

XML Data Management

2. XML Syntax

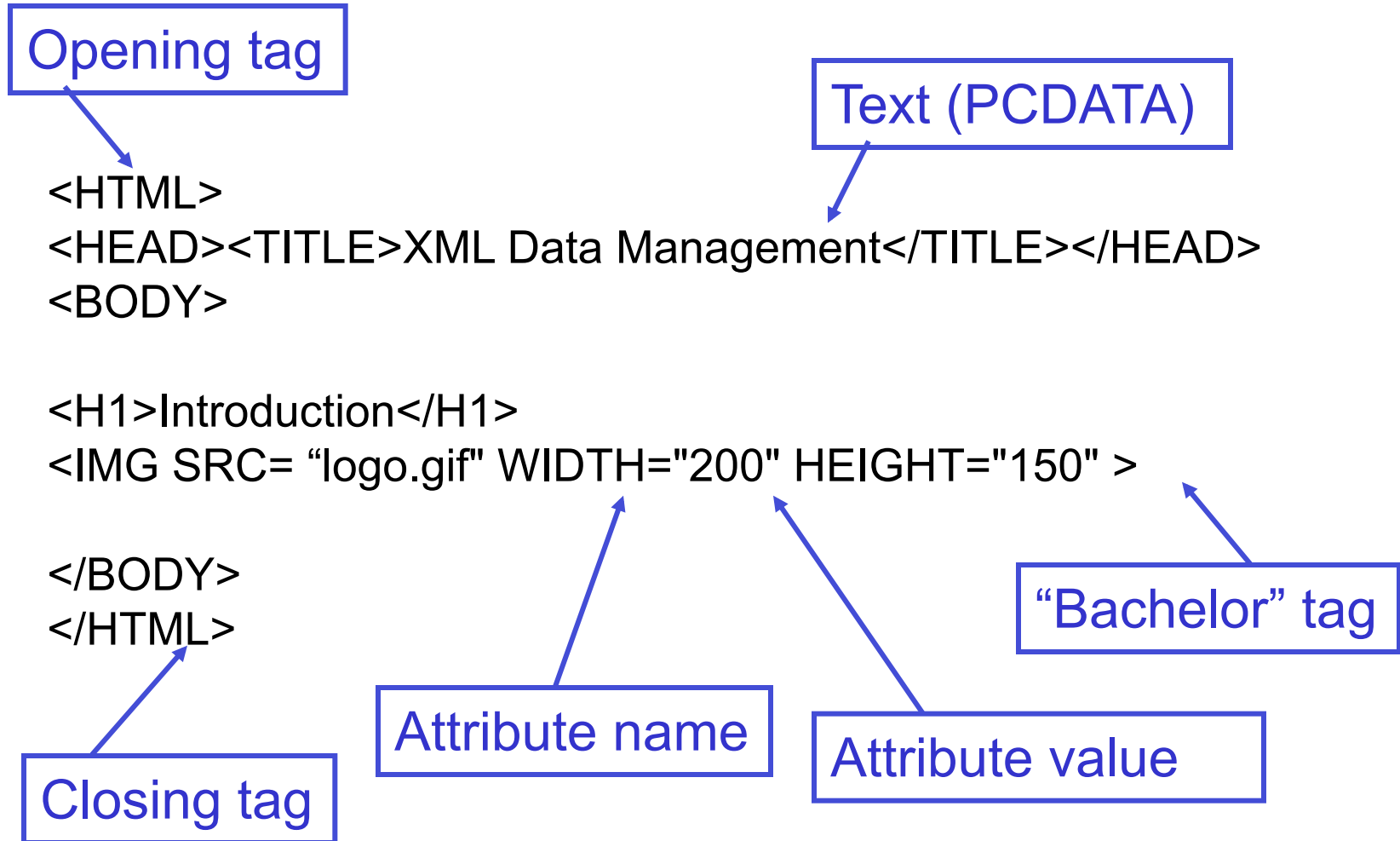
Werner Nutt

HTML

Designed for **publishing hypertext** on the Web

- Describes how a browser should **arrange** text, images, push-buttons, etc. on a page
- Does **not** convey **structure**
- **Fixed tag set**

HTML Example



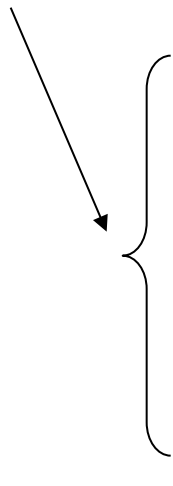
XML vs. HTML

- XML and HTML are both “descendants” of SGML
 - HTML is an instance
 - XML is a subset
- HTML has **specific** tag and attribute names, with a specific meaning
- XML can have **any** tag and attribute name.
These are not associated with any meaning
- HTML is used to specify **visual style**
- XML is used to specify **meaning**

XML Terminology

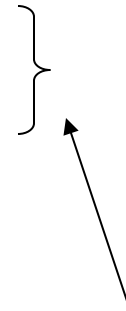
The segment of an XML document between an opening and a corresponding closing tag is called an *element*

element



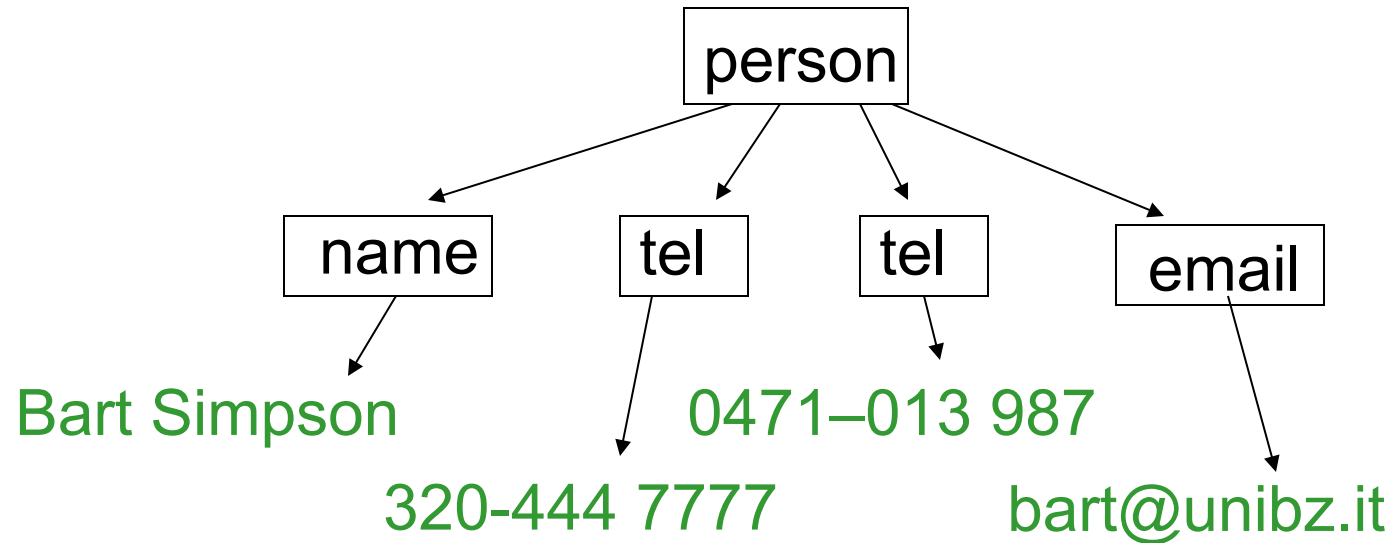
```
<person>
  {<name> Bart Simpson </name>
  <tel> 320-444 7777 </tel>
  <tel> 0471-013 987 </tel>
  <email> bart@unibz.it </email>
</person>
```

element,
a sub-element of the first



not an element

XML Documents are Trees



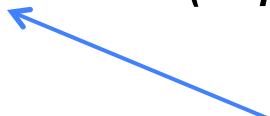
- XML documents represent trees,
the parent-child relation is reflected by element nesting
- Some XML documents represent graphs (DAGs)
if they contain ID and IDREF attributes

Elements Can Be Nested

```
<addresses>
  <person>
    { <name> Donald Duck </name>
      { <tel> 0471-828 1345 </tel>
        { <tel> 0471-828 1374 </tel>
          { <email> donald@eurac.edu </email>
        }
      }
    }
  </person>
  { <person>
    { <name> Mickey Mouse </name>
      { <tel> 0473-426 1142 </tel>
    }
  }
</person>
</addresses>
```

Element Content

An element may contain a mixture of sub-elements and **PCDATA** (= *parsed character data*)

- 
- character data that
 - needs to be parsed
 - contains “entities” (= macros) that need to be expanded

```
<airline>  
  <name> British Airways </name>  
  <motto>  
    The world's <dubious> favourite </dubious>  
  </motto>  
</airline>
```


A Complete XML Document

```
<?XML version = "1.0" encoding = "UTF-8" >
```

XML Declaration,
optional

```
<!DOCTYPE addresses SYSTEM
```

```
"http://www.addbook.com/addresses.dtd">
```

Doctype Declaration,
optional

```
<addresses>
```

```
<person>
```

```
<name> Lisa Simpson </name>
```

```
<tel> 0471-828 1234 </tel>
```

```
<tel> 329-473 17 775 </tel>
```

```
<email> lisa@provinz.bz.it </email>
```

```
</person>
```

```
</addresses>
```

Element,
mandatory

Attributes

An opening tag may contain *attributes*

Attributes are typically used

to describe the contents of an element

```
<entry>
  <word language = "en"> cheese </word>
  <word language = "fr"> fromage </word>
  <word language = "it"> formaggio </word>
  <meaning> A food made ... </meaning>
</entry>
```


Attributes are

- **unique** (per element), i.e., cannot occur twice
- **not ordered**, i.e., order does not matter
- **unnested**, i.e., contain only text (CDATA = character data)

ID and IDREF Attributes

ID attribute

IDREF attribute



```
<person pid = "011"    pal = "012">  
    <name>George Bush</name>  
</person>  
<person pid = "012"    pal = "011">  
    <name>Saddam Hussein</name>  
</person>
```

Make most sense when declared in an accompanying DTD
⇒ can be checked (i.e., doc can be “validated”)

Attribute or Element?

It's not always clear when to use attributes

Guideline:

- Use an element to nest data
- Use an attribute for “IDs”, i.e., to identify data

<code></email></code>	<code>lisa@inf.unibz.it</code>
<code>...</code>	<code></email></code>
<code></person></code>	<code>...</code>
	<code></person></code>

Relational Tables vs XML

How can one represent the contents of a table in XML?

`Student(sid, name, gpa)`

`Course(cno, title, credits)`

`Enroll(sid, cno)`

Student

id	name	gpa
001	Joe	3.0
002	Mary	4.0
...

Course

cno	title	credit
331	DB	3.0
350	Web	3.0
...

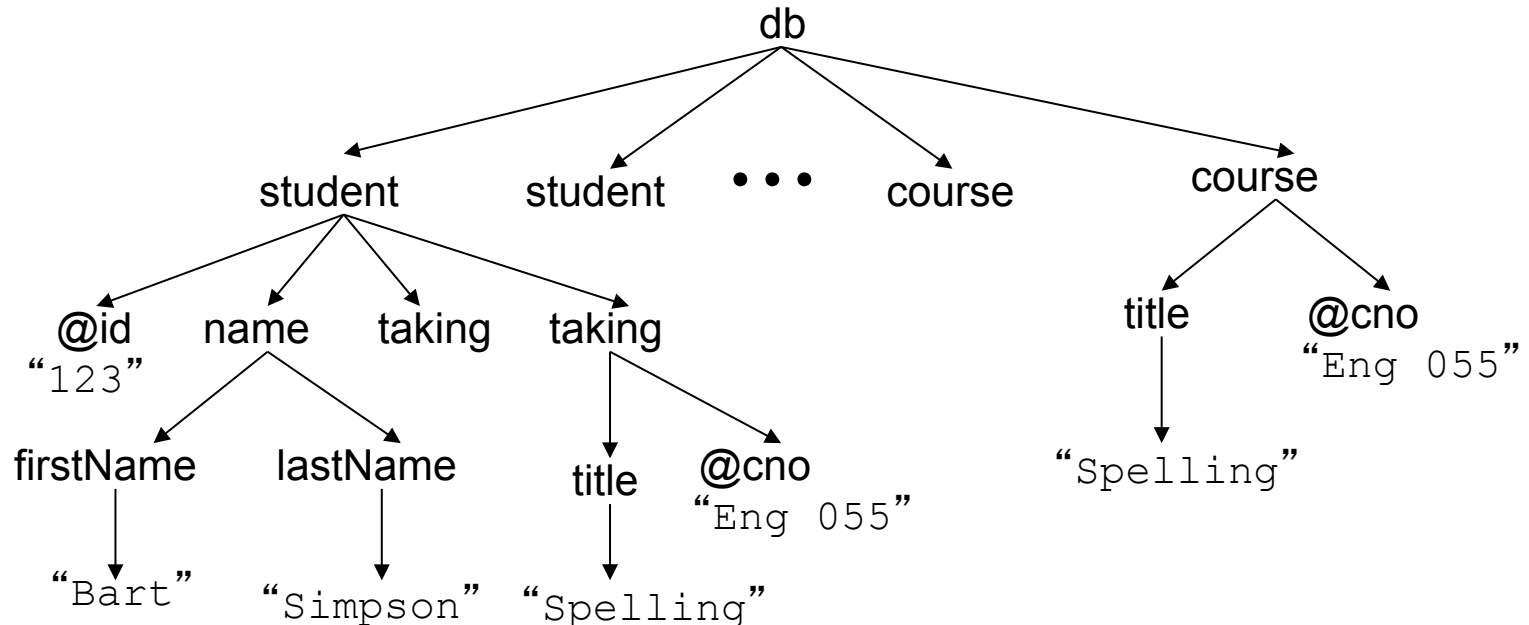
Enroll

id	cno
001	331
001	350
002	331
...	...

XML Syntax Rules

Guarantee that an XML document specifies *a node-labeled ordered tree*, that is, a tree with (essentially) three kinds of nodes

- element nodes: with a name (tag) and children (subelements, attributes, and text)
- attribute nodes: with a name and text, e.g., `@cno` and “Eng 055”
- text nodes



XML Syntax Rules (cntd)

- XML is **order sensitive** for elements,
i.e. the following are different:

```
<entry>  
  <word language = "en"> cheese</word>  
  <word language = "fr"> fromage</word>  
</entry>
```

```
<entry>  
  <word language = "fr"> fromage</word>  
  <word language = "en"> cheese</word>  
</entry>
```

- XML is **case-sensitive**, i.e., the following are different:
<person>, <Person>, <PERSON>

XML Syntax Rules (cntd)

- Tags come in **pairs** `<date> ...</date>`
- They must be **properly nested**
 - Good: `<date> ... <day> ... </day> ... </date>`
 - Bad: `<date> ... <day> ... </date> ... </day>`
 - Bad: `<date> ... </Date>`
- There is a special shortcut for tags that have no text in between them (**empty elements**)
 - `<person fname = "Bart" lname = "Simpson" />`
 - `<person fname = "Bart" lname = "Simpson" > </person>`

XML Syntax Rules (cntd)

- There must be exactly one top-level element
⇒ This element is also called the **root element**
(however, it is not the root of the document tree)

```
<?xml version="1.0"?>  
<Question> This is legal </Question>  
  
<?xml version="1.0"?>  
<Question> Is this legal? </Question>  
<Answer> No </Answer>
```

Otherwise, the document does not represent an element tree

XML Syntax Rules (cntd)

- An element
 - can have **several children** elements with the **same tag**
 - can have **only one attribute** with the same name
- **Order of attributes** does not matter, that is, the following are equivalent:

```
<person fname = "Bart" lname = "Simpson" />
```

```
<person lname = "Simpson" fname = "Bart" />
```

Well Formed Documents

- A document that satisfies all the above rules is *well-formed*
- A well-formed document specifies a *node-labeled ordered tree*

Question: Does an XML document always have a **unique** tree representation?

Hint: Think of mixed content and empty strings!

Question: What about the other way round? Does a tree always have a unique document representation?

Syntax Wrap Up

So far, we have seen three constituents of an XML document

- elements
- attributes
- text

... but there are a few more

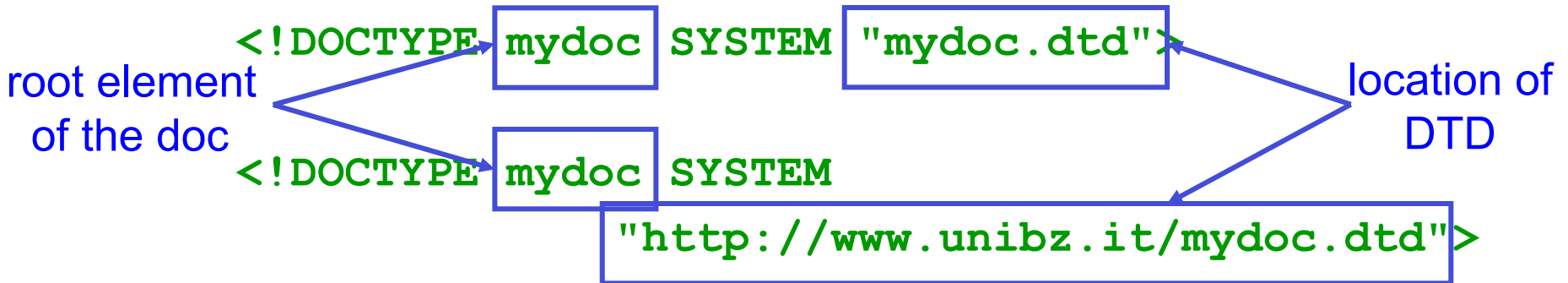
More on XML Syntax

In addition, an XML document can contain

- references to document type definitions (DTDs) and explicit DTDs
- comments
- processing instructions
- entity references
- CDATA sections
- namespaces

External DTDs

- Most common: the document references a DTD
- Two kinds
 - SYSTEM: Parser **must** use the referenced DTD for validation



- PUBLIC: “well-known” DTD, some standard Parser **may** use cached copy for validation

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE math PUBLIC "-//W3C//DTD MathML 2.0//EN"
"http://www.w3.org/Math/DTD/mathml2/mathml2.dtd">
<math> ... </math>
```

Formal Public Identifier

Internal DTDs

DTDs can also be included **explicitly**

```
<?xml version="1.0" encoding="UTF-8"?>
```

```
<!DOCTYPE gifts [  
  <!ELEMENT gifts (Model+)>  
  <!ELEMENT Model (#PCDATA)>  
  <!ATTLIST Model  
    group (acc | toy) "acc"  
    loc CDATA #IMPLIED  
    id ID #IMPLIED >  
>
```

```
<gifts>  
  <Model group="acc" loc="Shop">P6204</Model>  
  <Model group="toy">P6205</Model>  
  <Model group="acc">1103</Model>  
</gifts>
```

Comments, Processing Instructions, CDATA Sections

- Comments

```
<!-- This is a comment -->
```

- Stylesheet references most common
- Also embedded PHP in XHTML

- Processing Instructions

```
<?xml-stylesheet type="text/xsl" href="books.xsl"?>
```

- CDATA Sections

Blocks of character data

```
<![CDATA[
```

Tags in XML are delimited by "<" and ">".

```
]]>
```

The content of a CDATA section is not interpreted as markup

Entity References

We cannot write

```
<math> ... <mop> < </mop> ... </math>
```

Instead we write

```
<math> ... <mop> &lt; </mop> ... </math>
```

Here, `<` refers to *entity* `lt`, which stands for the char "`<`"

Other built-in entities in XML

<code>quot</code>	→	<code>""</code>
<code>apos</code>	→	<code>'</code>
<code>amp</code>	→	<code>"&"</code>
<code>gt</code>	→	<code>">"</code>

Intuition: an entity is a macro that can be expanded to a string

Arbitrary entities can be defined in DTDs

Name Spaces: The Problem

Names become ambiguous if we start to mix data

XHTML Table

```
<table>
  <tr>
    <td>Apples</td><td>Bananas</td>
  </tr>
</table>
```

Table entry from a furniture catalogue

```
<table>
  <name>Coffee Table</name>
  <width>80</width>
  <length>120</length>
</table>
```

If both occur in a document, applications need to distinguish
between the two kinds of tables

Naming Conflicts: Solution 1

Use prefixes, say **xh** for XHTML and **f** for furniture

```
<xh:table>
  <xh:tr>
    <xh:td>Apples</xh:td><xh:td>Bananas</xh:td>
  </xh:tr>
</xh:table>
```

Table entry from a furniture catalogue

```
<f:table>
  <f:name>Coffee Table</f:name>
  <f:width>80</f:width>
  <f:length>120</f:length>
</f:table>
```

How can tags with prefixes be processed by an application
that is written for the original tags?

Namespaces: Dynamic Prefixing

A **namespace** is a collection of **element** and **attribute names**

XHTML namespace: **table**, **tr**, **td**, **head**, **body**, **color**, ...

Furniture namespace: **table**, **name**, **width**, **length**, **color**, ...

Namespaces are identified by a URI

XHTML: **http://www.w3.org/1999/xhtml**

Furniture: **http://www.inf.unibz.it/furniture**

Prefixes are associated to **namespaces** and defined in the XML document

```
<xh:table xmlns:xh="http://www.w3.org/1999/xhtml" >  
  <xh:tr>  
    <xh:td>Apples</xh:td><xh:td>Bananas</xh:td>  
  </xh:tr>  
</xh:table>
```

and similarly for the furniture

Namespace Declaration in Top Level Element

```
<root
```

```
  xmlns:xh="http://www.w3.org/1999/xhtml"  
  xmlns:f="http://www.inf.unibz.it/furniture">
```

```
<xh:table>
```

```
  <xh:tr>
```

```
    <xh:td>Apples</xh:td><xh:td>Bananas</xh:td>
```

```
  </xh:tr>
```

```
</xh:table>
```

```
<f:table>
```

```
  <f:name>Coffee Table</f:name>
```

```
  <f:width>80</f:width>
```

```
  <f:length>120</f:length>
```

```
</f:table>
```

```
</root>
```

xmlns and **xmlns:prefix**
and are “pseudo-attributes”

Default Namespaces

No need to place prefixes in front of names
from default namespace

```
<root
  xmlns="http://www.w3.org/1999/xhtml"
  xmlns:f="http://www.inf.unibz.it/furniture">
  <table>
    <tr>
      <td>Apples</td><td>Bananas</td>
    </tr>
  </table>
  <f:table>
    <f:name>Coffee Table</f:name>
    <f:width>80</f:width>
    <f:length>120</f:length>
  </f:table>
</root>
```

Reminder: Namespaces in XSLT

```
<?xml version="1.0" encoding="ISO-8859-1"?>
```

```
<xsl:stylesheet version="1.0"
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  xmlns:fo="http://www.w3.org/1999/XSL/Format">
```

```
<xsl:template match="/">
  <html>
    <head>
      <title>Books</title>
    </head>
    <xsl:apply-templates select="books"/>
  </html>
</xsl:template>
```