## Reasoning with Variables

- An instance of an atom or a clause is obtained by uniformly substituting terms for variables.
- A substitution is a finite set of the form $\left\{V_{1} / t_{1}, \ldots, V_{n} / t_{n}\right\}$, where each $V_{i}$ is a distinct variable and each $t_{i}$ is a term.
- The application of a substitution $\sigma=\left\{V_{1} / t_{1}, \ldots, V_{n} / t_{n}\right\}$ to an atom or clause $e$, written $e \sigma$, is the instance of $e$ with every occurrence of $V_{i}$ replaced by $t_{i}$.


## Application Examples

The following are substitutions:

- $\sigma_{1}=\{X / A, Y / b, Z / C, D / e\}$
- $\sigma_{2}=\{A / X, Y / b, C / Z, D / e\}$
- $\sigma_{3}=\{A / V, X / V, Y / b, C / W, Z / W, D / e\}$

The following shows some applications:

- $p(A, b, C, D) \sigma_{1}=$
- $p(X, Y, Z, e) \sigma_{1}=$
- $p(A, b, C, D) \sigma_{2}=$
- $p(X, Y, Z, e) \sigma_{2}=$
- $p(A, b, C, D) \sigma_{3}=$
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- $p(X, Y, Z, e) \sigma_{1}=p(A, b, C, e)$
- $p(A, b, C, D) \sigma_{2}=p(X, b, Z, e)$
- $p(X, Y, Z, e) \sigma_{2}=p(X, b, Z, e)$
- $p(A, b, C, D) \sigma_{3}=p(V, b, W, e)$
- $p(X, Y, Z, e) \sigma_{3}=p(V, b, W, e)$


## Unifiers

- Substitution $\sigma$ is a unifier of $e_{1}$ and $e_{2}$ if $e_{1} \sigma=e_{2} \sigma$.
- Substitution $\sigma$ is a most general unifier (mgu) of $e_{1}$ and $e_{2}$ if
- $\sigma$ is a unifier of $e_{1}$ and $e_{2}$; and
- if substitution $\sigma^{\prime}$ also unifies $e_{1}$ and $e_{2}$, then $e \sigma^{\prime}$ is an instance of $e \sigma$ for all atoms $e$.
- If two atoms have a unifier, they have a most general unifier.


## Unification Example

Which of the following are unifiers of $p(A, b, C, D)$ and $p(X, Y, Z, e)$ :

- $\sigma_{1}=\{X / A, Y / b, Z / C, D / e\}$
- $\sigma_{2}=\{Y / b, D / e\}$
- $\sigma_{3}=\{X / A, Y / b, Z / C, D / e, W / a\}$
- $\sigma_{4}=\{A / X, Y / b, C / Z, D / e\}$
- $\sigma_{5}=\{X / a, Y / b, Z / c, D / e\}$
- $\sigma_{6}=\{A / a, X / a, Y / b, C / c, Z / c, D / e\}$
- $\sigma_{7}=\{A / V, X / V, Y / b, C / W, Z / W, D / e\}$
- $\sigma_{8}=\{X / A, Y / b, Z / A, C / A, D / e\}$

Which are most general unifiers?

## Unification Example

$p(A, b, C, D)$ and $p(X, Y, Z, e)$ have as unifiers:

- $\sigma_{1}=\{X / A, Y / b, Z / C, D / e\}$
- $\sigma_{4}=\{A / X, Y / b, C / Z, D / e\}$
- $\sigma_{7}=\{A / V, X / V, Y / b, C / W, Z / W, D / e\}$
- $\sigma_{6}=\{A / a, X / a, Y / b, C / c, Z / c, D / e\}$
- $\sigma_{8}=\{X / A, Y / b, Z / A, C / A, D / e\}$
- $\sigma_{3}=\{X / A, Y / b, Z / C, D / e, W / a\}$

The first three are most general unifiers.
The following substitutions are not unifiers:

- $\sigma_{2}=\{Y / b, D / e\}$
- $\sigma_{5}=\{X / a, Y / b, Z / c, D / e\}$


## Proofs

- A proof is a mechanically derivable demonstration that a formula logically follows from a knowledge base.
- Given a proof procedure, $K B \vdash g$ means $g$ can be derived from knowledge base $K B$.
- Recall $K B \models g$ means $g$ is true in all models of $K B$.
- A proof procedure is sound if $K B \vdash g$ implies $K B \models g$.
- A proof procedure is complete if $K B \models g$ implies $K B \vdash g$.


## Bottom-up proof procedure

$K B \vdash g$ if there is $g^{\prime}$ added to $C$ in this procedure where $g=g^{\prime} \theta$ :
$C:=\{ \} ;$

## repeat

select clause " $h \leftarrow b_{1} \wedge \ldots \wedge b_{m}$ " in $K B$ such that there is a substitution $\theta$ such that for all $i$, there exists $b_{i}^{\prime} \in C$ where $b_{i} \theta=b_{i}^{\prime} \theta$ and there is no $h^{\prime} \in C$ such that $h^{\prime}$ is more general than $h \theta$ $C:=C \cup\{h \theta\}$
until no more clauses can be selected.

## Example

live $(Y) \leftarrow$ connected_to $(Y, Z) \wedge$ live $(Z)$. live(outside). connected_to( $\left.w_{6}, w_{5}\right)$. connected_to( $w_{5}$, outside).

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$C=\{$ live(outside),
connected_to ( $\left.w_{6}, w_{5}\right)$,
connected_to( $w_{5}$, outside),
live ( $w_{5}$ ),
live $\left.\left(w_{6}\right)\right\}$

## Soundness of bottom-up proof procedure

If $K B \vdash g$ then $K B \models g$.

- Suppose there is a $g$ such that $K B \vdash g$ and $K B \not \vDash g$.
- Then there must be a first atom added to $C$ that has an instance that isn't true in every model of KB. Call it $h$. Suppose $h$ isn't true in model I of $K B$.
- There must be a clause in $K B$ of form

$$
h^{\prime} \leftarrow b_{1} \wedge \ldots \wedge b_{m}
$$

where $h=h^{\prime} \theta$. Each $b_{i}$ is true in $I . h$ is false in $I$. So this clause is false in $I$. Therefore $I$ isn't a model of $K B$.

- Contradiction.


## Fixed Point

- The $C$ generated by the bottom-up algorithm is called a fixed point.
- C can be infinite; we require the selection to be fair.
- Herbrand interpretation: The domain is the set of constants. We invent one if the KB or query doesn't contain one.
Each constant denotes itself.
- Let $I$ be the Herbrand interpretation in which every ground instance of every element of the fixed point is true and every other atom is false.
- $I$ is a model of $K B$.

Proof: suppose $h \leftarrow b_{1} \wedge \ldots \wedge b_{m}$ in $K B$ is false in I. Then $h$ is false and each $b_{i}$ is true in $l$. Thus $h$ can be added to $C$. Contradiction to
$C$ being the fixed point.

- $l$ is called a Minimal Model.


## Completeness

If $K B \models g$ then $K B \vdash g$.

- Suppose $K B \vDash g$. Then $g$ is true in all models of $K B$.
- Thus $g$ is true in the minimal model.
- Thus $g$ is in the fixed point.
- Thus $g$ is generated by the bottom up algorithm.
- Thus $K B \vdash g$.


## Top-down Proof procedure

- A generalized answer clause is of the form

$$
\operatorname{yes}\left(t_{1}, \ldots, t_{k}\right) \leftarrow a_{1} \wedge a_{2} \wedge \ldots \wedge a_{m}
$$

where $t_{1}, \ldots, t_{k}$ are terms and $a_{1}, \ldots, a_{m}$ are atoms.

- The SLD resolution of this generalized answer clause on $a_{i}$ with the clause

$$
a \leftarrow b_{1} \wedge \ldots \wedge b_{p}
$$

where $a_{i}$ and $a$ have most general unifier $\theta$, is

$$
\begin{aligned}
& \left(y e s\left(t_{1}, \ldots, t_{k}\right) \leftarrow\right. \\
& \left.\quad a_{1} \wedge \ldots \wedge a_{i-1} \wedge b_{1} \wedge \ldots \wedge b_{p} \wedge a_{i+1} \wedge \ldots \wedge a_{m}\right) \theta .
\end{aligned}
$$

## To solve query ? $B$ with variables $V_{1}, \ldots, V_{k}$ :

Set $a c$ to generalized answer clause $y e s\left(V_{1}, \ldots, V_{k}\right) \leftarrow B$;
While ac is not an answer do
Suppose ac is yes $\left(t_{1}, \ldots, t_{k}\right) \leftarrow a_{1} \wedge a_{2} \wedge \ldots \wedge a_{m}$
Select atom $a_{i}$ in the body of $a c$;
Choose clause $a \leftarrow b_{1} \wedge \ldots \wedge b_{p}$ in $K B$;
Rename all variables in $a \leftarrow b_{1} \wedge \ldots \wedge b_{p}$;
Let $\theta$ be the most general unifier of $a_{i}$ and $a$.
Fail if they don't unify;
Set ac to $\left(\operatorname{yes}\left(t_{1}, \ldots, t_{k}\right) \leftarrow a_{1} \wedge \ldots \wedge a_{i-1} \wedge\right.$

$$
\left.b_{1} \wedge \ldots \wedge b_{p} \wedge a_{i+1} \wedge \ldots \wedge a_{m}\right) \theta
$$

end while.

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```
yes (A)}\leftarrowlive(A)
yes(A)\leftarrow connected_to (A, Z Z ) ^ live (Z Z ).
yes (w6) L live(w5).
yes ( }\mp@subsup{w}{6}{})\leftarrow\mathrm{ connected_to ( }\mp@subsup{w}{5}{},\mp@subsup{Z}{2}{})\wedge\mathrm{ live ( }\mp@subsup{Z}{2}{})
yes(\mp@subsup{w}{6}{})\leftarrow live(outside).
yes (w6)\leftarrow.
```


## Function Symbols

- Often we want to refer to individuals in terms of components.
- Examples: 4:55 p.m. English sentences. A classlist.
- We extend the notion of term. So that a term can be $f\left(t_{1}, \ldots, t_{n}\right)$ where $f$ is a function symbol and the $t_{i}$ are terms.
- In an interpretation and with a variable assignment, term $f\left(t_{1}, \ldots, t_{n}\right)$ denotes an individual in the domain.
- One function symbol and one constant can refer to infinitely many individuals.


## Lists

- A list is an ordered sequence of elements.
- Let's use the constant nil to denote the empty list, and the function cons $(H, T)$ to denote the list with first element $H$ and rest-of-list $T$.


## These are not built-in.

- The list containing sue, kim and randy is

$$
\operatorname{cons}(\operatorname{sue}, \operatorname{cons}(\text { kim, cons(randy, nil))) }
$$

- append $(X, Y, Z)$ is true if list $Z$ contains the elements of $X$ followed by the elements of $Y$

$$
\begin{aligned}
& \text { append }(n i l, Z, Z) \text {. } \\
& \operatorname{append}(\operatorname{cons}(A, X), Y, \operatorname{cons}(A, Z)) \leftarrow \operatorname{append}(X, Y, Z) \text {. }
\end{aligned}
$$

