

# **Computational Logic**

## **Relational Query Languages with Negation**

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**(Slides adapted from Thomas Eiter and Leonid Libkin)**

## Queries with “All”

“Who are the directors whose movies are playing in all theaters?”

- What does it actually mean?

$$\left\{ \text{dir} \mid \exists \text{tl}', \text{act}' \text{ Movie}(\text{tl}', \text{dir}, \text{act}') \wedge \forall \text{th} \left( \exists \text{tl}'' \text{ Schedule}(\text{th}, \text{tl}'') \rightarrow \right. \right. \\ \left. \left. \exists \text{tl}, \text{act} \text{ Schedule}(\text{th}, \text{tl}) \wedge \text{Movie}(\text{tl}, \text{dir}, \text{act}) \right) \right\}$$

- To understand this, we revisit rule-based queries, and write them in logical notation.

## Expressing Rules in Logic

- By now, we have become familiar with queries like the one below:

$\text{answer}(\text{th}) \text{ :- movie}(\text{tl}, \text{'Polanski'}, \text{act}), \text{schedule}(\text{th}, \text{tl})$

- How can we phrase this query in English?
- It specifies those theaters  $\text{th}$  such that the following holds:

There exist a movie  $(\text{tl})$  and an actor  $(\text{act})$  such that  
 $(\text{th}, \text{tl})$  is in Schedule and  $(\text{tl}, \text{'Polanski'}, \text{act})$  is in Movie

- Using notation from mathematical logic, we can introduce a query predicate  $Q(\cdot)$  and define it by the property above:

$$Q(\text{th}) \iff \exists \text{tl} \exists \text{act} \text{Movie}(\text{tl}, \text{'Polanski'}, \text{act}) \wedge \text{Schedule}(\text{th}, \text{tl})$$

## Other Queries in Logical Notation

- Rule-based query:

$\text{answer}(\text{th}) \text{ :- movie}(\text{tl}, \text{dir}, \text{'Nicholson'}), \text{schedule}(\text{th}, \text{tl})$

- Query as formula:

$Q(\text{th}) \iff \exists \text{tl} \exists \text{dir} \text{Movie}(\text{tl}, \text{dir}, \text{'Nicholson'}) \wedge \text{Schedule}(\text{th}, \text{tl})$

- In general, every single-rule query can be written in this logical notation using only:

existential quantification  $\exists$

and

logical conjunction  $\wedge$

## SPJRU Queries in Logical Notation

“Who are the actors who played in movies directed by Kubrick *OR* Polanski?”

- Rule-based notation, using two rules:

$\text{answer}(\text{act}) \quad :- \quad \text{movie}(\text{tl}, \text{dir}, \text{act}), \text{dir} = \text{'Kubrick'}$

$\text{answer}(\text{act}) \quad :- \quad \text{movie}(\text{tl}, \text{dir}, \text{act}), \text{dir} = \text{'Polanski'}$

- Logical notation:

$$\begin{aligned} Q(\text{act}) \iff \exists \text{tl} \exists \text{dir} (\text{Movie}(\text{tl}, \text{dir}, \text{act}) \wedge \\ (\text{dir} = \text{'Kubrick'} \vee \text{dir} = \text{'Polanski'})) \end{aligned}$$

The new element here is logical disjunction  $\vee$  (OR)

**Proposition.** SPJRU queries can be expressed in logical notation using

- existential quantifiers  $\exists$
- conjunction “ $\wedge$ ” and disjunction “ $\vee$ ”

## Queries with “All” (cntd)

$$\left\{ \text{dir} \mid \exists \text{tl}', \text{act}' \text{ Movie}(\text{tl}', \text{dir}, \text{act}') \wedge \forall \text{th} \left( \exists \text{tl}'' \text{ Schedule}(\text{th}, \text{tl}'') \rightarrow \right. \right. \\ \left. \left. \exists \text{tl}, \text{act} \text{ Schedule}(\text{th}, \text{tl}) \wedge \text{Movie}(\text{tl}, \text{dir}, \text{act}) \right) \right\}$$

- The new element here is universal quantification  $\forall$  (“for all”)
- We know:

$$\forall x F(x) \equiv \neg \exists x \neg F(x)$$

So, we can capture this if we introduce *negation*

## Relational Calculus

- Relational calculus consists of queries written in the logical notation using:

relation names (e.g., Movie)

constants (e.g., 'Nicholson')

conjunction  $\wedge$ , disjunction  $\vee$ , implication  $\rightarrow$

negation  $\neg$

existential quantifiers  $\exists$  and universal quantifiers  $\forall$

- The logical symbols  $\wedge, \exists, \neg$  suffice:

$$\forall x F(x) \equiv \neg \exists x \neg F(x)$$

$$F \vee G \equiv \neg(\neg F \wedge \neg G)$$

$$F \rightarrow G \equiv \neg F \vee G$$

- Relational calculus has exactly the syntax of first-order predicate logic.

## Bound and Free Variables

When considering a formula  $\varphi$  as a query, the free variables of  $\varphi$  play an outstanding role.

- An occurrence of a variable  $x$  in formula  $\varphi$  is *bound* if it is within the scope of a quantifier  $\exists x$  or  $\forall x$
- An occurrence of a variable in  $\varphi$  is *free* iff it is not bound
- A variable of formula  $\varphi$  is *free* if it has a free occurrence
- Free variables go into the output of a query



## Queries in Relational Calculus

Essentially, a query is nothing but a formula.

We use two special notations to highlight the free variables  $\vec{x}$  of  $\varphi$ :

- $Q(\vec{x}) \iff \varphi$
- $\{\vec{x} \mid \varphi\}$

Examples for the second notation:

- $\{x, y \mid \exists z (R(x, z) \wedge S(z, y))\}$
- $\{x \mid \forall y R(x, y)\}$

Queries without free variables are called *Boolean queries*. Their output is *true* or *false*. Examples:

- $\forall x R(x, x)$
- $\forall x \exists y R(x, y)$

## Reminder: Semantics of First-Order Predicate Logic

In predicate logic, the semantics of formulas is defined in terms of two ingredients

- *interpretations*  $I$ , where  $I$ 
  - has a set  $\Delta^I$  as *domain of interpretation*
  - maps constants  $c$  to elements  $c^I \in \Delta^I$
  - maps  $n$ -ary relation symbols  $r$  to relations  $r^I \subseteq (\Delta^I)^n$
- *assignments*  $\alpha: \mathbf{var} \rightarrow \Delta^I$ , where  $\mathbf{var}$  is the set of all variables.

One defines recursively over the structure of formulas when a pair  $I, \alpha$  *satisfies* a formula  $\varphi$ , written

$$I, \alpha \models \varphi$$

## Database Instances as First-Order Interpretations

In a straightforward way, every database instance  $\mathbf{I}$  gives rise to a first-order interpretation  $I_{\mathbf{I}}$  that

- has domain  $\Delta^{I_{\mathbf{I}}} = \mathbf{dom}$
- maps every constant to itself, i.e.,  $c^{I_{\mathbf{I}}} = c$  for all  $c \in \mathbf{dom}$
- maps every  $n$ -ary relation symbol  $R$  to  $R^{I_{\mathbf{I}}} = \mathbf{I}(R) \subseteq \mathbf{dom}^n$ .

To simplify our notation, we will often identify  $\mathbf{I}$  and  $I_{\mathbf{I}}$ .

## Semantics of Queries

- If  $\vec{x}$  is a tuple of variables and  $\alpha: \mathbf{var} \rightarrow \mathbf{dom}$  is an assignment, then  $\alpha(\vec{x})$  is a tuple of constants.
- Let  $Q = \{ \vec{x} \mid \varphi \}$  be a query. We define the answer of  $Q$  over  $\mathbf{I}$  as

$$Q(\mathbf{I}) = \{ \alpha(\vec{x}) \mid \mathbf{I}, \alpha \models \varphi \}$$

*How does this relate to our previous definition of answers to conjunctive queries?*

## Negation in the Calculus Requires Care

- What is the meaning of the query

$$Q = \{x \mid \neg R(x)\} \quad ?$$

It says something like, “Give me everything that is *not* in the database”

- According to our formal definition,  $Q(\mathbf{I}) = \mathbf{dom} \setminus \mathbf{I}(R)$ .

But this is an *infinite* set!

## Safe Queries

**Definition (Safety).** A calculus query is *safe* if it returns finite results over all (finite) databases.

- Clearly, practical languages can only allow safe queries.
- Bad news: Safety is undecidable. (That is: No algorithm exists to check whether a query is safe.)
- Good news: All SPJRU queries are safe.

Reason: Everything constant that occurs in the output must have occurred in the input.

- We conclude: Queries can become unsafe if we allow negation.

## Negation in Relational Algebra: Difference

**Definition (Difference in the Named Perspective).** If  $R$  and  $S$  are two relations with the same set of attributes, then  $R \setminus S$  is their set difference, i.e., the set of all tuples that occur in  $R$  but not in  $S$ .

Example:

A	B		A	B		A	B
a1	b1		a2	b2		a1	b1
a2	b2	\	a3	b3	=		
a3	b3		a4	b4			

*For which relations can one define difference in the unnamed perspective?*

**Definition.** The (full) relational algebra comprises the operators projection, selection, cartesian product, renaming and difference.

## How Does Relational Calculus Compare to Relational Algebra?

We have seen that close connections exist between fragments of relational algebra and fragments of relational calculus, e.g.,

- SPC queries  $\leftrightarrow$  conjunctive queries
- SPCU queries  $\leftrightarrow$  unions of conjunctive queries

**Observation.** All relational algebra queries are safe, but not all calculus queries  
 $\implies$  not all calculus queries can be expressed in algebra

### Questions:

- Can we characterize the calculus queries that can be expressed in algebra?
- Can all safe queries be expressed in algebra?



## Query Semantics (cntd)

- When fixing the semantics of calculus queries, we defined the domain of  $I_{\mathbf{I}}$  as

$$\Delta^{I_{\mathbf{I}}} = \mathbf{dom}.$$

However, there are more options.

- For an instance  $\mathbf{I}$  and a query  $Q$  let
  - $adom(\mathbf{I})$  = the set of constants occurring in  $\mathbf{I}$ ; the *active domain* of  $\mathbf{I}$
  - $adom(Q)$  = the set of constants occurring in  $Q$ ; the *active domain* of  $Q$
  - $adom(Q, \mathbf{I}) = adom(Q) \cup adom(\mathbf{I})$ ; the *active domain* of  $Q$  and  $\mathbf{I}$
- A set  $\mathbf{d} \subseteq \mathbf{dom}$  is *admissible* for  $Q$  and  $\mathbf{I}$  if  $adom(Q, \mathbf{I}) \subseteq \mathbf{d}$ .
- Given an admissible  $\mathbf{d}$  we define  $I_{\mathbf{I}}^{\mathbf{d}}$  similarly as  $I_{\mathbf{I}}$ , with the exception that

$$\Delta^{I_{\mathbf{I}}^{\mathbf{d}}} = \mathbf{d}.$$

## Query Semantics (cntd)

- Let  $\mathbf{d}$  be admissible for  $Q = \{\vec{x} \mid \varphi\}$  and  $\mathbf{I}$
- Then we define the *answer* of  $Q$  over  $\mathbf{I}$  *relative to*  $\mathbf{d}$  as

$$Q_{\mathbf{d}}(\mathbf{I}) = \{ \alpha(\vec{x}) \mid I_{\mathbf{I}}^{\mathbf{d}}, \alpha \models \varphi \}$$

Intuitively, different semantics have different quantifier ranges.

- The extreme cases are:
  - *Natural semantics*  $Q_{nat}(\mathbf{I})$ : unrestricted interpretation, that is  $\mathbf{d} = \mathbf{dom}$
  - *Active domain semantics*  $Q_{adom}(\mathbf{I})$ : the range of quantifiers is the set of all constants in  $Q$  and in  $\mathbf{I}$ , that is  $\mathbf{d} = adom(Q, \mathbf{I})$ .

## Domain Dependent Queries

Sometimes, the answer  $Q_d(\mathbf{I})$  can be different for the same  $Q$  and  $\mathbf{I}$  if  $d$  varies.

### Examples:

- $\{x, y, z \mid \neg \text{Movie}(x, y, z)\}$
- $\{x, y \mid \text{Movie}(x, \text{Polanski}, \text{Nicholson}) \vee \text{Movie}(\text{Chinatown}, \text{Polanski}, y)\}$

The results of these queries are *domain dependent*.

**Observation.** Relational Algebra queries do not depend on the domain.

## Domain Dependent Queries (cntd)

- The previous examples of domain dependent queries were not safe.  
One may think that the problem of domain dependence is the one of possibly infinite query outputs.
- But something more subtle plays a role: the range of quantifiers
- Example:

$$Q(x) = \{x \mid \forall y R(x, y)\} \qquad \mathbf{I} = \begin{array}{c|cc} & R & A & B \\ \hline & & a & a \\ & & a & b \end{array}$$

For this query  $Q$  over this interpretation  $\mathbf{I}$  we have

$$Q_{nat}(\mathbf{I}) = \emptyset$$

$$Q_{adom}(\mathbf{I}) = \{\langle a \rangle\}.$$

## Domain Independence

**Definition.** A calculus query  $Q$  is *domain independent* if for all  $\mathbf{I}$  and all admissible  $\mathbf{d}, \mathbf{d}'$  we have that

$$Q_{\mathbf{d}}(\mathbf{I}) = Q_{\mathbf{d}'}(\mathbf{I}).$$

### Examples.

- Positive examples:

$$\exists \text{tl} \exists \text{act} \text{Movie}(\text{tl}, \text{'Polanski'}, \text{act}) \wedge \text{Schedule}(\text{th}, \text{tl})$$

Every SPJU query, rewritten to logical notation

- Negative examples:

$$\{x, y \mid \text{Movie}(x, \text{Polanski}, \text{Nicholson}) \vee \text{Movie}(\text{Chinatown}, \text{Polanski}, y)\}$$

$$\{x \mid \forall y \text{Schedule}(y, x)\}$$

**Domain Independence (cntd)**

**Proposition.** If  $Q$  is domain independent, then for all instances  $\mathbf{I}$  and all admissible  $\mathbf{d} \subseteq \mathbf{dom}$  we have that

$$Q_{\text{adom}}(\mathbf{I}) = Q_{\mathbf{d}}(\mathbf{I}) = Q_{\text{nat}}(\mathbf{I})$$

**Definition.** The *Domain-independent Relational Calculus* (DI-RelCalc) consists of the domain-independent queries in RC.

## Domain Independence (cntd)

**Theorem.** Domain independence is undecidable.

- Consequence: It is undecidable whether a given formula  $Q(\vec{x})$  belongs to DI-RelCalc
- Still, there are (decidable) syntactic properties of queries that imply domain independence
- There are even domain-independent fragments of RelCalc that can be efficiently recognized and that are as expressive as the full DI-RelCalc (e.g., *safe range queries*)

## Equivalence Theorem of Relational Query Languages

**Theorem.** The following query languages have the same expressivity:

- Relational Algebra with the operations  $\pi, \sigma, \times, \cup, \setminus, \rho$
- Domain-independent Relational Calculus (DI-RelCalc)
- Relational Calculus under Active Domain Semantics

We won't give a formal proof of this statement (which can be found in the book in Section 5.3), but try to explain why it is true.

As a side effect, we will see some examples of relational algebra usage



**Proof Sketch: From Relational Algebra to DI-RelCalc**

- Show that unnamed relational algebra can be expressed by relational calculus
- Use only  $\exists$  quantifiers in the transformation
- Ensure that each free variable  $x$ , resp. each variable quantified by an  $\exists x$  is “grounded” in some atom  $R(\dots, x, \dots)$
- This yields for each RelAlg expression  $E$  a domain-independent transform  $\varphi_E$  such that the semantics of  $E$  and of  $\varphi_E$  coincide
- In particular, the semantics of  $E$  and the Active Domain Semantics of  $\varphi_E$  coincide

## From Relational Algebra to DI-RelCalc /1

Principle: Each expression  $E$  producing an  $n$ -ary relation is translated into a formula  $\varphi_E(x_1, \dots, x_n)$  with free variables  $x_1, \dots, x_n$

- $R \mapsto R(x_1, \dots, x_n)$
- $\sigma_C(E) \mapsto \varphi_E(x_1, \dots, x_n) \wedge C$

Example: Suppose  $R$  is binary. Then

$$\sigma_{1=2}(R) \mapsto (R(x_1, x_2) \wedge x_1 = x_2).$$

**From Relational Algebra to DI-RelCalc/2**

- If  $E$  has arity  $(n + m)$ , then

$$\pi_{1,\dots,n}(E) \mapsto \exists y_1, \dots, y_m \varphi_E(x_1, \dots, x_n, y_1, \dots, y_m).$$

*The attributes that are not projected are quantified.*

Example: Suppose  $R$  is binary. Then

$$\pi_1(R) \mapsto \exists x_2 R(x_1, x_2).$$

- For any  $E, F$  with arity  $n, m$ , resp.

$$E \times F \mapsto \varphi_E(x_1, \dots, x_n) \wedge \varphi_F(y_1, \dots, y_m)$$

(note that the formula has  $n + m$  distinct free variables)

**From Relational Algebra to DI-RelCalc/3**

- If  $E$  and  $F$  both have the same arity, say  $n$ , then

$$E \cup F \mapsto \varphi_E(x_1, \dots, x_n) \vee \varphi_F(x_1, \dots, x_n)$$

(note that the output has  $n$  distinct free variables)

- If  $E$  and  $F$  both have the same arity, say  $n$ , then

$$E \setminus F \mapsto E(x_1, \dots, x_n) \wedge \neg F(x_1, \dots, x_n)$$

(note that the output has again  $n$  distinct free variables)

## From DI-RelCalc to Relational Algebra: Translation

The *active domain* of a relation is the set of all constants that occur in it.

- | $R_1$ | $A$   | $B$ |
|-------|-------|-----|
| $a_1$ | $b_1$ |     |
| $a_2$ | $b_2$ |     |
- Example:  $\{a_1, a_2, b_1, b_2\}$ .
  - We can express the active domain of a relation  $R$  in relational algebra.  
Suppose  $R$  has attributes  $A_1, \dots, A_n$ . Then:
- $$\text{ADOM}(R) = \rho_{B \leftarrow A_1}(\pi_{A_1}(R)) \cup \dots \cup \rho_{B \leftarrow A_n}(\pi_{A_n}(R))$$

- The active domain is a relation with one attribute (here:  $B$ )
- We can also express the active domain of a database:

$$\text{ADOM}(R_1, \dots, R_k) = \text{ADOM}(R_1) \cup \dots \cup \text{ADOM}(R_k)$$

## From DI-RelCalc to Relational Algebra

Let  $Q(\vec{x})$  be a query over the relations  $R_1, \dots, R_n$ .

- If  $Q$  is domain-independent,  
then  $Q(\vec{x})$  can wlog be evaluated over  $\text{ADOM}(R_1, \dots, R_n)$ .
- Thus, we need to show how to translate relational calculus queries over  $\text{ADOM}(R_1, \dots, R_n)$  into relational algebra queries.
- We will translate a relational calculus formula  $\varphi(x_1, \dots, x_n)$  into a relational algebra expression  $E_\varphi$  with  $n$  attributes.

We will mix named and unnamed perspectives  
and use whatever is more convenient

**From DI-RelCalc to Relational Algebra /2**

Easy cases. Let  $R$  be a relation with attributes  $A_1, \dots, A_n$ :

- $R(x_1, \dots, x_n) \mapsto R$
- $\exists x_1 R(x_1, \dots, x_n) \mapsto \pi_{A_2, \dots, A_n}(R)$

Not so easy cases. Conditions and negation:

- $C(x_1, \dots, x_n) \mapsto \sigma_C(\text{ADOM} \times \dots \times \text{ADOM})$

E.g.,  $x_1 = x_2$  is translated into  $\sigma_{1=2}(\text{ADOM} \times \text{ADOM})$

- $\neg R(\vec{x}) \mapsto (\text{ADOM} \times \dots \times \text{ADOM}) \setminus R$

We only compute the tuples of database elements that do not belong to  $R$

## From DI-RelCalc to Relational Algebra /3

The hardest case. Disjunction:

- Let both  $R$  and  $S$  be binary. Consider the relational calculus query:

$$Q(x, y, z) \iff R(x, y) \vee S(x, z)$$

- The result is ternary and consists of tuples  $(x, y, z)$  such that

either  $(x, y) \in R, z \in \text{ADOM}$ , or  $(x, z) \in S, y \in \text{ADOM}$

- The first disjunct translates simply to  $R \times \text{ADOM}$
- The second translation is more complex:  $\pi_{1,3,5}(\sigma_{1=4 \wedge 2=5}(S \times \text{ADOM} \times S))$
- Taking the two together yields

$$Q(x, y, z) \mapsto R \times \text{ADOM} \cup \pi_{1,3,5}(\sigma_{1=4 \wedge 2=5}(S \times \text{ADOM} \times S))$$



## From DI-RelCalc to Relational Algebra /4

Conjunction is mapped to (natural) join

- Suppose we have mapped

$$\varphi(x_1, \dots, x_m, y_1, \dots, y_n) \quad \mapsto \quad E(A_1, \dots, A_m, B_1, \dots, B_n)$$

$$\psi(x_1, \dots, x_m, z_1, \dots, z_k) \quad \mapsto \quad F(A_1, \dots, A_m, C_1, \dots, C_k)$$

- Then

$$\varphi(x_1, \dots, x_m, y_1, \dots, y_n) \wedge \psi(x_1, \dots, x_m, z_1, \dots, z_k) \quad \mapsto \quad E \bowtie F$$

Recall that the natural join can be defined in terms of  $\rho$ ,  $\times$ ,  $\sigma$ , and  $\pi$

## Queries with “All” in Relational Algebra

- “Find directors whose movies are playing in all theaters”

$$\left\{ \text{dir} \mid \exists \text{tl}', \text{act}' \text{ Movie}(\text{tl}', \text{dir}, \text{act}') \wedge \forall \text{th} \left( \exists \text{tl}'' \text{ Schedule}(\text{th}, \text{tl}'') \rightarrow \right. \right. \\ \left. \left. \exists \text{tl}, \text{act} \text{ Schedule}(\text{th}, \text{tl}) \wedge \text{Movie}(\text{tl}, \text{dir}, \text{act}) \right) \right\}$$

- Define, using  $M$  for Movie and  $S$  for Schedule,

$$D = \pi_{\text{director}}(M), \quad T = \pi_{\text{theater}}(S), \quad DT = \pi_{\text{director, theater}}(M \bowtie S)$$

- $D$  has all directors,  $T$  has all theaters,  
 $DT$  has all directors and theaters where their movies are playing
- Our query is (mixing slightly logic and algebra):

$$\{ d \mid d \in D \wedge \forall t (t \in T \rightarrow (d, t) \in DT) \}$$

## Queries with “All” (cntd)

- We can rewrite the query  $\{ d \mid d \in D \wedge \forall t (t \in T \rightarrow (d, t) \in DT) \}$  as

$$\{ d \mid d \in D \wedge \neg \exists t (t \in T \wedge (d, t) \notin DT) \}$$

- This is the relative complement in  $D$  of the query

$$\{ d \mid d \in D \wedge \exists t (t \in T \wedge (d, t) \notin DT) \},$$

- This can be equivalently transformed into

$$\{ d \mid \exists t (d \in D \wedge t \in T \wedge (d, t) \notin DT) \},$$

- Finally, this can be expressed as

$$\pi_{\text{director}}(D \times T \setminus DT)$$

**Queries with “All” (cont’d)**

- Hence, the answer to the entire query is

$$D \setminus \pi_{\text{director}}(D \times T \setminus DT).$$

- Putting everything together, the answer is:

$$\pi_{\text{director}}(M) \setminus \pi_{\text{director}} \left( \pi_{\text{director}}(M) \times \pi_{\text{theater}}(S) \setminus \pi_{\text{director, theater}}(M \bowtie S) \right)$$

- This is much less intuitive than the logical description of the query.

## Safe-Range Queries

Safe range queries are a syntactically defined fragment of Relational Calculus that contains *only* domain-independent queries

(and thus are also a fragment of DI-RelCalc)

- One can show: Safe-Range RelCalc  $\equiv$  DI-RelCalc
- Steps in defining safe-range queries:
  - a syntactic *normal form* of the queries
  - a mechanism for determining whether a variable is *range restricted*

Then a query is safe-range iff all its free variables are range-restricted.

## Safe-Range Normal Form (SRNF)

Equivalently rewrite query formula  $\varphi$

- **Rename variables apart:** Rename variables such that each variable  $x$  is quantified at most once and has only free or only bound occurrences.
- **Eliminate  $\forall$ :** Rewrite  $\forall x \varphi \mapsto \neg \exists x \neg \varphi$
- **Eliminate implications:** Rewrite  $\varphi \rightarrow \psi \mapsto \neg \varphi \vee \psi$  (and similarly for  $\leftrightarrow$ )
- **Push negation down as far as possible:** Use the rules

$$\neg \neg \varphi \mapsto \varphi$$

$$\neg(\varphi_1 \wedge \varphi_2) \mapsto \neg \varphi_1 \vee \neg \varphi_2$$

$$\neg(\varphi_1 \vee \varphi_2) \mapsto \neg \varphi_1 \wedge \neg \varphi_2$$

- *Flatten ‘and’s:* No child of an ‘and’ in the formula parse tree is an ‘and’.  
Similarly for ‘or’s, and ‘ $\exists$ ’s

## Safe-Range Normal Form /2

- The result of rewriting a query  $Q$  is called  $SRNF(Q)$
- A query  $Q$  is in *safe-range normal form* if  $Q = SRNF(Q)$
- Examples:

$$Q_1(th) = \exists tl \exists dir (Movie(tl, dir, 'Nicholson') \wedge Schedule(th, tl))$$

$$SRNF(Q_1) = \exists tl, dir (Movie(tl, dir, 'Nicholson') \wedge Schedule(th, tl))$$

$$Q_2(dir) = \forall th \forall tl' (Schedule(th, tl') \rightarrow \exists tl \exists act (Schedule(th, tl) \wedge Movie(tl, dir, act)))$$

$$SRNF(Q_2) = \neg \exists th, tl' (Schedule(th, tl') \wedge \neg \exists tl, act (Schedule(th, tl) \wedge Movie(tl, dir, act)))$$

## Range Restriction

Three elements:

- Syntactic condition on formulas in SRNF.
- Intuition: all possible values of a variable lie in the active domain.
- If a variable does not fulfill this, then the query is rejected



## Algorithm Range Restriction (rr)

Input: formula  $\varphi$  in SRNF

Output: subset of the free variables or  $\perp$  (indicating that a quantified variable is not range restricted)

**case**  $\varphi$  **of**

$R(t_1, \dots, t_n)$ :  $rr(\varphi) :=$  the set of variables from  $t_1, \dots, t_n$ .

$x = a, a = x$ :  $rr(\varphi) := \{x\}$

$\varphi_1 \wedge \varphi_2$ :  $rr(\varphi) := rr(\varphi_1) \cup rr(\varphi_2)$

$\varphi_1 \wedge x = y$ : **if**  $\{x, y\} \cap rr(\varphi_1) = \emptyset$  **then**  $rr(\varphi) := rr(\varphi_1)$   
**else**  $rr(\varphi) := rr(\varphi_1) \cup \{x, y\}$

$\varphi_1 \vee \varphi_2$ :  $rr(\varphi) := rr(\varphi_1) \cap rr(\varphi_2)$

$\neg\varphi_1$ :  $rr(\varphi) := \emptyset$

$\exists x_1, \dots, x_n \varphi_1$ : **if**  $\{x_1, \dots, x_n\} \subseteq rr(\varphi_1)$  **then**  $rr(\varphi) := rr(\varphi_1) \setminus \{x_1, \dots, x_n\}$   
**else return**  $\perp$

**end case**

Here,  $S \cup \perp = \perp \cup S = \perp$  and similarly for  $\cap, \setminus$

**Range Restriction (cntd)**

Examples (contd):

$$SRNF(Q_1) = \exists \text{tl, dir} (\text{Movie}(\text{tl, dir, 'Nicholson'}) \wedge \text{Schedule}(\text{th, tl}))$$

$$rr(SRNF(Q_1)) = \{\text{th}\}$$

$$SRNF(Q_2) = \neg \exists \text{th, tl'} (\text{Schedule}(\text{th, tl'}) \wedge \neg \exists \text{tl, act} (\text{Schedule}(\text{th, tl}) \wedge \text{Movie}(\text{tl, dir, act})))$$

$$rr(SRNF(Q_2)) = \{\}$$

## Safe-Range Calculus

**Definition.** A query  $Q(\vec{x})$  in Relational Calculus is *safe-range* iff

$$rr(SRNF(Q)) = free(Q).$$

The set of all safe-range queries is denoted by SR-RelCalc.

**Intuition:** A query is safe-range iff *all* its variables are bound by a database atom or by an equality atom.

**Examples:**  $Q_1$  is a safe-range query, while  $Q_2$  is not.

**Theorem.**  $SR\text{-}RelCalc \equiv DI\text{-}RelCalc$

(The proof of this theorem is technically involved.)

## “For All” and Negation in SQL

- Two main mechanisms: set theoretic operators and subqueries
- Subqueries are often more natural
- SQL syntax for  $R \cap S$ :

R INTERSECT S

- SQL syntax for  $R \setminus S$ :

R EXCEPT S

- Find all actors who are not directors resp. also directors:

```
SELECT Actor AS Person
FROM Movie
EXCEPT
SELECT Director AS Person
FROM Movie
```

```
SELECT Actor AS Person
FROM Movie
INTERSECT
SELECT Director AS Person
FROM Movie
```

**“For All” and Negation in SQL /2**

Subqueries with NOT EXISTS, NOT IN

- Example: Who are the directors whose movies are playing in all theaters?
- SQL's way of saying this: Find directors such that there does not exist a theater where their movies do not play.

```
SELECT M1.Director
FROM Movie M1
WHERE NOT EXISTS (SELECT S.Theater
                  FROM Schedule S
                  WHERE NOT EXISTS (SELECT M2.Director
                                   FROM Movie M2
                                   WHERE M2.Title=S.Title AND
                                         M1.Director=M2.Director))
```

**“For All” and Negation in SQL /2**

Same query using EXCEPT.

```
SELECT M.Director
FROM   Movie M
WHERE  NOT EXISTS (SELECT S.Theater
                   FROM   Schedule S
                   EXCEPT
                   SELECT S1.Theater
                   FROM   Schedule S1, Movie M1
                   WHERE  S1.Title=M1.Title AND
                          M1.Director=M.Director)
```

- Other conditions: IN, NOT IN, EXISTS

**More examples of nested queries: using EXISTS and IN**

Find directors whose movies are playing at Le Champo:

- ```
SELECT M.Director
FROM Movie M
WHERE EXISTS (SELECT *
               FROM Schedule S
               WHERE S.Title=M.Title AND
                    S.Theater='Le Champo' )
```
- ```
SELECT M.Director
FROM Movie M
WHERE M.Title IN (SELECT S.Title
                  FROM Schedule S
                  WHERE S.Theater='Le Champo' )
```

**More examples of nested queries: using NOT IN**

Find actors who did not play in a movie by Kubrick.

- ```
SELECT M.Actor
FROM Movie M
WHERE M.Actor NOT IN
      (SELECT M1.Actor
       FROM Movie M1
       WHERE M1.Director='Kubrick')
```

The subquery finds actors playing in some movie by Kubrick; the top two lines take the complement of that.



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