ◆ Called the *pumping lemma for regular languages*.

Statement of the Pumping Lemma

For every regular language L

Number of
states of
DFA for L

There is an integer n , such that

For every string w in L of length $\geq n$

We can write $w = xyz$ such that:

1. $|xy| \leq n$
2. $|y| \geq 1$
3. For all $i \geq 0$, xy^iz is in L.

Labels along
first cycle on
path labeled w

Example: Use of Pumping Lemma

- ◆ We have claimed $\{0^k1^k \mid k \geq 1\}$ is not a regular language.
- ◆ Suppose it were. Then there would be an associated n for the pumping lemma.
- ◆ Let $w = 0^n1^n$. We can write $w = xyz$, where x and y consist of 0's, and $y \neq \epsilon$.
- ◆ But then $xyyz$ would be in L , and this string has more 0's than 1's.

Example: Use of Closure Property

- ◆ We proved with Pumping Lemma that $L_1 = \{0^n 1^n \mid n \geq 0\}$ is not a regular.
- ◆ $L_2 =$ the set of strings with an equal number of 0's and 1's isn't either, but that fact is trickier to prove.
- ◆ Regular languages are closed under \cap .
- ◆ If L_2 were regular, then $L_2 \cap L(\mathbf{0^*1^*}) = L_1$ would be, but it isn't.

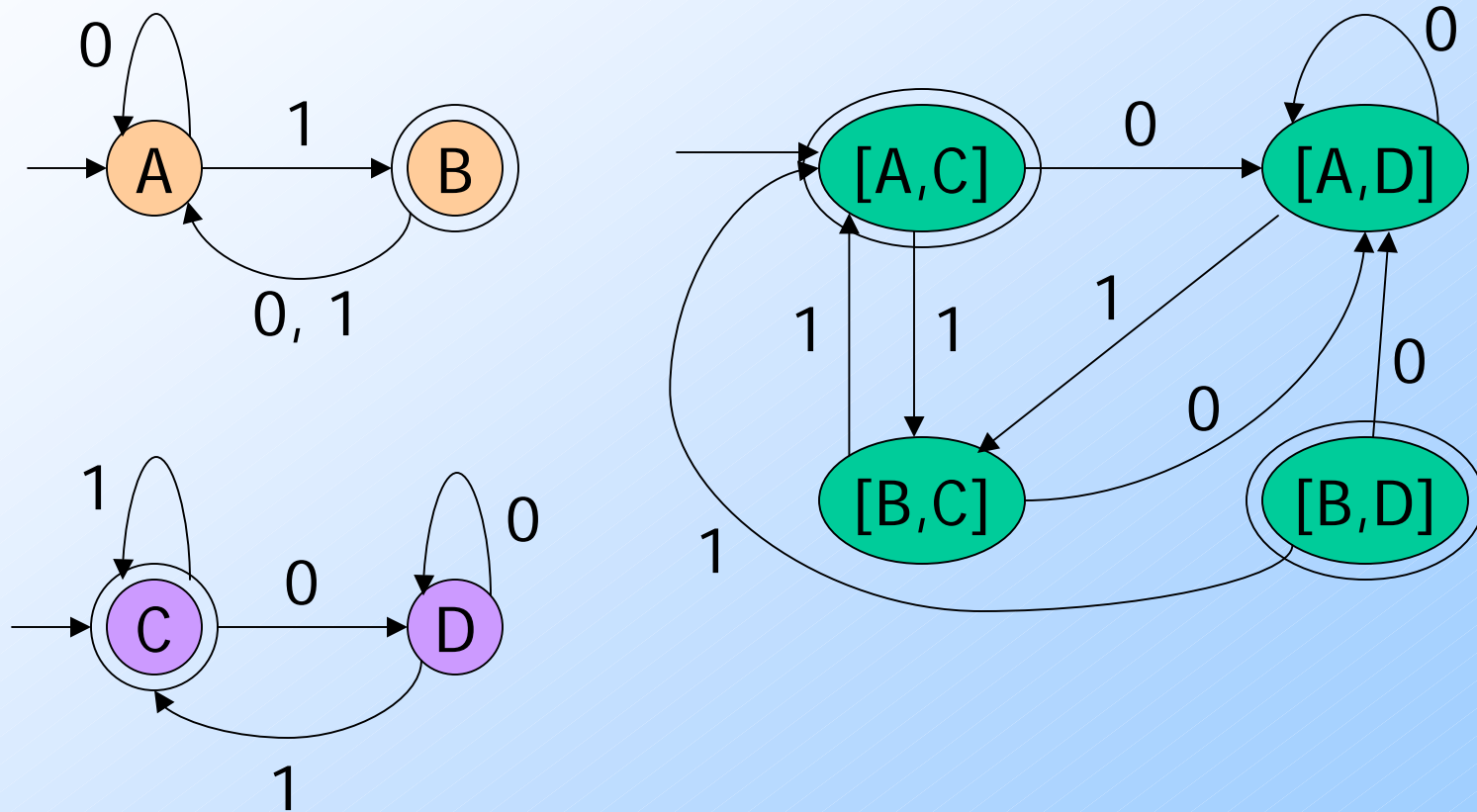
Decision Property: Equivalence

- ◆ Given regular languages L and M , is $L = M$?
- ◆ Algorithm involves constructing the *product DFA* from DFA's for L and M .

Equivalence Algorithm

- ◆ Make the final states of the product DFA be those states $[q, r]$ such that exactly one of q and r is a final state of its own DFA.
- ◆ Thus, the product accepts w iff w is in exactly one of L and M .

Example: Equivalence



Equivalence Algorithm – (2)

- ◆ The product DFA's language is empty iff $L = M$.
- ◆ But we already have an algorithm to test whether the language of a DFA is empty.

Decision Property: Containment

- ◆ Given regular languages L and M , is $L \subseteq M$?
- ◆ Algorithm also uses the product automaton.
- ◆ How do you define the final states $[q, r]$ of the product so its language is empty iff $L \subseteq M$?

Answer: q is final; r is not.

The Minimum-State DFA for a Regular Language

- ◆ In principle, since we can test for equivalence of DFA's we can, given a DFA A find the DFA with the fewest states accepting $L(A)$.
- ◆ Test all smaller DFA's for equivalence with A .
- ◆ But that's a terrible algorithm.

Efficient State Minimization

- ◆ Construct a table with all pairs of states.
- ◆ If you find a string that *distinguishes* two states (takes exactly one to an accepting state), mark that pair.
- ◆ Algorithm is a recursion on the length of the shortest distinguishing string.

State Minimization – (2)

- ◆ **Basis**: Mark a pair if exactly one is a final state.
- ◆ **Induction**: mark $[q, r]$ if there is some input symbol a such that $[\delta(q,a), \delta(r,a)]$ is marked.
- ◆ After no more marks are possible, the unmarked pairs are equivalent and can be merged into one state.

Constructing the Minimum-State DFA

- ◆ Suppose q_1, \dots, q_k are indistinguishable states.
- ◆ Replace them by one state q .
- ◆ Then $\delta(q_1, a), \dots, \delta(q_k, a)$ are all indistinguishable states.
 - ◆ **Key point:** otherwise, we should have marked at least one more pair.
- ◆ Let $\delta(q, a) =$ the representative state for that group.

Example: State Minimization

	r	b
→ {1}	{2,4}	{5}
{2,4}	{2,4,6,8}	{1,3,5,7}
{5}	{2,4,6,8}	{1,3,7,9}
{2,4,6,8}	{2,4,6,8}	{1,3,5,7,9}
{1,3,5,7}	{2,4,6,8}	{1,3,5,7,9}
* {1,3,7,9}	{2,4,6,8}	{5}
* {1,3,5,7,9}	{2,4,6,8}	{1,3,5,7,9}

	r	b
→ A	B	C
B	D	E
C	D	F
D	D	G
E	D	G
* F	D	C
* G	D	G

Here it is
with more
convenient
state names

Remember this DFA? It was constructed for the chessboard NFA by the subset construction.

Example – Continued

	r	b
→ A	B	C
B	D	E
C	D	F
D	D	G
E	D	G
* F	D	C
* G	D	G

	G	F	E	D	C	B
A	X	X				
B	X	X				
C	X	X				
D	X	X				
E	X	X				
F						

Start with marks for the pairs with one of the final states F or G.

Example – Continued

	r	b
→	A B	C
	B D	E
	C D	F
	D D	G
	E D	G
*	F D	C
*	G D	G

	G	F	E	D	C	B
A	X	X				
B	X	X				
C	X	X				
D	X	X				
E	X	X				
F						

Input r gives no help,
because the pair [B, D]
is not marked.

Example – Continued

	r	b
→ A	B	C
B	D	E
C	D	F
D	D	G
E	D	G
* F	D	C
* G	D	G

	G	F	E	D	C	B
A	X	X	X	X	X	
B	X	X	X	X	X	
C	X	X				
D	X	X				
E	X	X				
F	X					

But input b distinguishes $\{A, B, F\}$ from $\{C, D, E, G\}$. For example, $[A, C]$ gets marked because $[C, F]$ is marked.

Example – Continued

	r	b
→ A	B	C
B	D	E
C	D	F
D	D	G
E	D	G
* F	D	C
* G	D	G

	G	F	E	D	C	B
A	X	X	X	X	X	
B	X	X	X	X	X	
C	X	X	X	X		
D	X	X				
E	X	X				
F	X					

[C, D] and [C, E] are marked because of transitions on b to marked pair [F, G].

Example – Continued

	r	b
→ A	B	C
B	D	E
C	D	F
D	D	G
E	D	G
* F	D	C
* G	D	G

[A, B] is marked because of transitions on r to marked pair [B, D].

	G	F	E	D	C	B
A	X	X	X	X	X	X
B	X	X	X	X	X	
C	X	X	X	X		
D	X	X				
E	X	X				
F	X					

[D, E] can never be marked, because on both inputs they go to the same state.

Example – Concluded

	r	b
→ A	B	C
B	D	E
C	D	F
D	D	G
E	D	G
* F	D	C
* G	D	G

	r	b
→ A	B	C
B	H	H
C	H	F
H	H	G
* F	H	C
* G	H	G

	G	F	E	D	C	B
A	X	X	X	X	X	X
B	X	X	X	X	X	
C	X	X	X	X		
D	X	X				
E	X	X				
F	X					

Replace D and E by H.
Result is the minimum-state DFA.

Eliminating Unreachable States

- ◆ Unfortunately, combining indistinguishable states could leave us with unreachable states in the “minimum-state” DFA.
- ◆ Thus, before or after, remove states that are not reachable from the start state.

Clincher

- ◆ We have combined states of the given DFA wherever possible.
- ◆ Could there be another, completely unrelated DFA with fewer states?
- ◆ No. The proof involves minimizing the DFA we derived with the hypothetical better DFA.