- An instance of an atom or a clause is obtained by uniformly substituting terms for variables.
- A substitution is a finite set of the form  $\{V_1/t_1, \ldots, V_n/t_n\}$ , where each  $V_i$  is a distinct variable and each  $t_i$  is a term.
- The application of a substitution  $\sigma = \{V_1/t_1, \dots, V_n/t_n\}$  to an atom or clause e, written  $e\sigma$ , is the instance of e with every occurrence of  $V_i$  replaced by  $t_i$ .

## Application Examples

The following are substitutions:

• 
$$\sigma_1 = \{X/A, Y/b, Z/C, D/e\}$$

• 
$$\sigma_2 = \{A/X, Y/b, C/Z, D/e\}$$

•  $\sigma_3 = \{A/V, X/V, Y/b, C/W, Z/W, D/e\}$ 

The following shows some applications:

- $p(A, b, C, D)\sigma_1 =$
- $p(X, Y, Z, e)\sigma_1 =$
- $p(A, b, C, D)\sigma_2 =$
- $p(X, Y, Z, e)\sigma_2 =$
- $p(A, b, C, D)\sigma_3 =$
- $p(X, Y, Z, e)\sigma_3 =$

#### Application Examples

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The following shows some applications:

- Substitution  $\sigma$  is a unifier of  $e_1$  and  $e_2$  if  $e_1\sigma = e_2\sigma$ .
- Substitution  $\sigma$  is a most general unifier (mgu) of  $e_1$  and  $e_2$  if
  - $\sigma$  is a unifier of  $e_1$  and  $e_2$ ; and
  - if substitution  $\sigma'$  also unifies  $e_1$  and  $e_2$ , then  $e\sigma'$  is an instance of  $e\sigma$  for all atoms e.
- If two atoms have a unifier, they have a most general unifier.

# Unification Example

Which of the following are unifiers of p(A, b, C, D) and p(X, Y, Z, e):

• 
$$\sigma_1 = \{X/A, Y/b, Z/C, D/e\}$$

•  $\sigma_2 = \{Y/b, D/e\}$ 

• 
$$\sigma_3 = \{X/A, Y/b, Z/C, D/e, W/a\}$$

• 
$$\sigma_4 = \{A/X, Y/b, C/Z, D/e\}$$

• 
$$\sigma_5 = \{X/a, Y/b, Z/c, D/e\}$$

• 
$$\sigma_6 = \{A/a, X/a, Y/b, C/c, Z/c, D/e\}$$

• 
$$\sigma_7 = \{A/V, X/V, Y/b, C/W, Z/W, D/e\}$$

• 
$$\sigma_8 = \{X/A, Y/b, Z/A, C/A, D/e\}$$

Which are most general unifiers?

## Unification Example

$$p(A, b, C, D) \text{ and } p(X, Y, Z, e) \text{ have as unifiers:}$$

$$\sigma_1 = \{X/A, Y/b, Z/C, D/e\}$$

$$\sigma_4 = \{A/X, Y/b, C/Z, D/e\}$$

$$\sigma_7 = \{A/V, X/V, Y/b, C/W, Z/W, D/e\}$$

$$\sigma_6 = \{A/a, X/a, Y/b, C/c, Z/c, D/e\}$$

$$\sigma_8 = \{X/A, Y/b, Z/A, C/A, D/e\}$$

• 
$$\sigma_3 = \{X/A, Y/b, Z/C, D/e, W/a\}$$

The first three are most general unifiers. The following substitutions are not unifiers:

• 
$$\sigma_2 = \{Y/b, D/e\}$$

• 
$$\sigma_5 = \{X/a, Y/b, Z/c, D/e\}$$

- A proof is a mechanically derivable demonstration that a formula logically follows from a knowledge base.
- Given a proof procedure,  $KB \vdash g$  means g can be derived from knowledge base KB.
- Recall  $KB \models g$  means g is true in all models of KB.
- A proof procedure is sound if  $KB \vdash g$  implies  $KB \models g$ .
- A proof procedure is complete if  $KB \models g$  implies  $KB \vdash g$ .

 $KB \vdash g$  if there is g' added to C in this procedure where  $g = g'\theta$ :

 $C := \{\};$ 

#### repeat

select clause " $h \leftarrow b_1 \land \ldots \land b_m$ " in KB such that there is a substitution  $\theta$  such that for all *i*, there exists  $b'_i \in C$  where  $b_i \theta = b'_i \theta$  and there is no  $h' \in C$  such that h' is more general than  $h\theta$  $C := C \cup \{h\theta\}$ 

until no more clauses can be selected.

 $live(Y) \leftarrow connected\_to(Y, Z) \land live(Z).$  live(outside). $connected\_to(w_6, w_5).$   $connected\_to(w_5, outside).$   $live(Y) \leftarrow connected\_to(Y, Z) \land live(Z). \ live(outside).$   $connected\_to(w_6, w_5). \ \ connected\_to(w_5, outside).$  $C = \{live(outside), \\ connected\_to(w_6, w_5), \\ connected\_to(w_5, outside), \\ live(w_5), \\ live(w_6)\}$ 

# Soundness of bottom-up proof procedure

#### If $KB \vdash g$ then $KB \models g$ .

- Suppose there is a g such that  $KB \vdash g$  and  $KB \not\models g$ .
- Then there must be a first atom added to *C* that has an instance that isn't true in every model of *KB*. Call it *h*. Suppose *h* isn't true in model *I* of *KB*.
- There must be a clause in KB of form

 $h' \leftarrow b_1 \land \ldots \land b_m$ 

where  $h = h'\theta$ . Each  $b_i$  is true in *I*. *h* is false in *I*. So this clause is false in *I*. Therefore *I* isn't a model of *KB*.

Contradiction.

# Fixed Point

- The C generated by the bottom-up algorithm is called a fixed point.
- C can be infinite; we require the selection to be fair.
- Herbrand interpretation: The domain is the set of constants. We invent one if the KB or query doesn't contain one. Each constant denotes itself.
- Let *I* be the Herbrand interpretation in which every ground instance of every element of the fixed point is true and every other atom is false.
- *I* is a model of *KB*. Proof: suppose  $h \leftarrow b_1 \land \ldots \land b_m$  in *KB* is false in *I*. Then *h* is false and each  $b_i$  is true in *I*. Thus *h* can be added to *C*. Contradiction to *C* being the fixed point.
- *I* is called a Minimal Model.

#### If $KB \models g$ then $KB \vdash g$ .

- Suppose  $KB \models g$ . Then g is true in all models of KB.
- Thus g is true in the minimal model.
- Thus g is in the fixed point.
- Thus g is generated by the bottom up algorithm.
- Thus  $KB \vdash g$ .

## Top-down Proof procedure

• A generalized answer clause is of the form

$$yes(t_1,\ldots,t_k) \leftarrow a_1 \wedge a_2 \wedge \ldots \wedge a_m,$$

where  $t_1, \ldots, t_k$  are terms and  $a_1, \ldots, a_m$  are atoms.

• The SLD resolution of this generalized answer clause on *a<sub>i</sub>* with the clause

$$a \leftarrow b_1 \wedge \ldots \wedge b_p,$$

where  $a_i$  and a have most general unifier  $\theta$ , is

$$(yes(t_1,\ldots,t_k) \leftarrow a_1 \wedge \ldots \wedge a_{i-1} \wedge b_1 \wedge \ldots \wedge b_p \wedge a_{i+1} \wedge \ldots \wedge a_m) \theta.$$

#### To solve query ? *B* with variables $V_1, \ldots, V_k$ :

Set *ac* to generalized answer clause  $yes(V_1, \ldots, V_k) \leftarrow B$ ; While *ac* is not an answer **do** 

Suppose *ac* is  $yes(t_1, ..., t_k) \leftarrow a_1 \land a_2 \land ... \land a_m$ Select atom  $a_i$  in the body of *ac*; Choose clause  $a \leftarrow b_1 \land ... \land b_p$  in *KB*; Rename all variables in  $a \leftarrow b_1 \land ... \land b_p$ ; Let  $\theta$  be the most general unifier of  $a_i$  and a. Fail if they don't unify; Set *ac* to  $(yes(t_1, ..., t_k) \leftarrow a_1 \land ... \land a_{i-1} \land b_1 \land ... \land b_p \land a_{i+1} \land ... \land a_m)\theta$ 

end while.

# Example

 $live(Y) \leftarrow connected\_to(Y, Z) \land live(Z).$  live(outside). $connected\_to(w_6, w_5).$   $connected\_to(w_5, outside).$ ?live(A).

# Example

 $live(Y) \leftarrow connected\_to(Y, Z) \land live(Z).$  live(outside). $connected\_to(w_6, w_5).$   $connected\_to(w_5, outside).$ ?live(A).

$$yes(A) \leftarrow live(A).$$
  
 $yes(A) \leftarrow connected\_to(A, Z_1) \land live(Z_1).$   
 $yes(w_6) \leftarrow live(w_5).$   
 $yes(w_6) \leftarrow connected\_to(w_5, Z_2) \land live(Z_2).$   
 $yes(w_6) \leftarrow live(outside).$   
 $yes(w_6) \leftarrow .$ 

- Often we want to refer to individuals in terms of components.
- Examples: 4:55 p.m. English sentences. A classlist.
- We extend the notion of term. So that a term can be  $f(t_1, \ldots, t_n)$  where f is a function symbol and the  $t_i$  are terms.
- In an interpretation and with a variable assignment, term  $f(t_1, \ldots, t_n)$  denotes an individual in the domain.
- One function symbol and one constant can refer to infinitely many individuals.

## Lists

- A list is an ordered sequence of elements.
- Let's use the constant *nil* to denote the empty list, and the function cons(H, T) to denote the list with first element H and rest-of-list T.
   These are not built-in.
- The list containing sue, kim and randy is

cons(sue, cons(kim, cons(randy, nil)))

append(X, Y, Z) is true if list Z contains the elements of X followed by the elements of Y append(nil, Z, Z).
 append(cons(A, X), Y, cons(A, Z)) ← append(X, Y, Z).