

Data Structures and Algorithms

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Chapter 10

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Data Structures and Algorithms

Chapter 10

1. Weighted Graphs
2. Minimum Spanning Trees
 - Greedy Choice Theorem
 - Kruskal's algorithm
 - Prim's algorithm
3. Shortest Paths
 - Dijkstra's algorithm
 - Bellman-Ford's algorithm

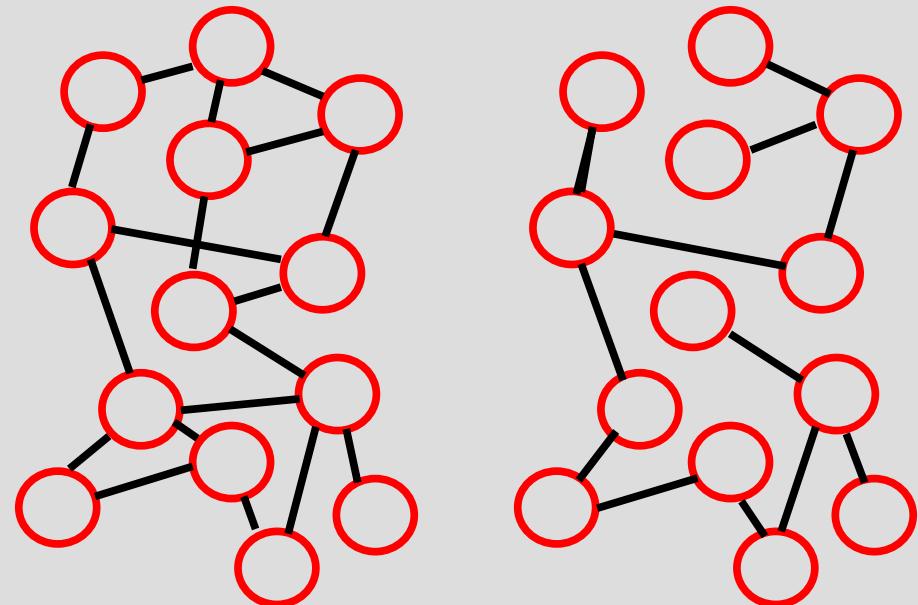
Data Structures and Algorithms

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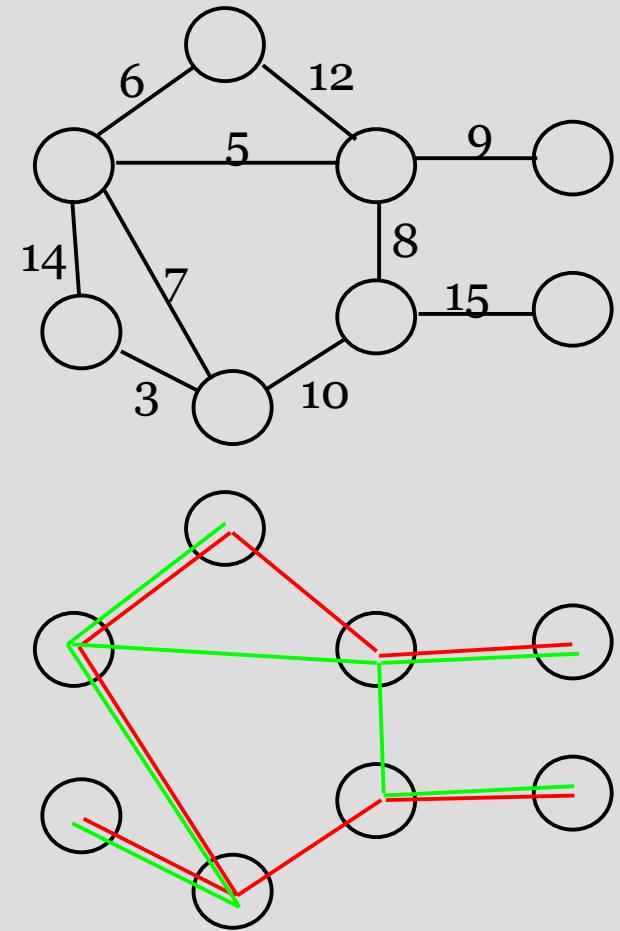
Spanning Tree

- A **spanning tree** of **G** is a subgraph which
 - contains all vertices of **G**
 - is a tree
- How many edges are there in a spanning tree, if there are V vertices?



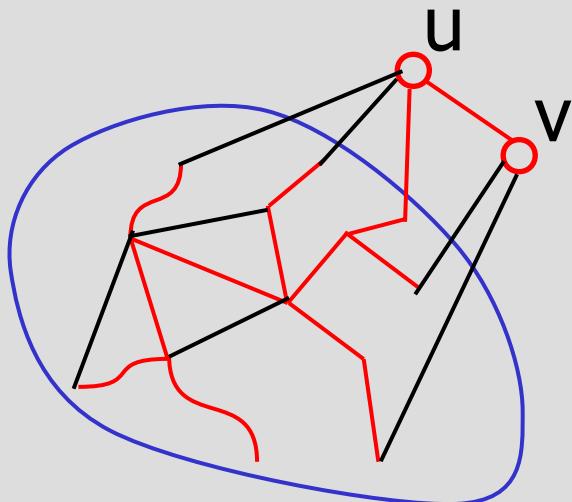
Minimum Spanning Trees

- Undirected, connected graph $G = (V, E)$
- **Weight** function $W: E \rightarrow R$ (assigning cost or length or other values to edges)
- Spanning tree: tree that connects all vertexes
- **Minimum spanning tree** (MST): spanning tree T that minimizes $\sum_{(u,v) \in T} w(u,v)$

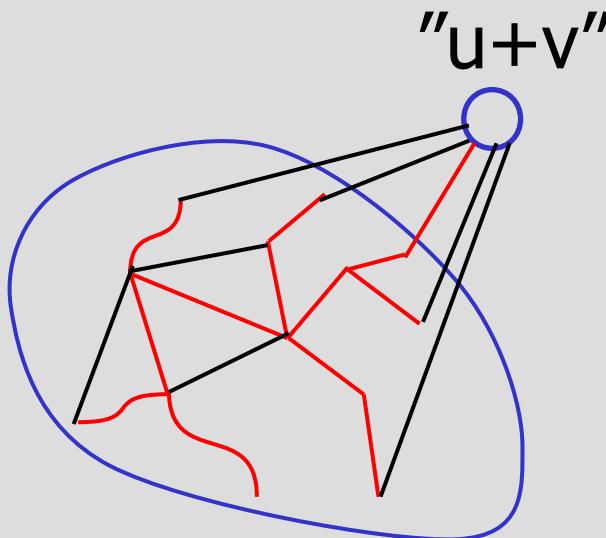


Optimal Substructure

$$\text{MST}(G) = T$$



$$\text{MST}(G') = T - (u,v)$$



- Rationale:
 - If G' had a cheaper ST T' , then we would get a cheaper ST of G : $T' + (u,v)$

Idea for an Algorithm

- We have to make $V-1$ choices (edges of the MST) to arrive at the optimization goal
- After each choice we have a sub-problem that is one vertex smaller than the original problem.
 - A dynamic programming algorithm would consider all possible choices (edges) at each vertex.
 - Goal: at each vertex cheaply determine an edge that definitely belongs to an MST

Data Structures and Algorithms

Week 10

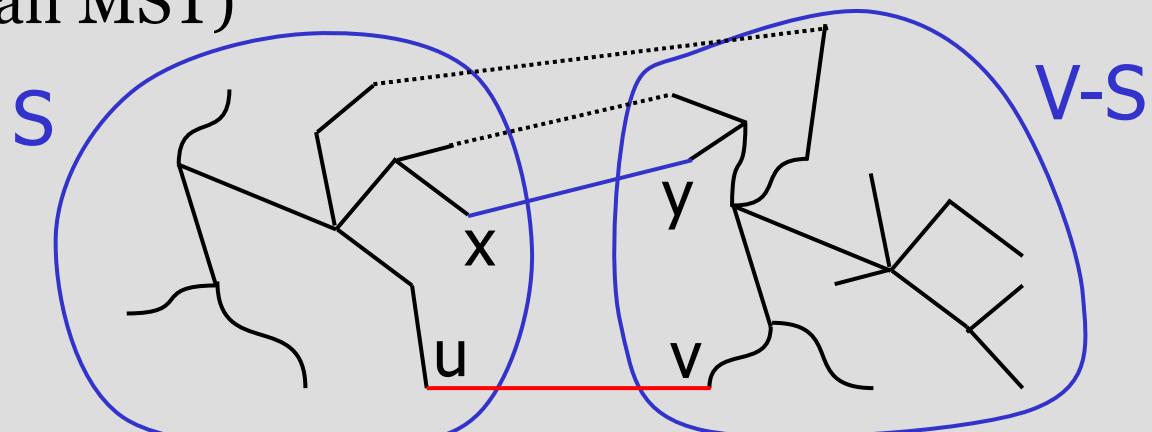
1. Weighted Graphs
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Greedy Choice

- Greedy choice property: locally optimal (greedy) choice yields a globally optimal solution.
- Theorem
 - Let $G=(V, E)$ and $S \subseteq V$
 - S is a **cut** of G (it splits G into parts S and $V-S$)
 - (u,v) is a **light** edge if it is a *min*-weight edge of G that connects S and $V-S$
 - Then (u,v) belongs to a MST T of G

Greedy Choice/2

- Proof
 - Suppose (u,v) is light but $(u,v) \notin$ any MST
 - look at path from u to v in some MST T
 - Let (x, y) be the first edge on a path from u to v in T that crosses from S to $V-S$. Swap (x, y) with (u,v) in T .
 - this improves cost of $T \rightarrow$ contradiction (T is supposed to be an MST)



Generic MST Algorithm

Generic-MST (G, w)

```
1 A :=  $\emptyset$ 
2 while (A does not form a spanning tree) do
3   Find an edge  $(u, v)$  that is safe for A
4   A := A  $\cup \{ (u, v) \}$ 
5 return A
```

A *safe edge* is an edge that does not destroy A 's property.

MoreSpecific-MST (G, w)

```
1 A :=  $\emptyset$ 
2 while A does not form a spanning tree do
3.1   Make a cut  $(S, V-S)$  of  $G$  that respects A
3.2   Take the min-weight edge  $(u, v)$  connecting  $S$  to  $V-S$ 
4   A := A  $\cup \{ (u, v) \}$ 
5 return A
```

Prim-Jarnik Algorithm

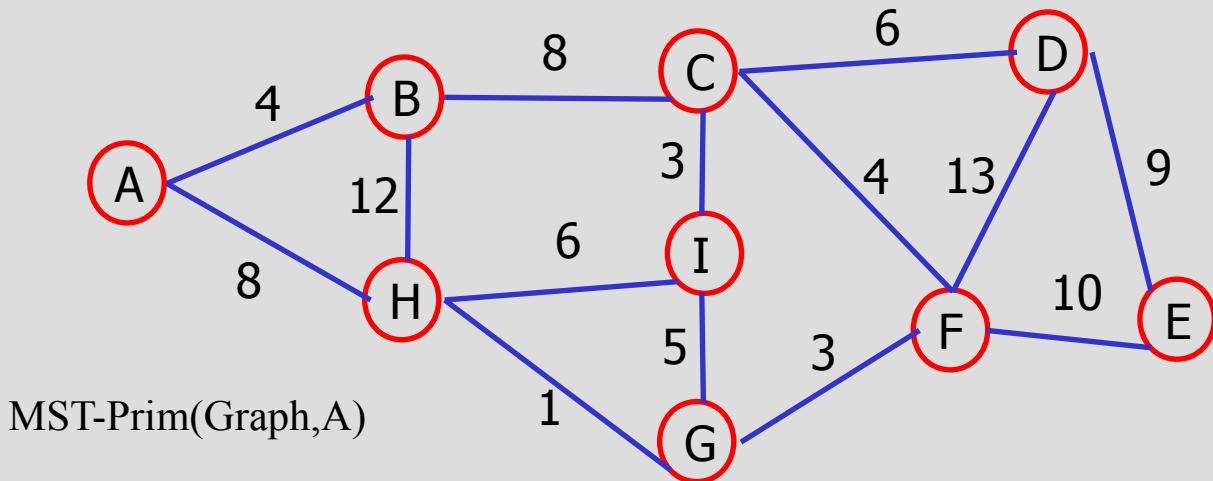
- Vertex-based algorithm
- Grows a single MST T one vertex at a time
- The set A covers the portion of T that was already computed
- Annotate all vertices v outside of the set A with $v.\text{key}$, the current minimum weight of an edge that connects v to a vertex in A ($v.\text{key} = \infty$ if no such edge exists)

Prim-Jarnik Algorithm/2

```
MST-Prim(G, s)
01 for each vertex u ∈ G.V
02     u.key := ∞
03     u.pred := NIL
04 s.key := 0
05 init(Q, G.V) // Q is a
06 while not isEmpty(Q)
07     u := extractMin(Q) // add u to T
08     for each v ∈ u.adj do
09         if v ∈ Q and w(u,v) < v.key then
10             v.key := w(u,v)
11             modifyKey(Q, v)
12             v.pred := u
```

updating
keys

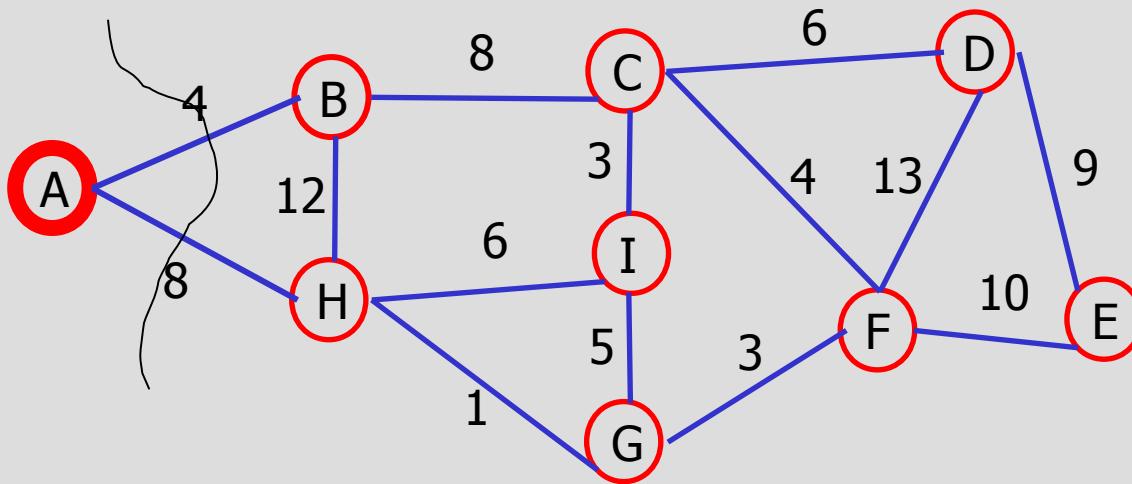
Prim-Jarnik Example



$$A = \{\}$$

$Q = A\text{-NIL}/0, B\text{-NIL}/\infty, C\text{-NIL}/\infty, D\text{-NIL}/\infty, E\text{-NIL}/\infty,$
 $F\text{-NIL}/\infty, G\text{-NIL}/\infty, H\text{-NIL}/\infty, I\text{-NIL}/\infty$

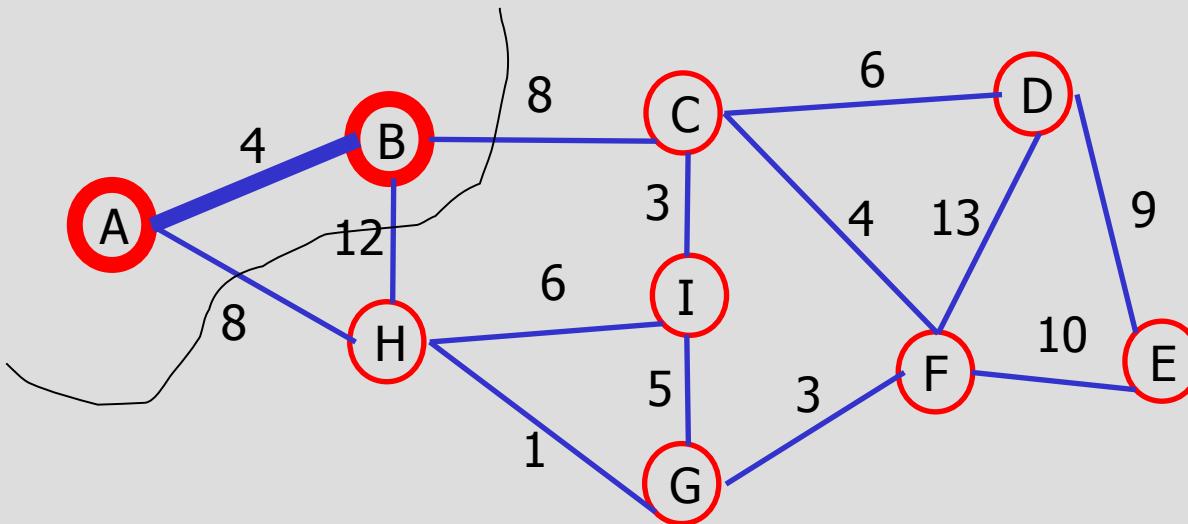
Prim-Jarnik Example/2



$A = A\text{-NIL}/0$

$Q = B\text{-}A/4, H\text{-}A/8, C\text{-NIL}/\infty, D\text{-NIL}/\infty, E\text{-NIL}/\infty,$
 $F\text{-NIL}/\infty, G\text{-NIL}/\infty, I\text{-NIL}/\infty$

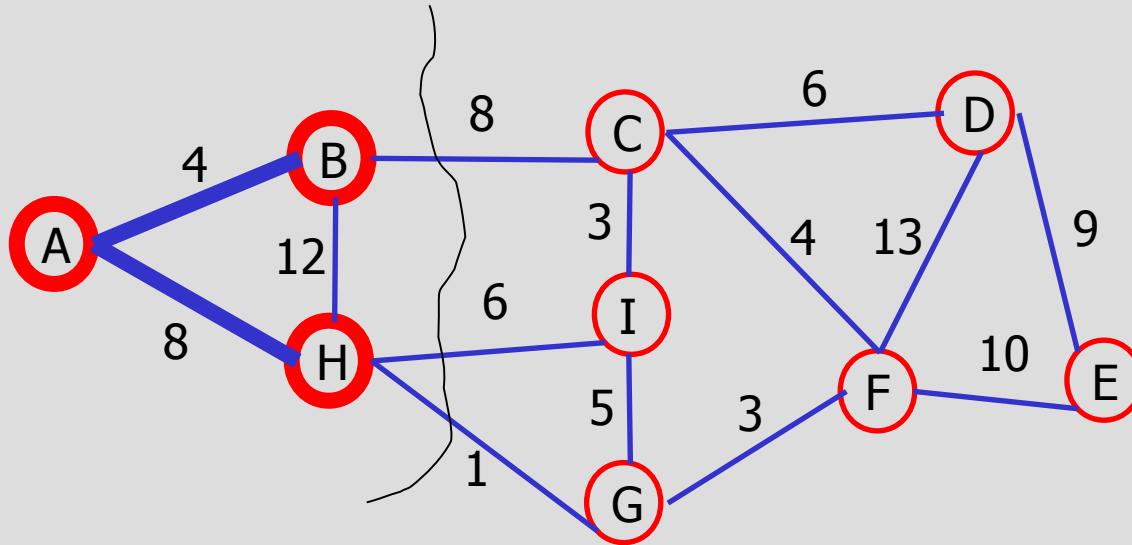
Prim-Jarnik Example/3



$A = A\text{-NIL}/0, B\text{-A}/4$

$Q = H\text{-A}/8, C\text{-B}/8, D\text{-NIL}/\infty, E\text{-NIL}/\infty,$
 $F\text{-NIL}/\infty, G\text{-NIL}/\infty, I\text{-NIL}/\infty$

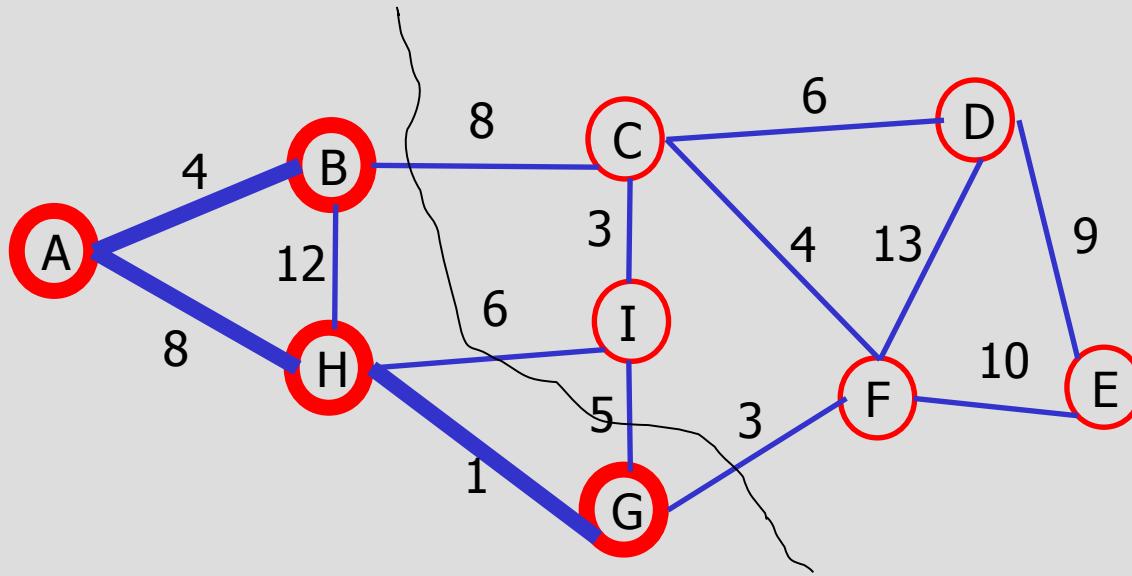
Prim-Jarnik Example/4



$$A = A\text{-NIL}/0, B\text{-}A/4, H\text{-}A/8$$

$$Q = G\text{-}H/1, I\text{-}H/6, C\text{-}B/8, D\text{-}\text{NIL}/\infty, E\text{-}\text{NIL}/\infty, F\text{-}\text{NIL}/\infty$$

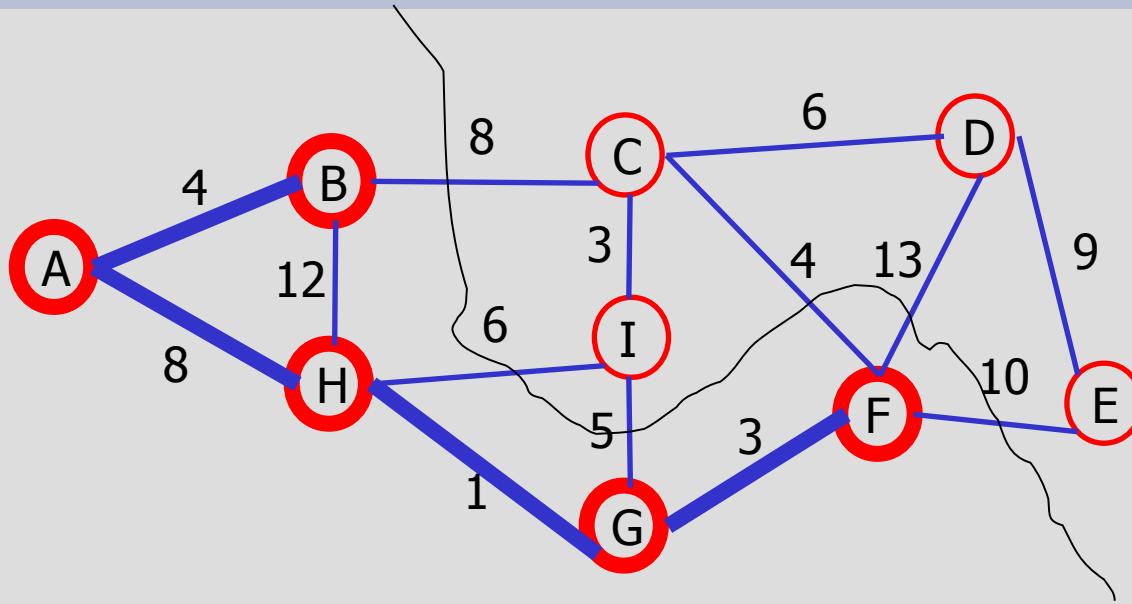
Prim-Jarnik Example/5



$A = A\text{-NIL}/0, B\text{-A}/4, H\text{-A}/8, G\text{-H}/1$

$Q = F\text{-G}/3, I\text{-G}/5, C\text{-B}/8, D\text{-NIL}/\infty, E\text{-NIL}/\infty$

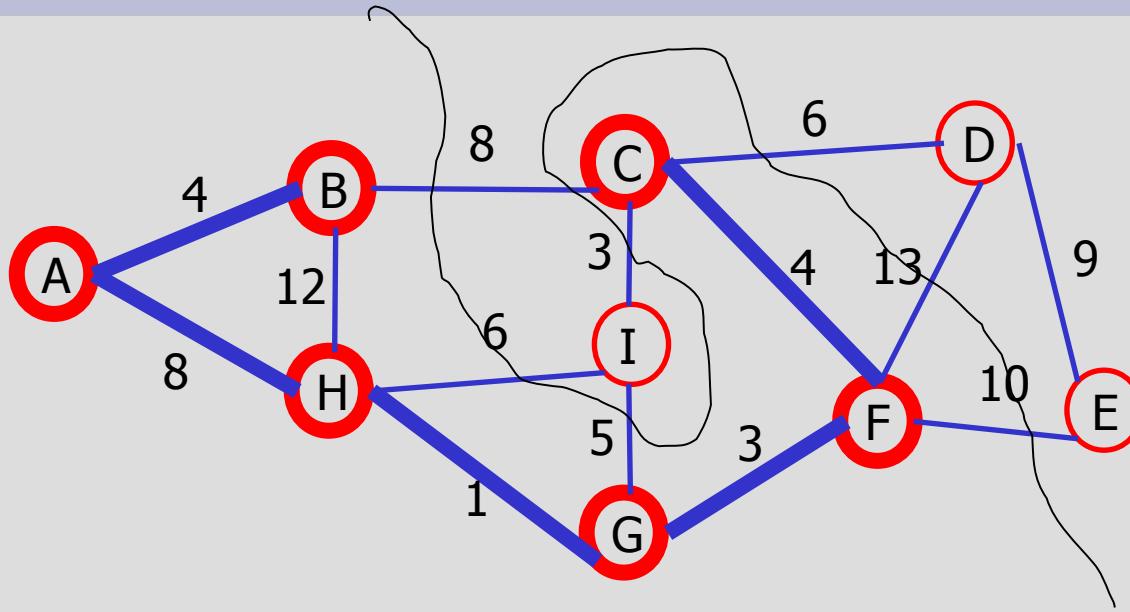
Prim-Jarnik Example/6



$$A = A-\text{NIL}/0, B-A/4, H-A/8, G-H/1, F-G/3$$

$$Q = C-F/4, I-G/5, E-F/10, D-F/13$$

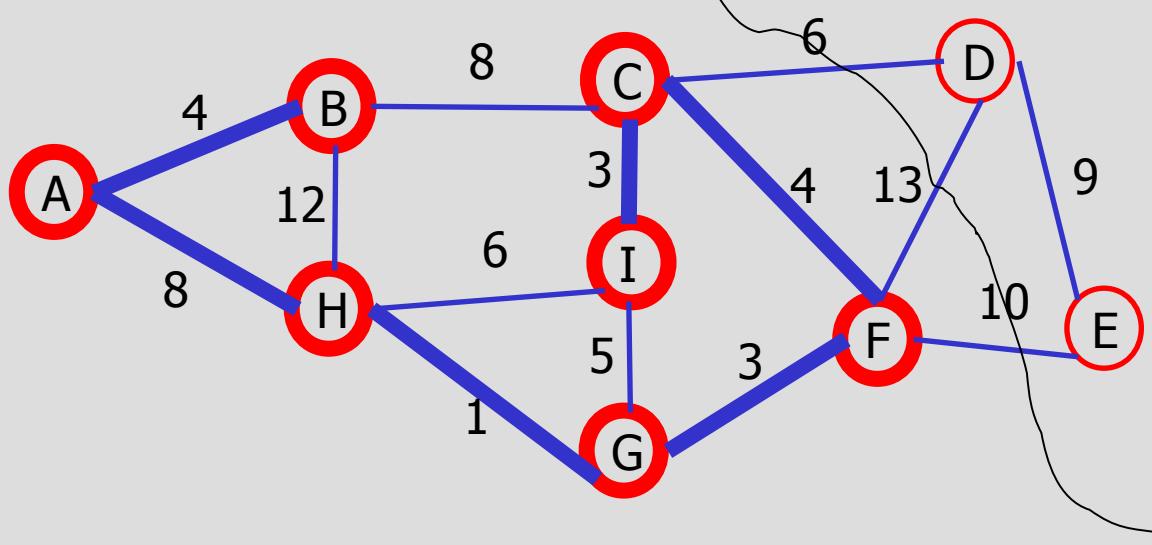
Prim-Jarnik Example/7



$$A = A-\text{NIL}/0, B-A/4, H-A/8, G-H/1, F-G/3, C-F/4$$

$$Q = I-C/3, D-C/6, E-F/10$$

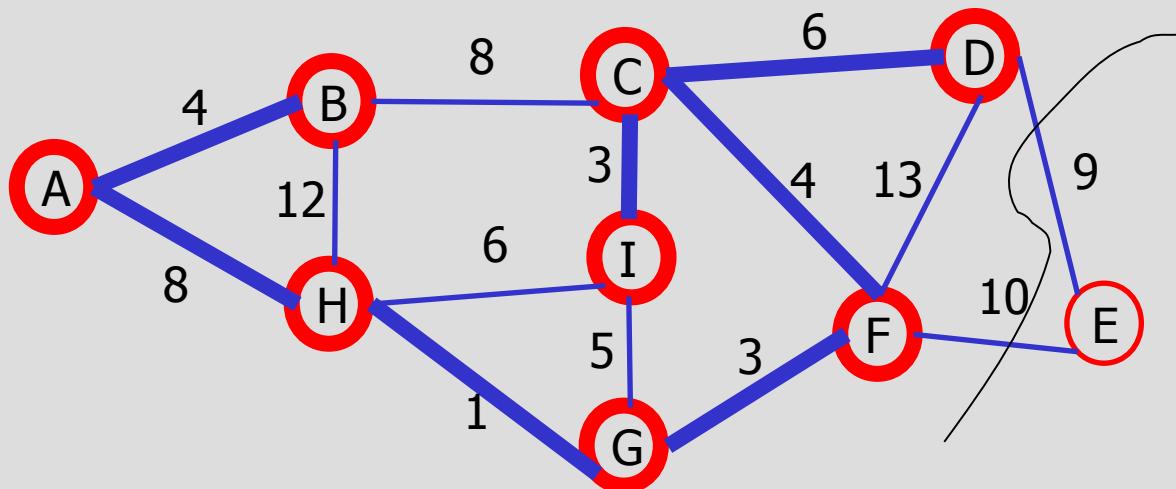
Prim-Jarnik Example/8



$$A = A-\text{NIL}/0, B-A/4, H-A/8, G-H/1, F-G/3, C-F/4, I-C/3$$

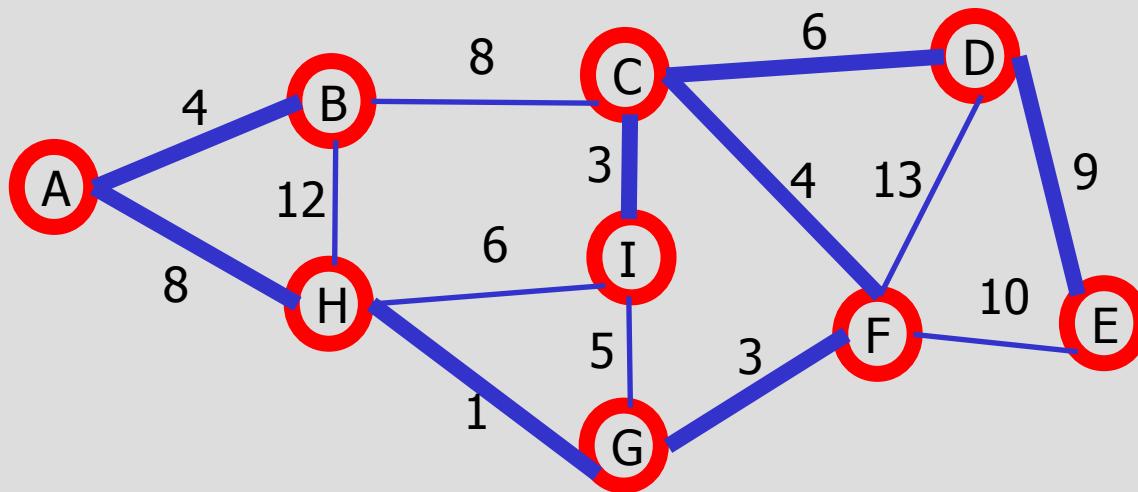
$$Q = D-C/6, E-F/10$$

Prim-Jarnik Example/9



$A = A\text{-NIL}/0, B\text{-A}/4, H\text{-A}/8, G\text{-H}/1, F\text{-G}/3, C\text{-F}/4,$
 $I\text{-C}/3, D\text{-C}/6$
 $Q = E\text{-D}/9$

Prim-Jarnik Example/10



$A = A\text{-NIL}/0, B\text{-A}/4, H\text{-A}/8, G\text{-H}/1, F\text{-G}/3, C\text{-F}/4,$
 $I\text{-C}/3, D\text{-C}/6, E\text{-D}/9$

$Q = \{\}$

Implementation Issues

MST-Prim(G, r)

```
01 for  $u \in G.V$  do  $u.key := \infty$ ;  $u.pred := \text{NIL}$ 
02  $r.key := 0$ 
03 init( $Q, G.V$ ) //  $Q$  is a min-
04 while not isEmpty( $Q$ ) do
05    $u := \text{extractMin}(Q)$  // add  $u$  to  $T$ 
06   for  $v \in u.adj$  do
07     if  $v \in Q$  and  $w(u, v) < v.key$  then
08        $v.key := w(u, v)$ 
09       modifyKey( $Q, v$ )
10        $v.pred := u$ 
```

Priority Queues

- A priority queue maintains a set S of elements, each with an associated key value.
- We need PQ to support the following operations
 - **init**(Q:PriorityQueue, S :*VertexSet*)
 - **extractMin**(Q:PriorityQueue): *Vertex*
 - **modifyKey**(Q:PriorityQueue, v :*Vertex*)
- To choose how to implement a PQ, we need to count how many times the operations are performed:
 - **init** is performed just once and runs in $O(V)$

Prim-Jarnik Running Time

- Time = $|V| * T(\text{extractMin}) + O(E) * T(\text{modifyKey})$

Q	T(extractMin)	T(modifyKey)	Total
array	$O(V)$	$O(1)$	$O(V^2)$
binary heap	$O(\log V)$	$O(\log V)$	$O(E \log V)$

- $E \geq V-1$, $E < V^2$, $E = O(V^2)$
- Binary heap implementation:
 - Time = $O(V \log V + E \log V) = O(V^2 \log V) = O(E \log V)$

About Greedy Algorithms

- Greedy algorithms make a locally optimal choice (cheapest path, etc).
- In general, a locally optimal choice does not give a globally optimal solution.
- **Greedy** algorithms can be used to solve optimization problems, if:
 - There is an *optimal substructure*
 - We can prove that a *greedy choice* at each iteration leads to an optimal solution.

Kruskal's Algorithm

- Edge based algorithm
- Add edges one at a time in increasing weight order.
- The algorithm maintains A : a **forest of trees**. An edge is accepted if it connects vertices of distinct trees (the cut respects A).

Disjoint Sets

- We need to maintain a disjoint partitioning of a set, i.e., a collection S of disjoint sets.

Operations:

- **addSingletonSet**(S :Set, x :Vertex)
 - $S := S \cup \{\{x\}\}$
- **findSet**(S :Set, x :Vertex): Set
 - returns $X \in S$ such that $x \in X$
- **unionSets**(S :Set, x :Vertex, y :Vertex)
 - $X := \text{findSet}(S, x)$
 - $Y := \text{findSet}(S, y)$
 - $S := (S - \{X, Y\}) \cup \{X \cup Y\}$

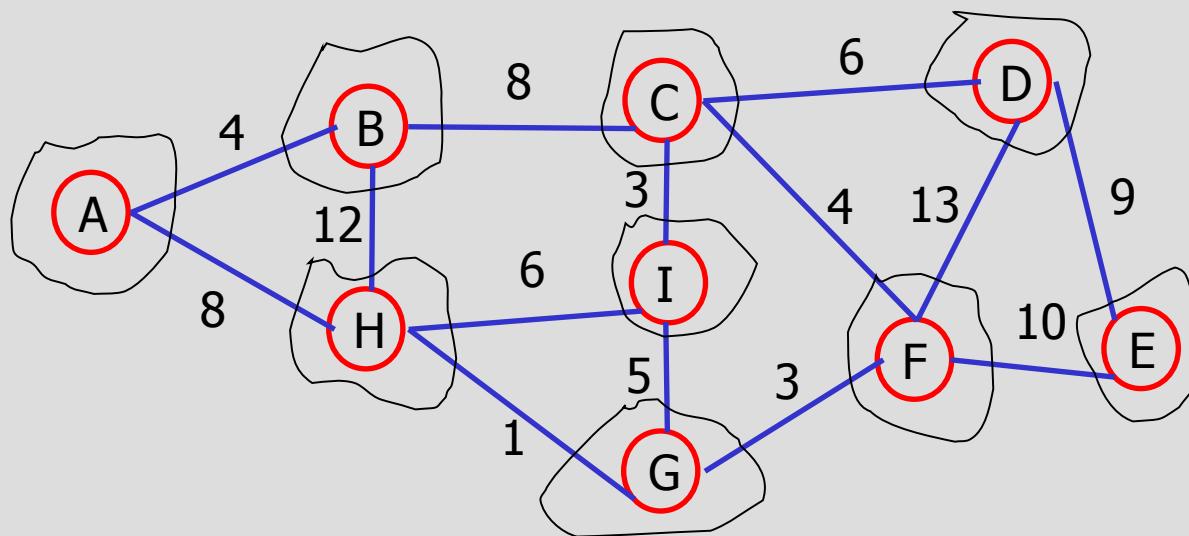
Kruskal's Algorithm/2

- The algorithm keeps adding the cheapest edge that connects two trees of the forest

MST-Kruskal (G)

```
01 A :=  $\emptyset$ 
02 init( $S$ ) // Init
03 for  $v \in G.V$  do addSingletonSet( $S, v$ )
05 sort edges of  $G.E$  by non-decreasing  $w(u, v)$ 
06 for  $(u, v) \in G.E$  in sorted order do
07   if findSet( $S, u$ )  $\neq$  findSet( $S, v$ ) then
08     A := A  $\cup$  { $(u, v)$ }
09     unionSets( $S, u, v$ )
10 return A
```

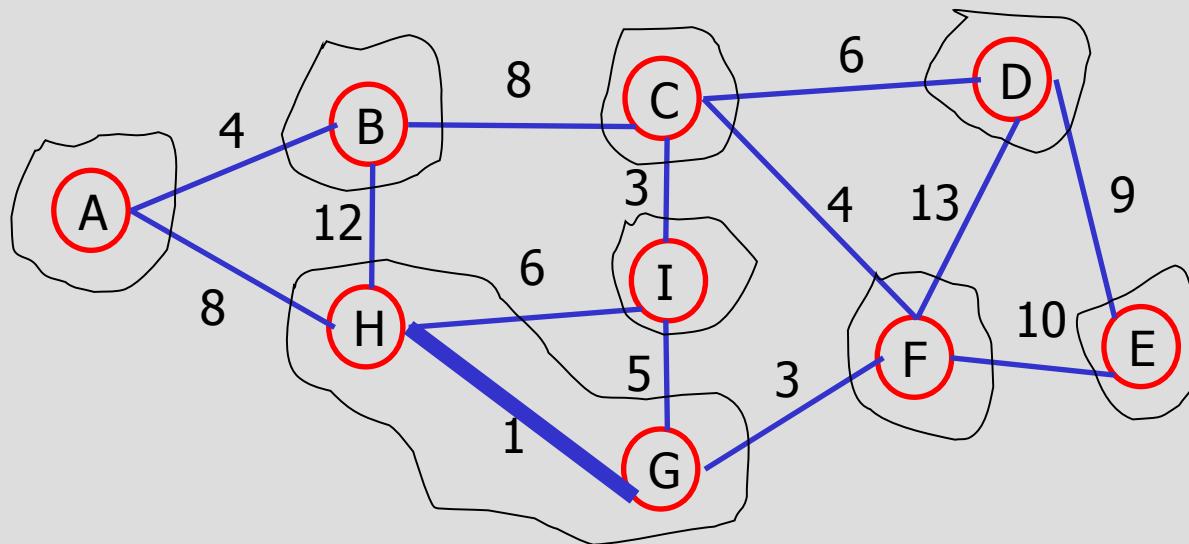
Kruskal Example/1



$$S = \{ A B C D E F G H I \}$$

$$E' = \{ HG \text{ CI } GF \text{ CF } AB \text{ HI } CD \text{ BC } AH \text{ DE } EF \text{ BH } DF \}$$

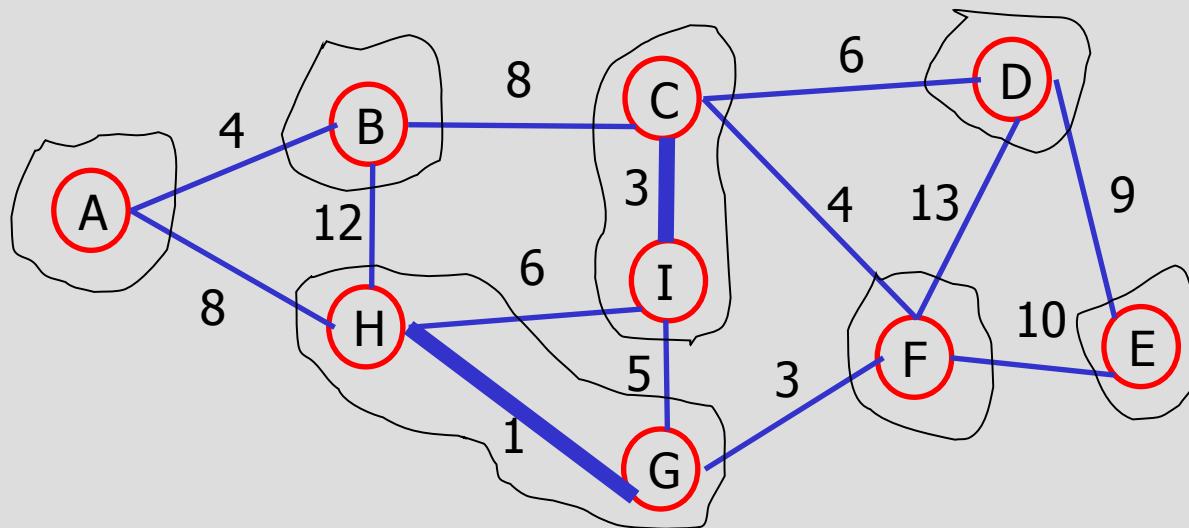
Kruskal Example/2



$$S = \{ A B C D E F G H I \}$$

$$E' = \{ C I G F C F A B H I C D B C A H D E E F B H D F \}$$

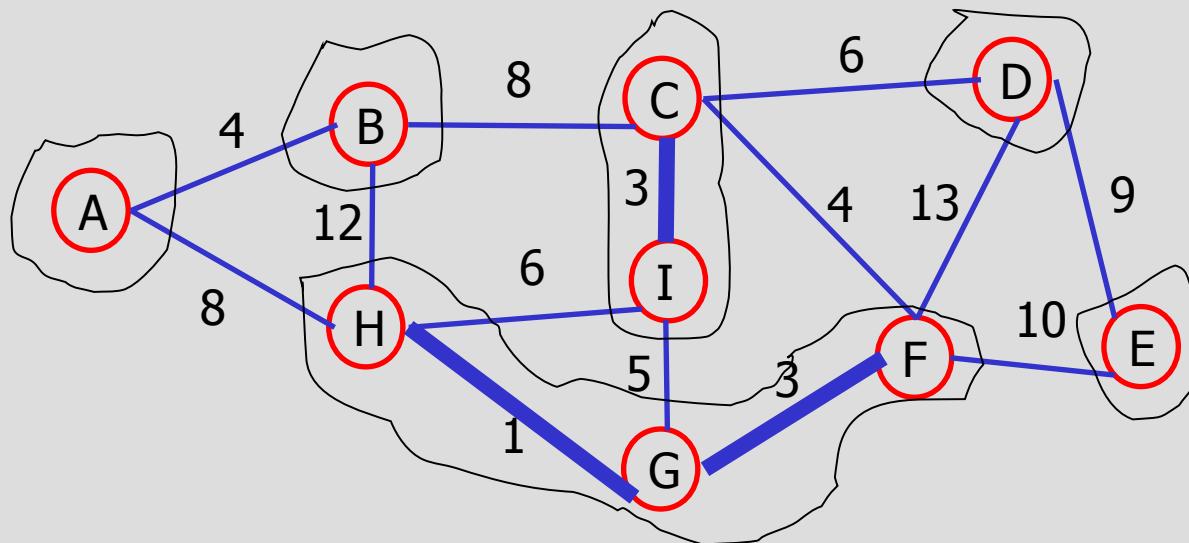
Kruskal Example/3



$$S = \{ A \ B \ C \ I \ D \ E \ F \ G \ H \ }$$

$$E' = \{ G \ F \ C \ F \ A \ B \ H \ I \ C \ D \ B \ C \ A \ H \ D \ E \ E \ F \ B \ H \ D \ F \ }$$

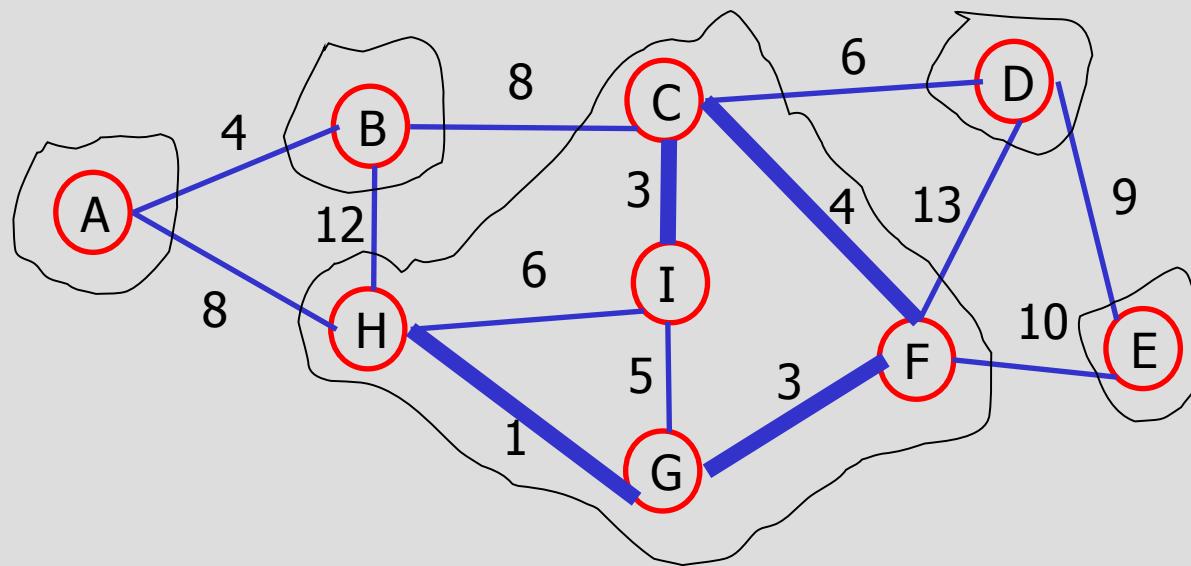
Kruskal Example/4



$$S = \{ A B C I D E F G H \}$$

$$E' = \{ C F A B H I C D B C A H D E E F B H D F \}$$

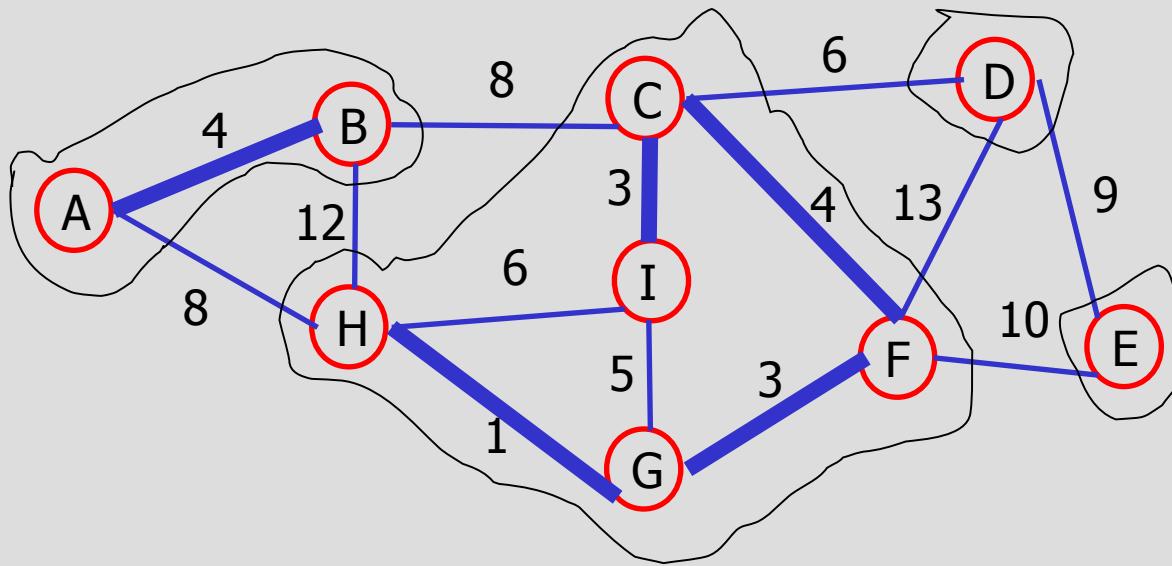
Kruskal Example/5



$$S = \{ A \ B \ C \ F \ G \ H \ I \ D \ E \}$$

$$E' = \{ AB \ HI \ CD \ BC \ AH \ DE \ EF \ BH \ DF \}$$

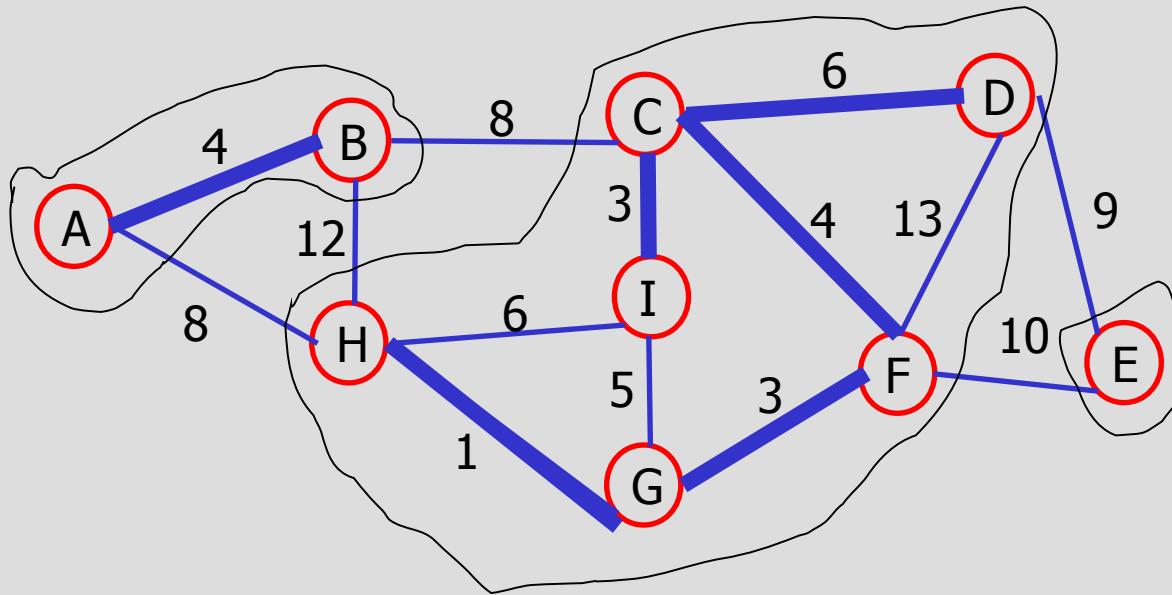
Kruskal Example/6



$$S = \{ AB \text{ CFGHI } D \text{ E } \}$$

$$E' = \{ HI \text{ CD } BC \text{ AH } DE \text{ EF } BH \text{ DF } \}$$

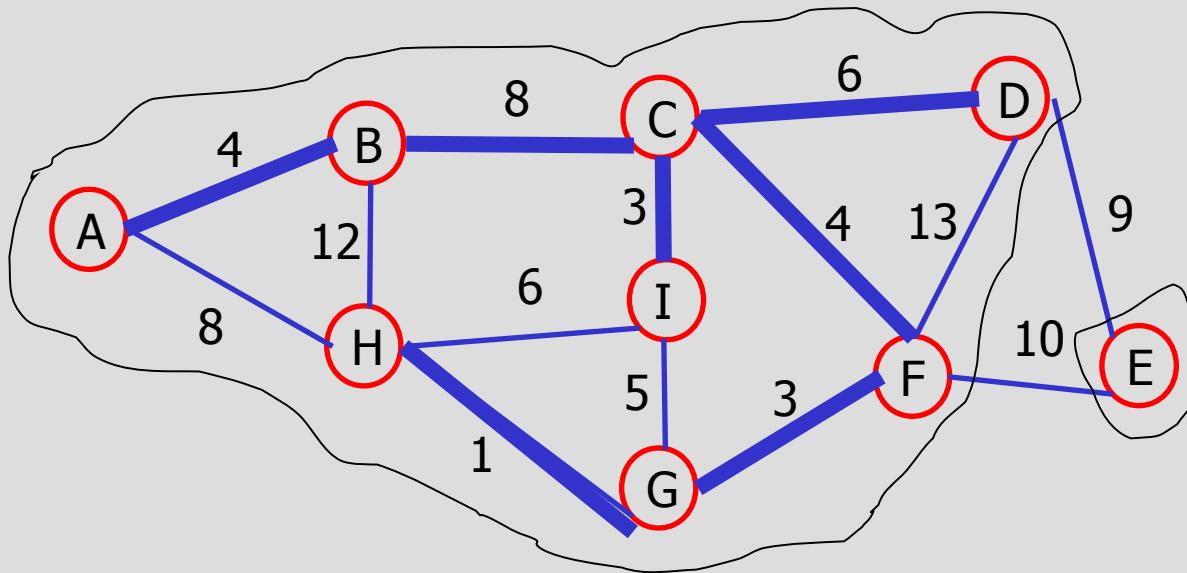
Kruskal Example/7



$$S = \{ AB \text{ CDFGHI } E \}$$

$$E' = \{ BC \text{ AH DE EF BH DF } \}$$

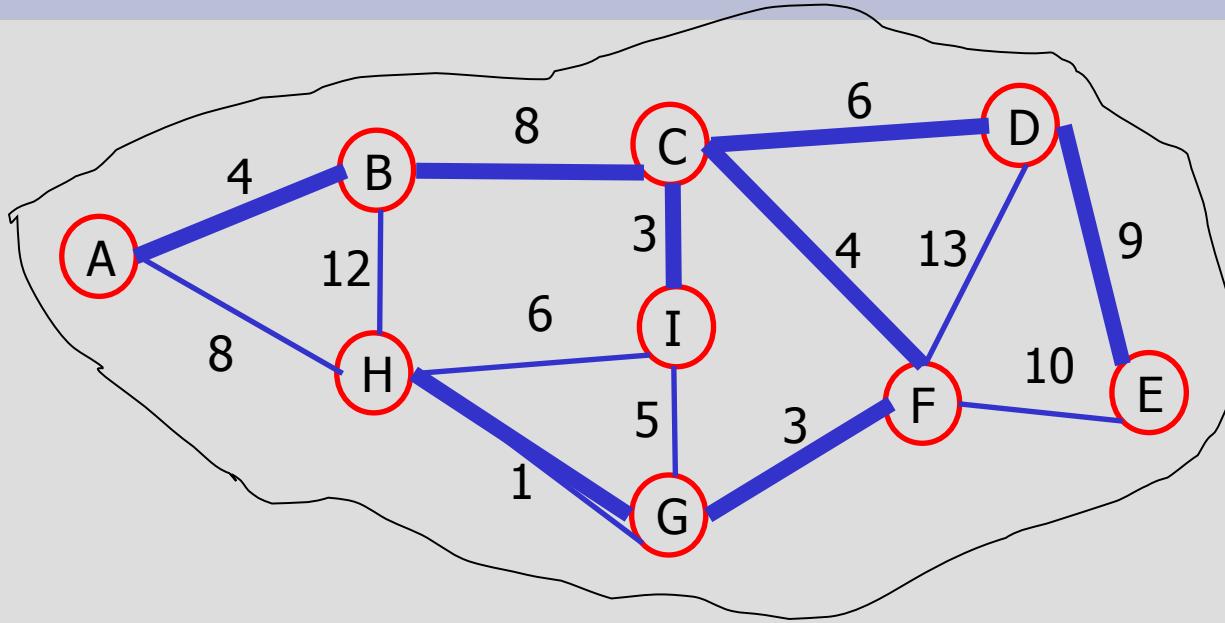
Kruskal Example/8



$$S = \{ ABCDFGHI \}$$

$$E' = \{ AH \ DE \ EF \ BH \ DF \ }$$

Kruskal Example/9

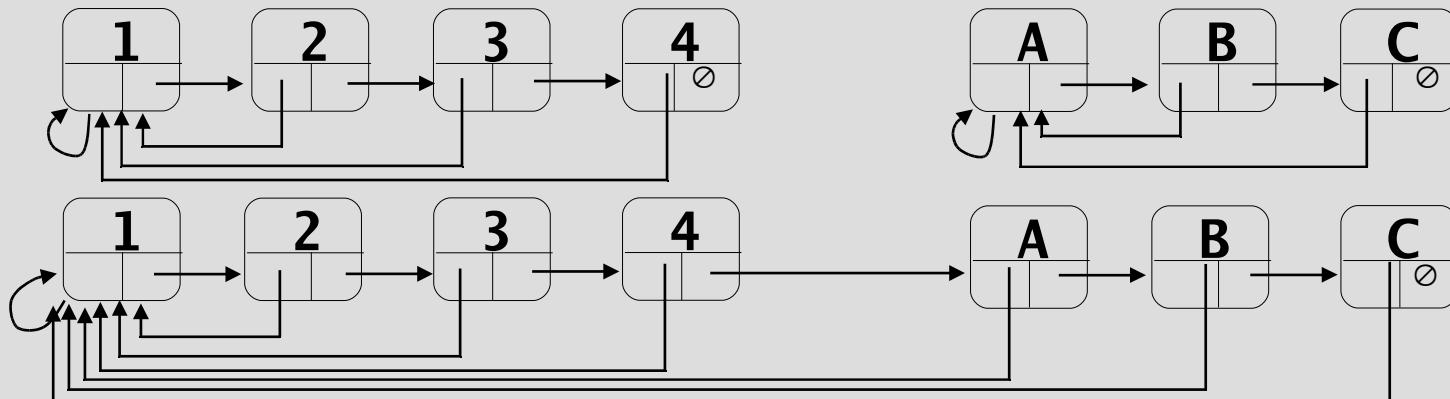


$$S = \{ ABCDEFGHI \}$$

$$E' = \{ EF \ BH \ DF \ }$$

Disjoint Sets as Lists

- Each set is a list of elements identified by the first element, all elements in the list point to the first element
- UnionSets: add a shorter list to a longer one, $O(\min\{|C(u)|, |C(v)|\})$
- AddSingletonSet/FindSet: $O(1)$



Kruskal Running Time

- Initialization $O(V)$ time
- Sorting the edges $\Theta(E \log E) = \Theta(E \log V)$
- $O(E)$ calls to FindSet
- Union costs
 - Let $t(v)$ be the number of times v is moved to a new cluster
 - Each time a vertex is moved to a new cluster the size of the cluster containing the vertex at least doubles:
$$t(v) \leq \log V$$
 - Total time spent doing Union
$$\sum_{v \in V} t(v) \leq |V| \log |V|$$
- Total time: $O(E \log V)$

Suggested exercises

- Implement both Prim's (tough) and Kruskal's algorithms (very tough)
- Using paper & pencil, simulate the behaviour of both Prim's and Kruskal's algorithms on some examples

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Shortest Path

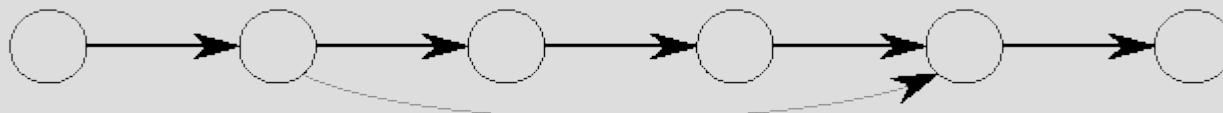
- Generalize distance to weighted setting
- Digraph $G=(V,E)$ with weight function $W: E \rightarrow R$ (assigning real values to edges)
- Weight of path $p = v_1 \xrightarrow{k-1} v_2 \xrightarrow{} \dots \xrightarrow{} v_k$ is
$$w(p) = \sum_{i=1}^{k-1} (v_i, v_{i+1})$$
- Shortest path = a path of minimum weight (cost)
- Applications
 - static/dynamic network routing
 - robot motion planning
 - map/route generation in traffic

Shortest-Path Problems

- Shortest-Path problems
 - **Single-source (single-destination).** Find a shortest path from a given source (vertex s) to each of the vertices.
 - **Single-pair.** Given two vertices, find a shortest path between them. Solution to single-source problem solves this problem efficiently, too.
 - **All-pairs.** Find shortest-paths for every pair of vertices. Dynamic programming algorithm.
 - Unweighted shortest-paths – BFS.

Optimal Substructure

- *Theorem:* subpaths of shortest paths are shortest paths
- Proof:
 - if some subpath were not the shortest path, one could substitute the shorter subpath and create a shorter total path

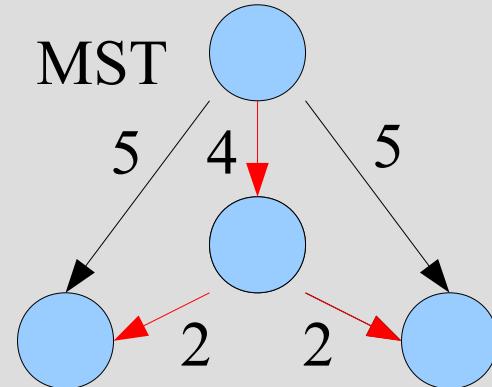
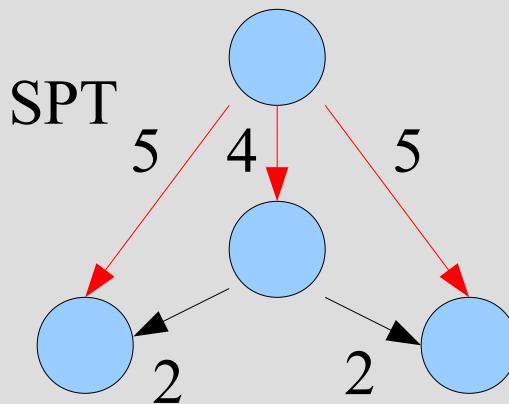


Negative Weights and Cycles

- Negative edges are OK, as long as there are no *negative weight cycles* (otherwise paths with arbitrary small “lengths” would be possible).
- Shortest-paths can have no cycles (otherwise we could improve them by removing cycles).
 - Any shortest-path in graph G can be no longer than $n - 1$ edges, where n is the number of vertices.

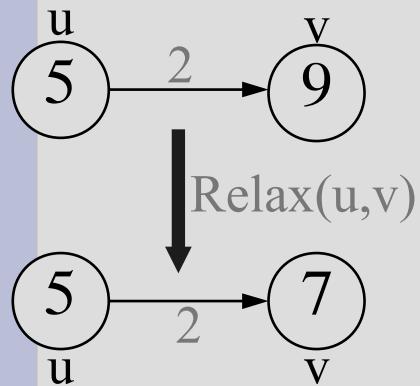
Shortest Path Tree

- The result of the algorithms is a *shortest path tree (SPT)*. For each vertex v , it
 - records a shortest path from the start vertex s to v .
 - $v.\text{pred}$ is the predecessor of v on this shortest path
 - $v.\text{dist}$ is the shortest path length from s to v
- Note: *SPT is different from minimum spanning tree (MST)!*



Relaxation

- For each vertex v in the graph, we maintain $v.\text{dist}$, the estimate of the shortest path from s . *It is* initialized to ∞ at the start.
- Relaxing an edge (u,v) means testing whether we can improve the shortest path to v found so far by going through u .



```
Relax (u, v, G)
if v.dist > u.dist + w(u, v) then
    v.dist := u.dist + w(u, v)
    v.pred := u
```

Dijkstra's Algorithm

- Assumption: non-negative edge weights
- Greedy, similar to Prim's algorithm for MST
- Like breadth-first search (if all weights = 1, one can simply use BFS)
- Use Q , a priority queue with keys $v.\text{dist}$ (BFS used FIFO queue, here we use a PQ, which is re-organized whenever some **dist** decreases)
- Basic idea
 - maintain a set S of solved vertices
 - at each step select "closest" vertex u , add it to S , and relax all edges from u

Dijkstra's Pseudo Code

Input: Graph G , start vertex s

Dijkstra

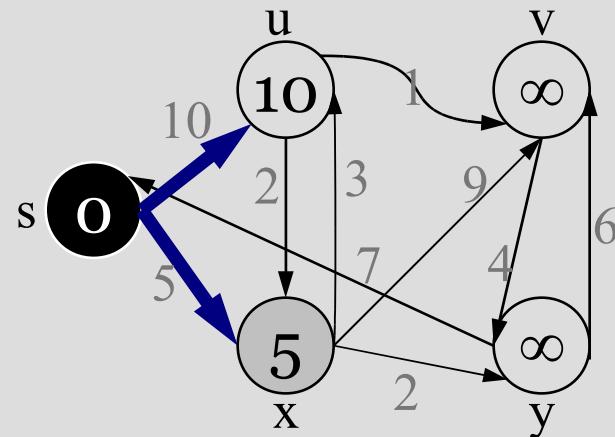
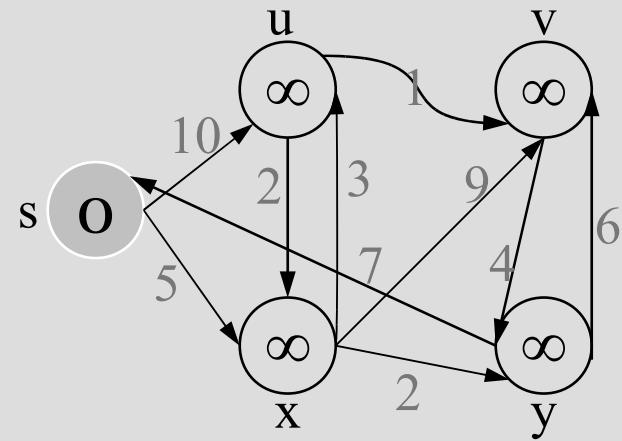
```
01 for  $u \in G.V$ 
02      $u.dist := \infty$ 
03      $u.pred := \text{NIL}$ 
04  $s.dist := 0$ 
05 init( $\mathcal{Q}, G.V$ ) // initialize
06 while not isEmpty( $\mathcal{Q}$ ) do
07      $u := \text{extractMin}(\mathcal{Q})$ 
08     for  $v \in u.adj$  do
09         Relax( $u, v, G$ )
10         modifyKey( $\mathcal{Q}, v$ )
```

initialize
graph

relaxing
edges

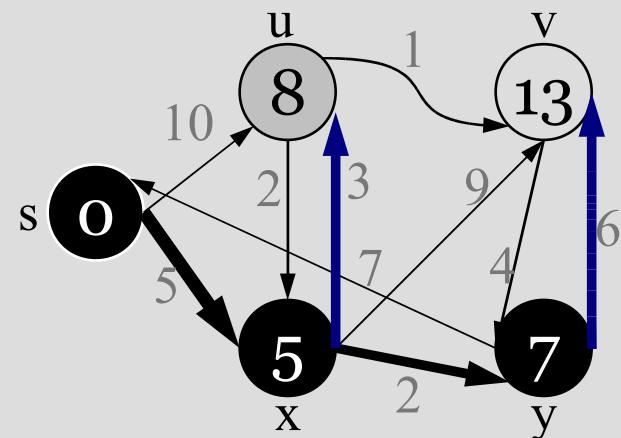
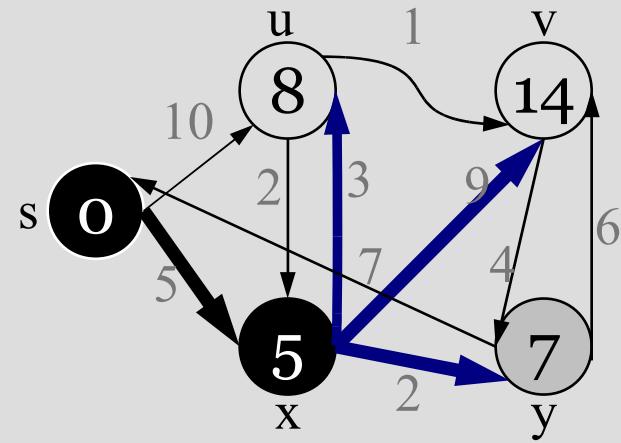
Dijkstra's Example/1

```
Dijkstra(G, s)
01 for u ∈ G.V
02   u.dist := ∞
03   u.pred := NIL
04 s.dist := 0
05 init(Q, G.V)
06 while not isEmpty(Q) do
07   u := extractMin(Q)
08   for v ∈ u.adj do
09     Relax(u, v, G)
10    modifyKey(Q, v)
```



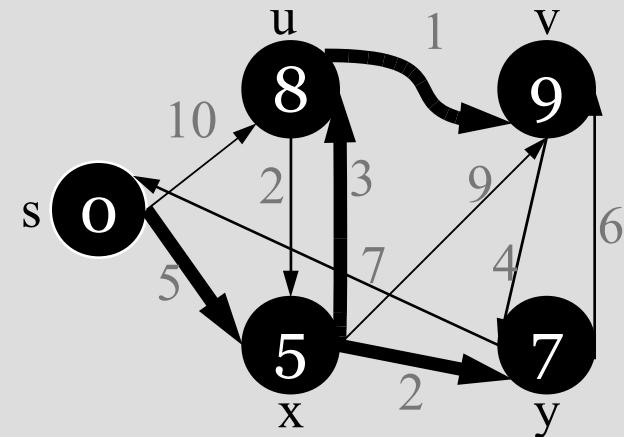
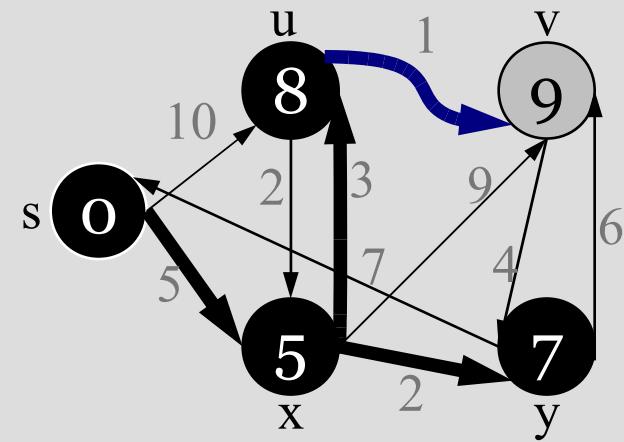
Dijkstra's Example/2

```
Dijkstra(G, s)
01 for u ∈ G.V
02   u.dist := ∞
03   u.pred := NIL
04 s.dist := 0
05 init(Q, G.V)
06 while not isEmpty(Q) do
07   u := extractMin(Q)
08   for v ∈ u.adj do
09     Relax(u, v, G)
10    modifyKey(Q, v)
```



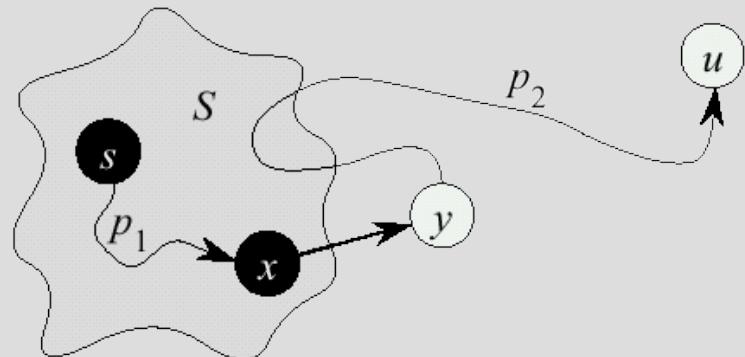
Dijkstra's Example/3

```
Dijkstra(G, s)
01 for u ∈ G.V
02   u.dist := ∞
03   u.pred := NIL
04 s.dist := 0
05 init(Q, G.V)
06 while not isEmpty(Q) do
07   u := extractMin(Q)
08   for v ∈ u.adj do
09     Relax(u, v, G)
10    modifyKey(Q, v)
```



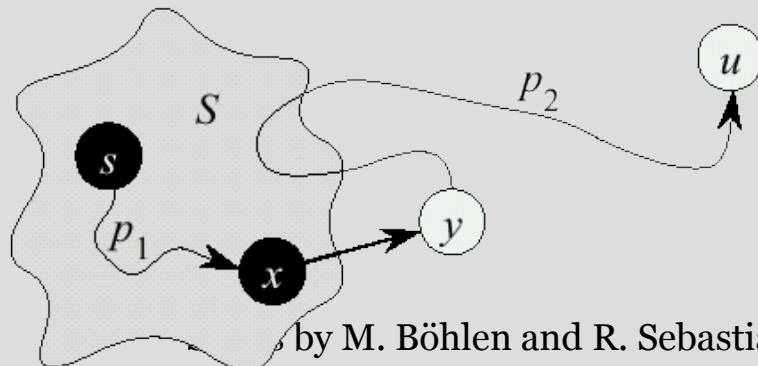
Dijkstra's Correctness/1

- We prove that whenever u is added to S , $u.\text{dist} = \delta(s,u)$, i.e., $dist$ is minimum.
- Proof (by contradiction)
 - Initially $\forall v: v.\text{dist} \geq \delta(s,v)$
 - Let u be the **first** vertex such that there is a shorter path than $u.\text{dist}$, i.e., $u.\text{dist} > \delta(s,u)$
 - We will show that this assumption leads to a contradiction



Dijkstra Correctness/2

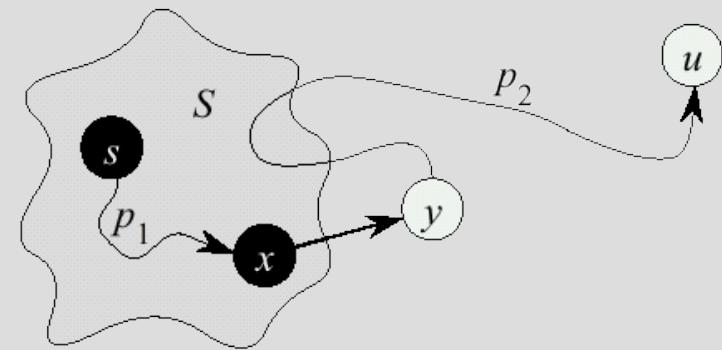
- Let y be the first vertex $\in V-S$ on the actual shortest path from s to u , then it must be that $y.\text{dist} = \delta(s,y)$ because
 - $x.\text{dist}$ is set correctly for y 's predecessor $x \in S$ on the shortest path (by choice of u as the first vertex for which **dist** is set incorrectly)
 - when the algorithm inserted x into S , it relaxed the edge (x,y) , setting $y.\text{dist}$ to the correct value



Dijkstra Correctness/3

$$\begin{aligned} u.\text{dist} &> (s,u) \\ &= (s,y) + (y,u) \\ &= y.\text{dist} + (y,u) \\ &\geq y.\text{dist} \end{aligned}$$

initial assumption
optimal substructure
correctness of $y.\text{dist}$
no negative weights



- But $u.\text{dist} > y.\text{dist} \Rightarrow$ algorithm would have chosen y (from the PQ) to process next, not $u \Rightarrow$ contradiction
- Thus, $u.\text{dist} = \delta(s,u)$ at time of insertion of u into S , and Dijkstra's algorithm is correct

Dijkstra's Running Time

- Extract-Min executed $|V|$ time
- Modify-Key executed $|E|$ time
- Time = $|V| T_{\text{Extract-Min}} + |E| T_{\text{Modify-Key}}$
- T depends on different Q implementations

Q	T(Extract-Min)	T(Modify-Key)	Total
array	$O(V)$	$O(1)$	$O(V^2)$
heap	$O(\lg V)$	$O(\lg V)$	$O(E \lg V)$

Bellman-Ford Algorithm/1

- Dijkstra's doesn't work when there are negative edges:
 - Intuition – we cannot be greedy anymore on the assumption that the lengths of paths will only increase in the future
- Bellman-Ford algorithm detects negative cycles (returns *false*) or returns the shortest path-tree

Bellman-Ford Algorithm/2

Bellman-Ford(G, s)

```
01 for each vertex  $u \in G.V$ 
02    $u.dist := \infty$ 
03    $u.pred := \text{NIL}$ 
04  $s.dist := 0$ 

05 for  $i := 1$  to  $|G.V| - 1$  do
06   for each edge  $(u, v) \in G.E$  do
07     Relax  $(u, v, G)$ 

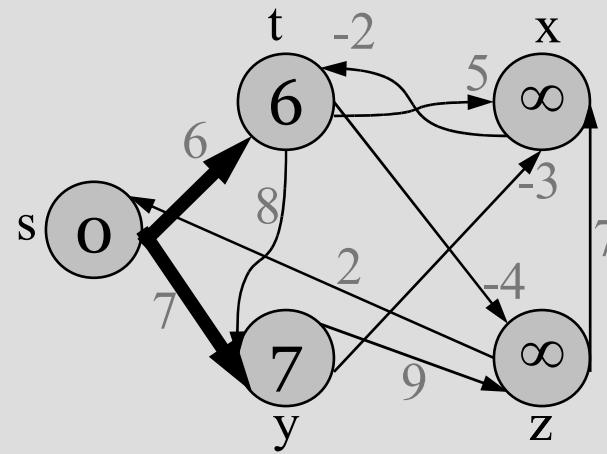
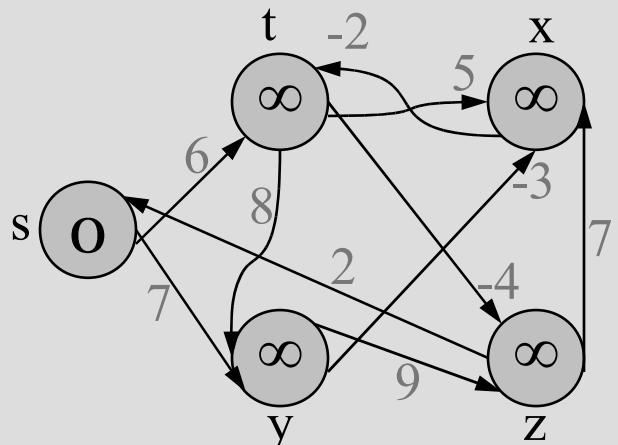
08 for each edge  $(u, v) \in G.E$  do
09   if  $v.dist > u.dist + w(u, v)$  then
10     return
11 return
```

initialization

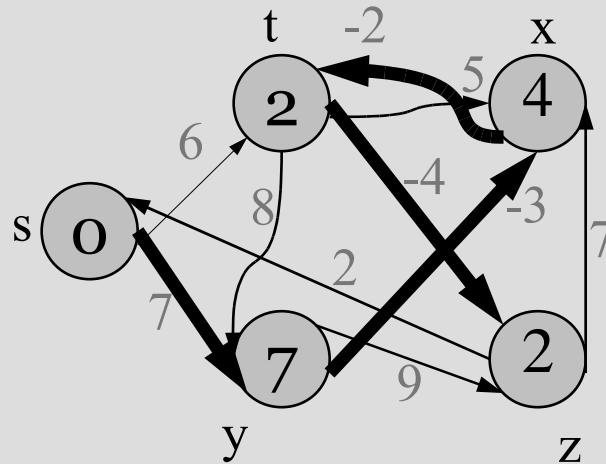
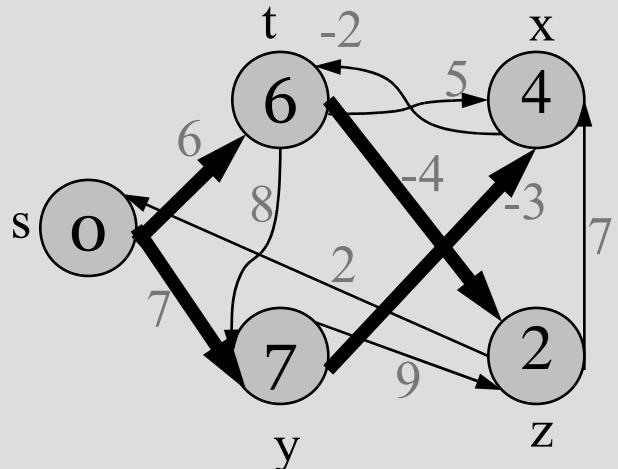
compute distances

check for negative cycles

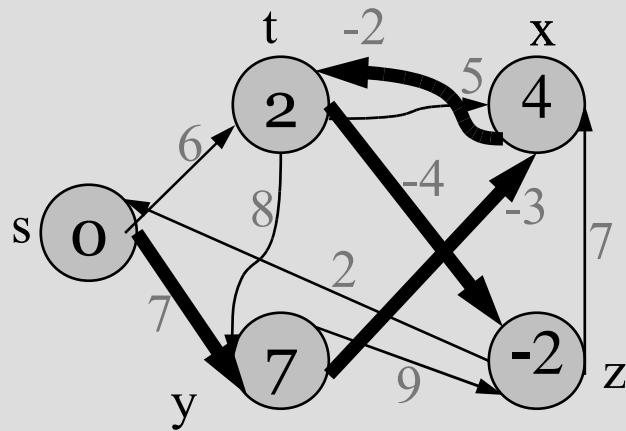
Bellman-Ford Example



Order: tx, ty, tz, xt, yx, yz, zx, zs, st, sy



Bellman-Ford Example/2



Order: tx, ty, tz, xt, yt, yx, yz, zx, zs, st, sy

Bellman-Ford Running time

- Bellman-Ford running time:
 - $(|V|-1)|E| + |E| = \Theta(VE)$

Correctness of Bellman-Ford/1

- Let $\delta_i(s,u)$ denote the length of path from s to u , that is shortest among all paths, that contain at most i edges
- Prove by induction that $u.\mathbf{dist} = \delta_i(s,u)$ after the i^{th} iteration of Bellman-Ford
 - Base case ($i=0$) trivial
 - Inductive step (say $u.\mathbf{dist} = \delta_{i-1}(s,u)$):
 - Either $\delta_i(s,u) = \delta_{i-1}(s,u)$
 - Or $\delta_i(s,u) = \delta_{i-1}(s,z) + w(z,u)$
 - In an iteration we try to relax each edge $((z,u)$ also), so we handle both cases, thus $u.\mathbf{dist} = \delta_i(s,u)$

Correctness of Bellman-Ford/2

- After $n-1$ iterations, $u.\mathbf{dist} = \delta_{n-1}(s,u)$, for each vertex u .
- If there is some edge to relax in the graph, then there is a vertex u , such that $\delta_n(s,u) < \delta_{n-1}(s,u)$. But there are only n vertices in G – we have a cycle, and it is negative.
- Otherwise, $u.\mathbf{dist} = \delta_{n-1}(s,u) = \delta(s,u)$, for all u , since any shortest path will have at most $n-1$ edges

Shortest-Path in DAG's

- Finding shortest paths in DAG's is much easier, because it is easy to find an order in which to do relaxations – Topological sorting!

DAG-Shortest-Paths (G, w, s)

```
01 for each vertex  $u \in G.V$ 
02    $u.dist := \infty$ 
03    $u.pred := NIL$ 
04  $s.dist := 0$ 
05 topologically sort  $G$ 
06 for each vertex  $u$  in topological order do
07   for each  $v \in u.adj$  do
08     Relax( $u, v, G$ )
```

Shortest-Paths in DAG's/2

- Running time:
 - $\Theta(V+E)$ – only one relaxation for each edge, V times faster than Bellman-Ford

Suggested exercises

- Implement both Dijkstra's and Bellman-Ford's algorithms
- Implement the algorithm based on topological sorting for DAGs
- Using paper & pencil
 - simulate the behaviour of both Dijkstra's and Bellman-Ford's algorithms on some examples
 - Simulate the behaviour of the T.S. algorithm on some example DAGs

Summary and Outlook

- Greedy algorithms
- MST: Kruskal
- MST: Prim
- Shortest path: Dijkstra
- Shortest path: Bellman-Ford