

Introduction to Database Systems

Fundamental Concepts

Werner Nutt

1

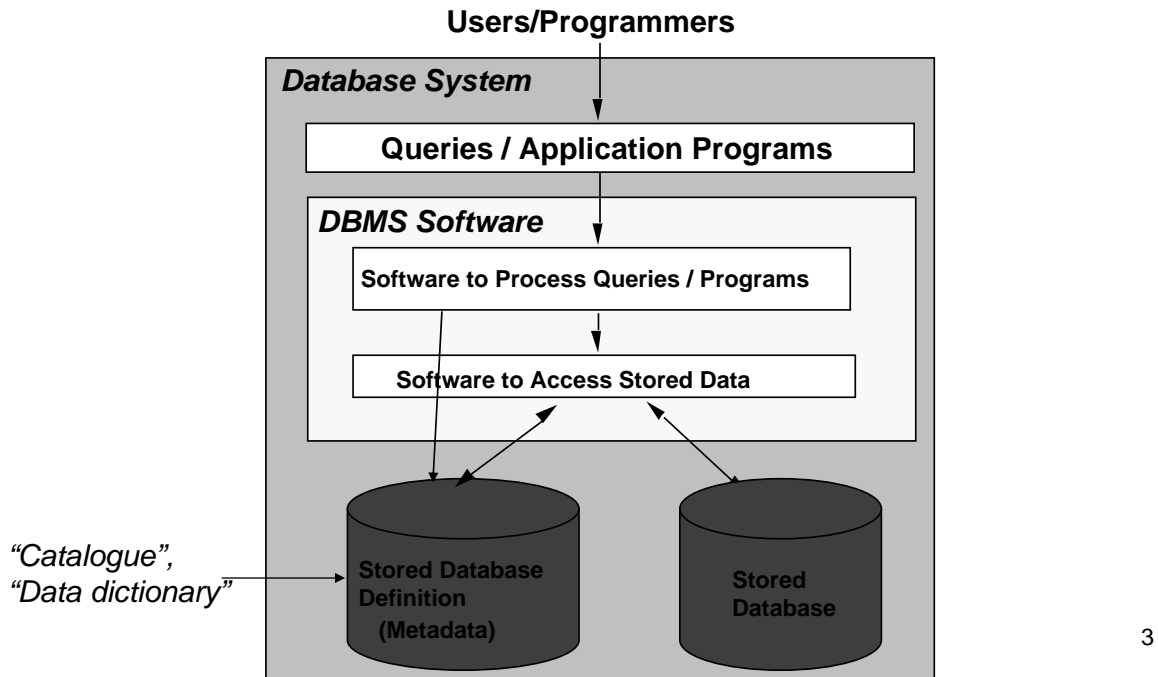
Characteristics of the DB Approach

- *Insulation* of application programs
and data from each other
- Use of a *catalogue* to store the schema
- Support of *multiple user views*

➔ *How can one realise these principles?*

2

A DBMS Presents Programmers and Users with a Simplified Environment



3

Data Model, Schema and Instance

Data Model

- A set of concepts that can be used to describe the *structure* of a database: the data types, relationships, constraints, semantics and operational behaviour
- Hides details of data storage

Schema

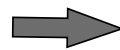
- A formal definition that fixes all the *relevant features* of those parts of the real world that are of interest to the users of the database
- The schema of a db is held in the *data dictionary*

Schema
(in relational data model)



`Student (studno , name , address)`
`Course (courseno , lecturer)`

Instance



`Student (123 , Egger , Bozen)`
`Course (CS321 , Nutt)`

4

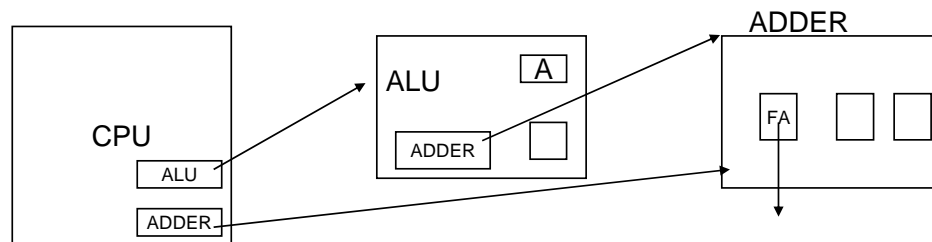
Other Data Models

Relational model is good for:

- Large amounts of data and simple operations
- Limited navigation, touching only small numbers of relations/tables

Difficult applications for relational model:

- VLSI design (CAD in general)



- CASE
- Graphical data
- Bill of materials, transitive closure

5

Object Data Models

Where number of “relations” is large, relationships are complex

- Object Data Model
- “Knowledge Data Model” (= Objects + Deductive Rules)

Object Data Model (Principles)

1. Complex Objects –
Nested Structure (pointers or references)
2. Encapsulation, set of methods/access functions
3. Object Identity
4. Inheritance – Defining new classes like old classes

Object model: usually, objects are found via explicit navigation.
Also query language in some systems.

6

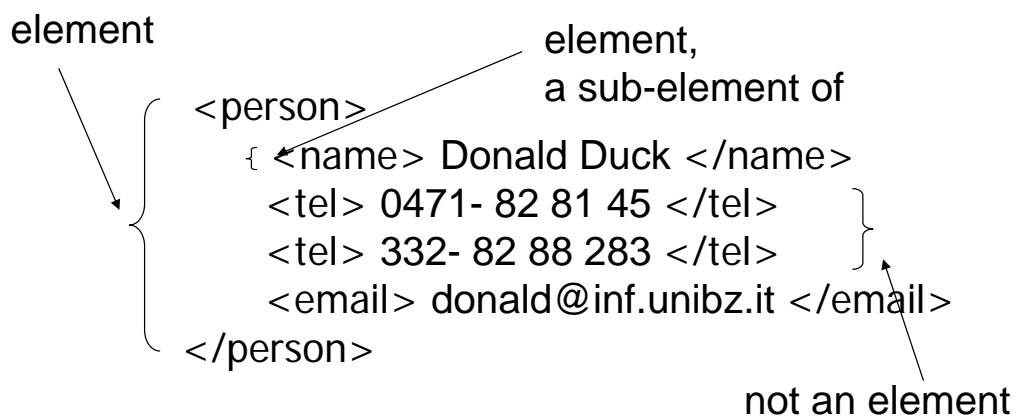
XML Documents

```
<addresses>
  <person>
    <name>Donald Duck</name>
    <tel> 0471- 82 81 45 </tel>
    <tel> 332- 82 88 283 </tel>
    <email> donald@inf.unibz.it </email>
  </person>
  <person>
    <name>Mickey Mouse</name>
    <tel> 0473 – 42 61 14 </tel>
  </person>
</addresses>
```

7

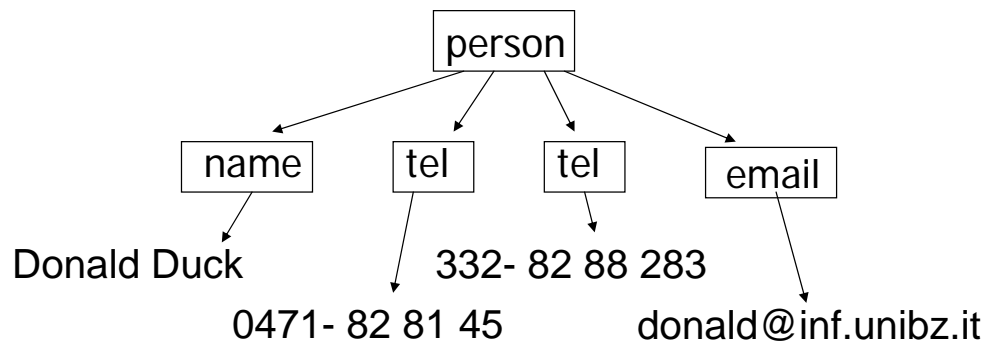
XML Terminology

The segment of an XML document between an opening and a corresponding closing tag is called an element



8

XML Documents are Trees



- XML documents are abstractly modeled as trees, as reflected by their nesting

9

```
<?xml version="1.0" encoding="ISO-8859-1"?>
<catalog>
  <cd country="UK">
    <title>Dark Side of the Moon</title>
    <artist>Pink Floyd</artist>
    <price>10.90</price>
  </cd>
  <cd country="UK">
    <title>Space Oddity</title>
    <artist>David Bowie</artist>
    <price>9.90</price>
  </cd>
  <cd country="USA">
    <title>Aretha: Lady Soul</title>
    <artist>Aretha Franklin</artist>
    <price>9.90</price>
  </cd>
</catalog>
```

An XML document

10

Document Type Definition (DTD)

DTDs specify the format of documents

```
<!DOCTYPE catalog [  
  <!ELEMENT catalog (cd*)>  
  <!ELEMENT cd (title, artist, price)>  
  <!ELEMENT title (#PCDATA)>  
  <!ELEMENT artist (#PCDATA)>  
  <!ELEMENT price (#PCDATA)>  
  <!ATTLIST person  
    country CDATA ID #IMPLIED>  

```

an arbitrary number
of CDs

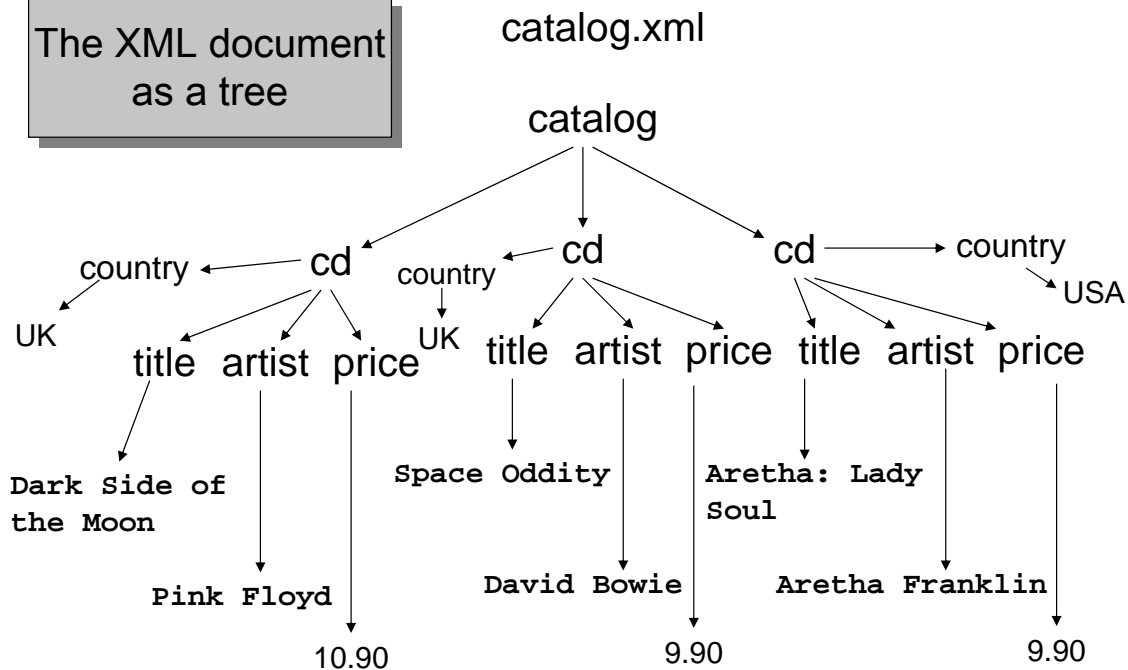
a title, followed by an
artist, followed by a price

title, artist, and price
contain parsable
character data

A person element can have
an (optional) country attribute

11

The XML document
as a tree



12

XPath: an XML Query Language

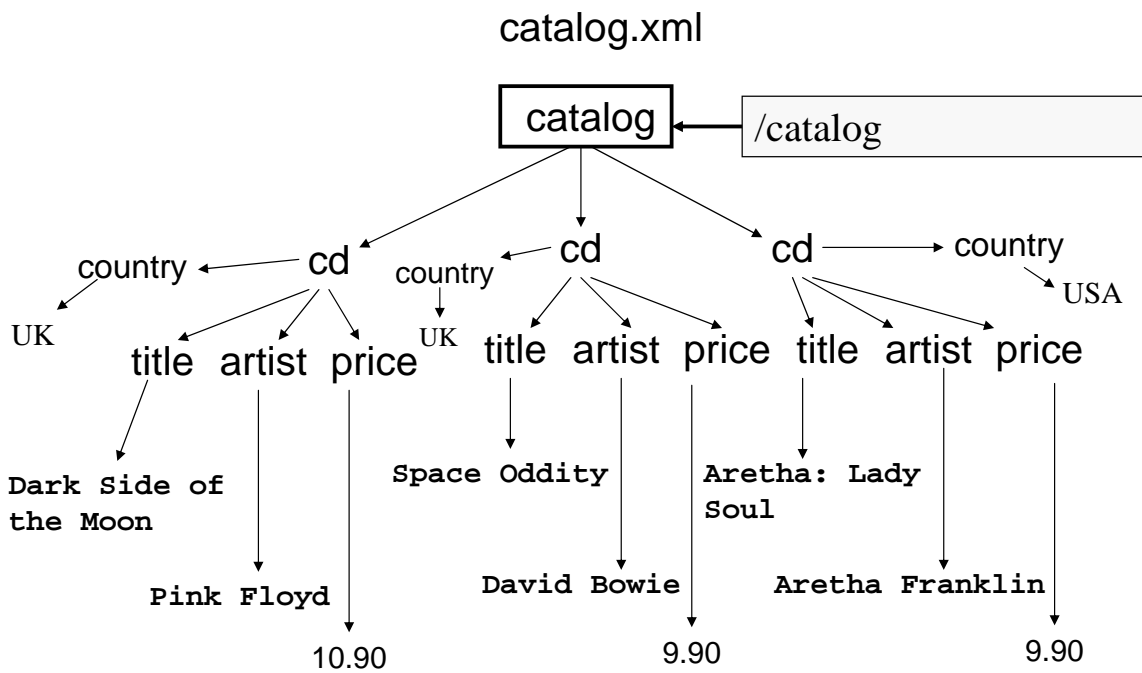
- XPath expressions are evaluated over documents
- XPath operates on the *abstract tree document* structure
- Documents are trees with several *types of nodes*, such as
 - element nodes
 - attribute nodes
 - text nodes

13

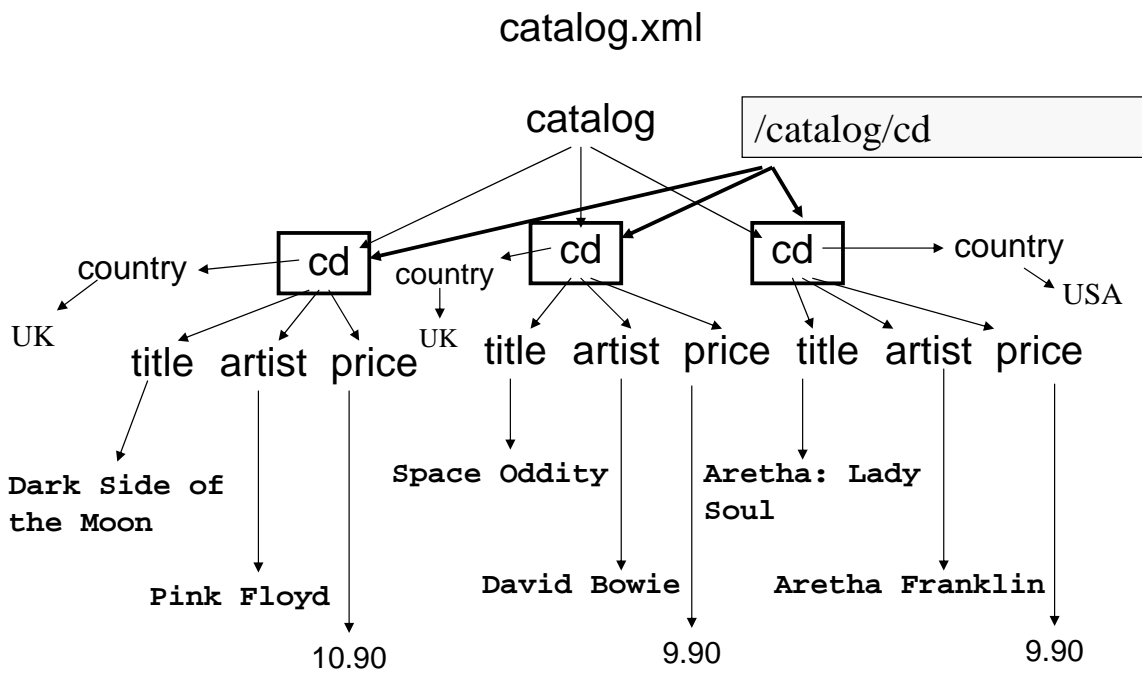
XPath: Path Expressions are Main Element of Syntax

- / at the beginning of an XPath expression represents the root of the document
- / between element names represents a parent-child relationship
- // represents an ancestor-descendent relationship
- @ marks an attribute
- [condition] specifies a condition

14

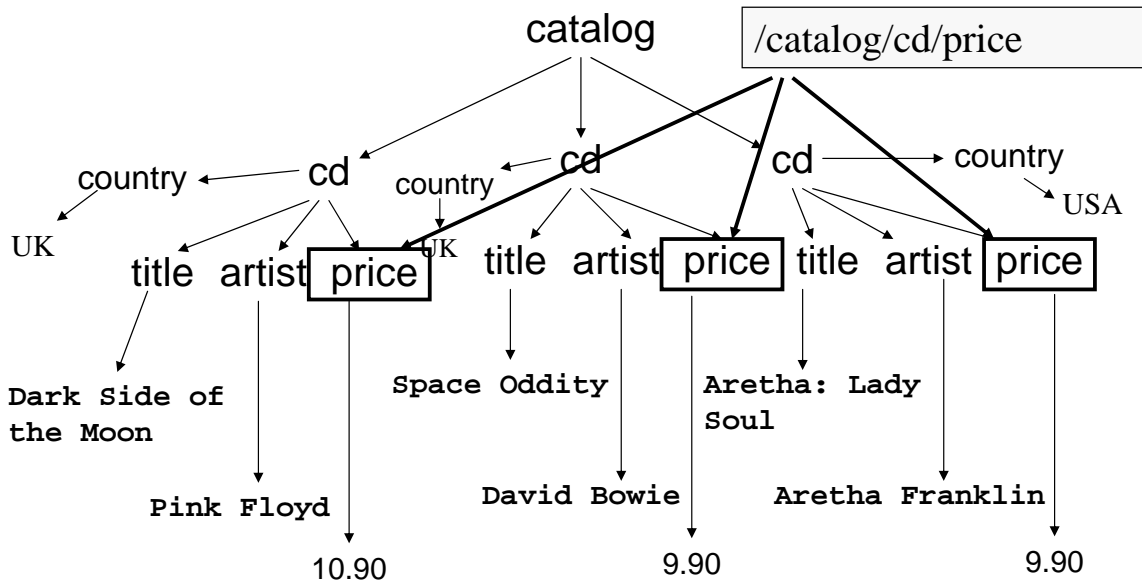


Getting the root element of the document



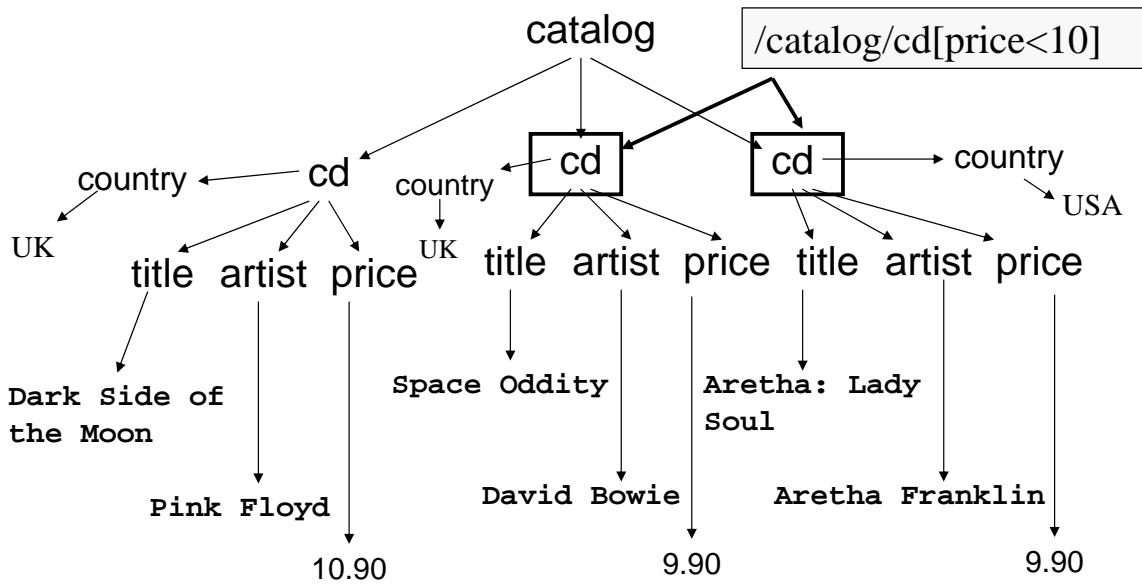
Finding child nodes

catalog.xml

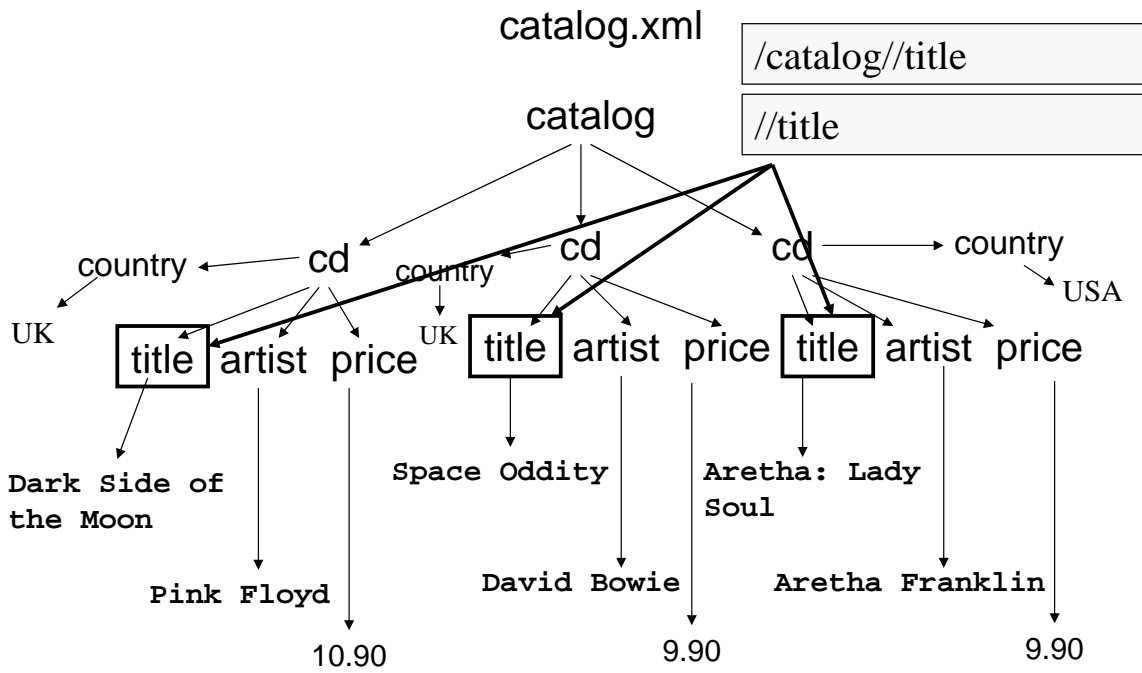


Finding descendant nodes

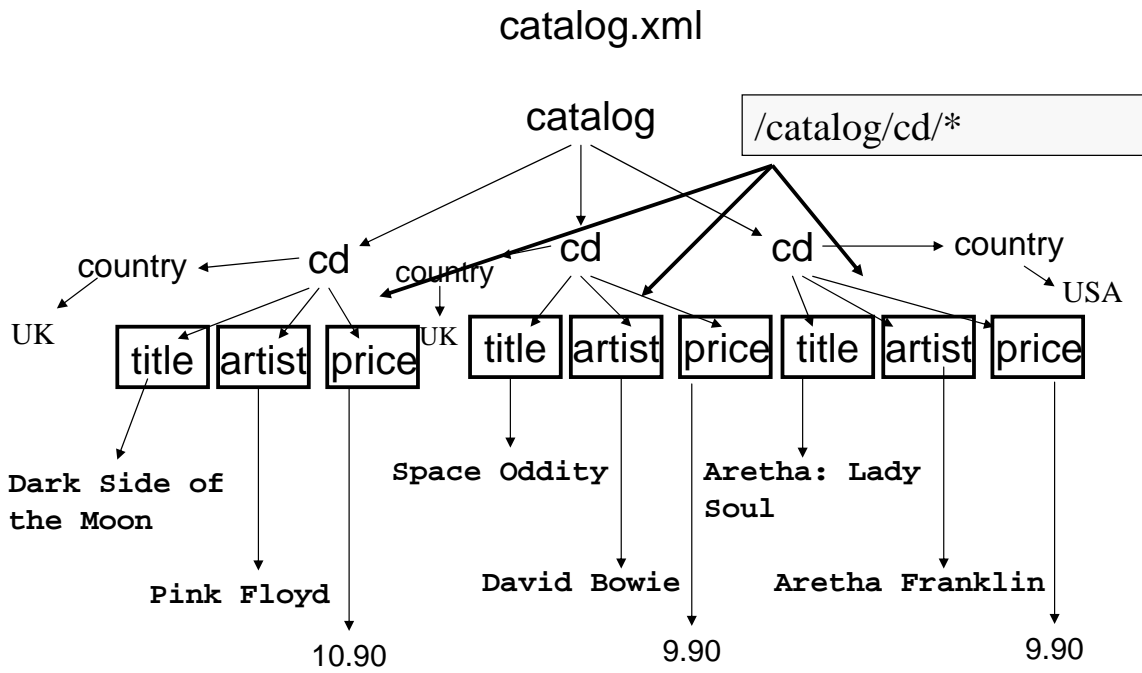
catalog.xml



Condition on elements



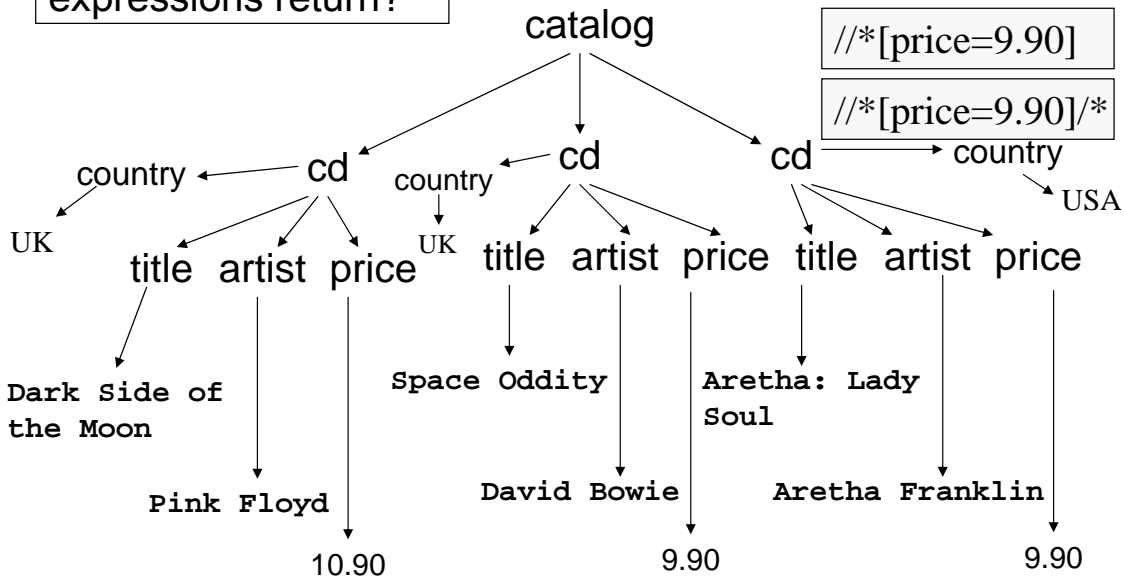
// represents any directed path in the document



* represents any element name in the document

What will the following expressions return?

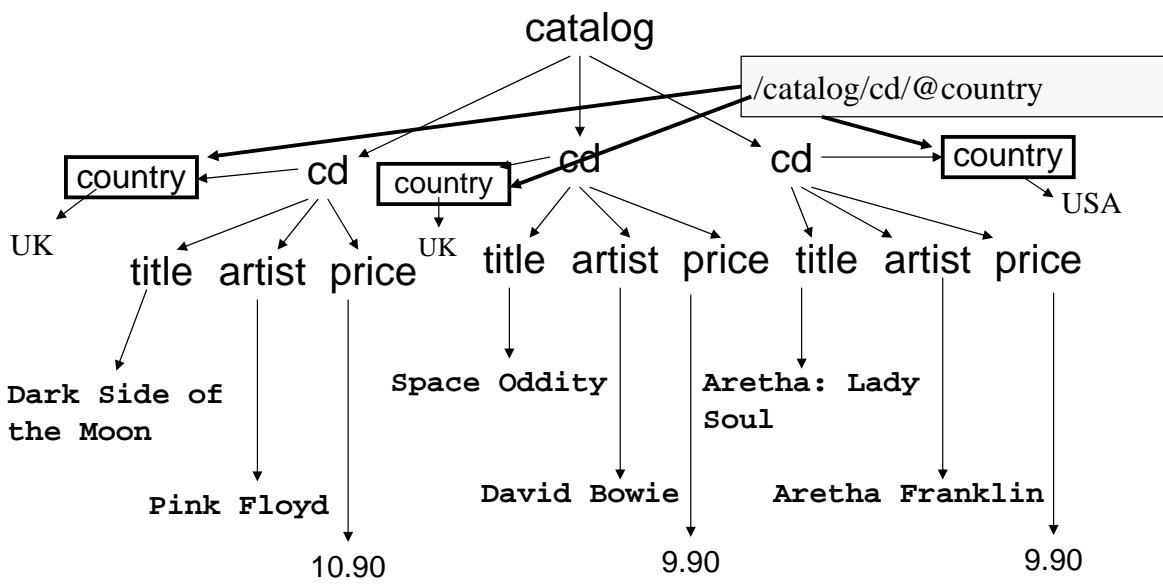
catalog.xml



- /*/*
- //*
- //*[price=9.90]
- //*[price=9.90]/*

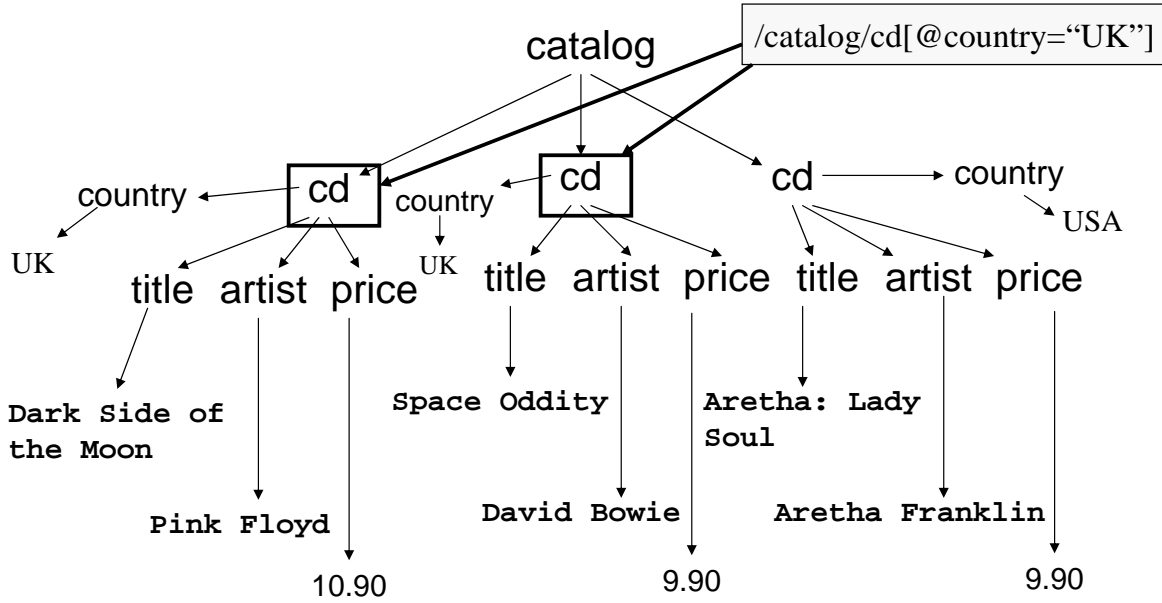
* represents any element name in the document

catalog.xml



@ marks attributes

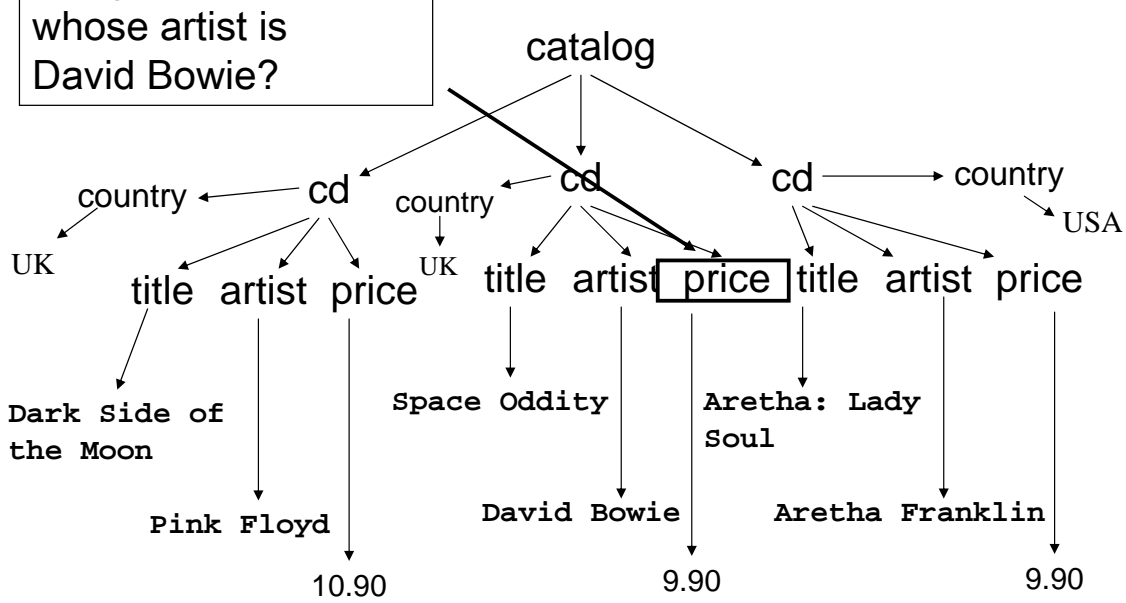
catalog.xml



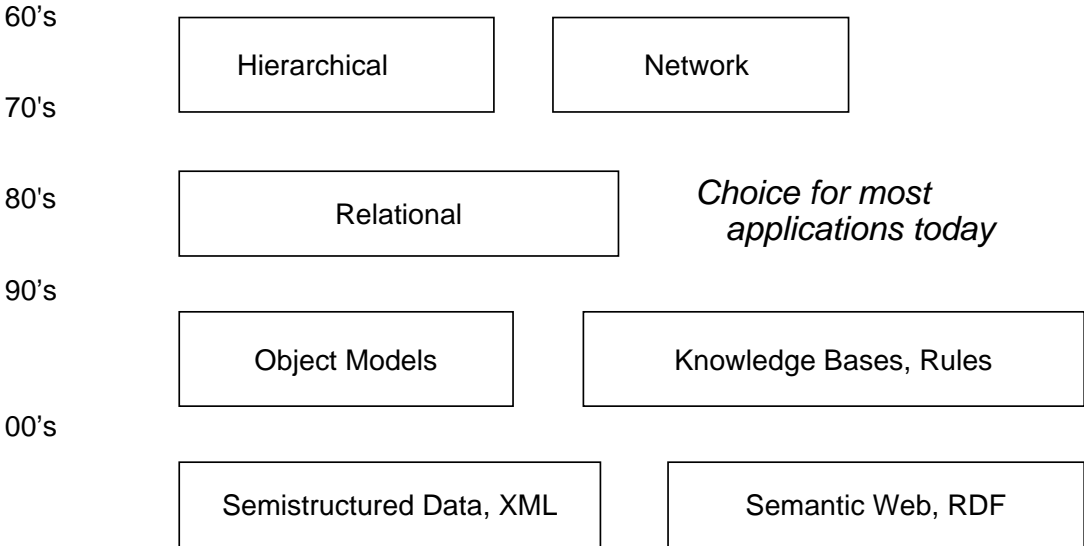
@ marks attributes

How would you write:
The price of the cds
whose artist is
David Bowie?

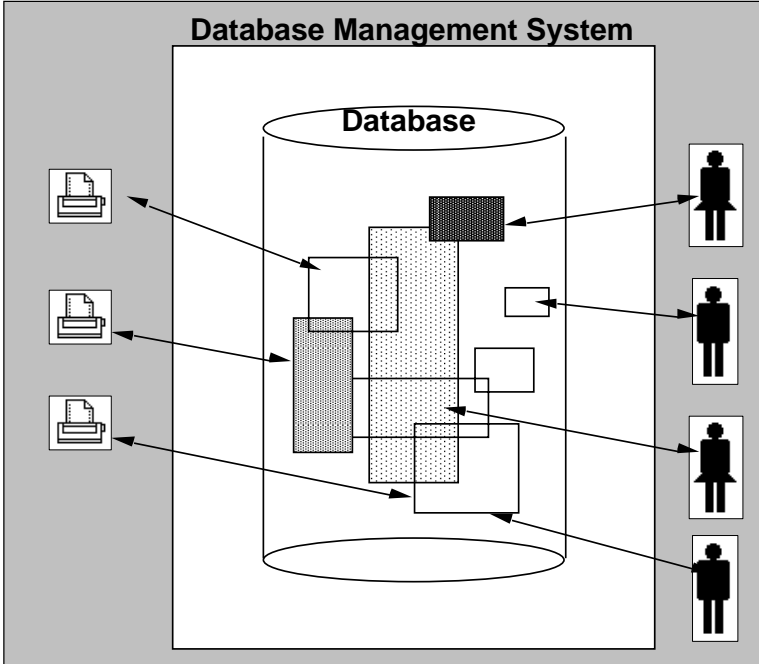
catalog.xml



Data Models



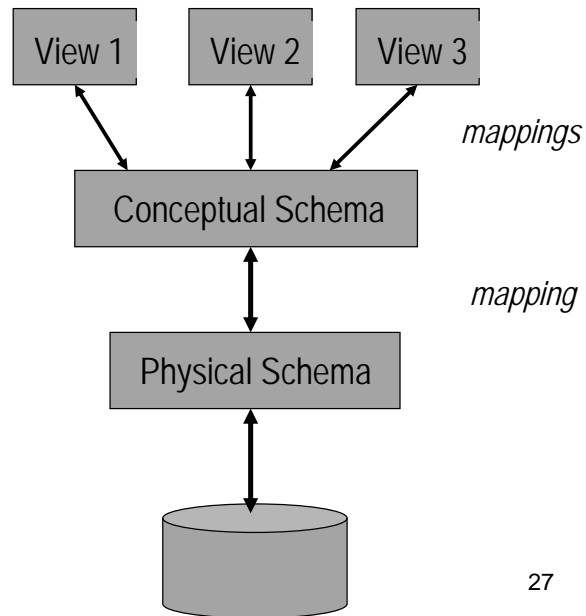
Sharing—Multiple *views* of data



Three Levels of Abstraction

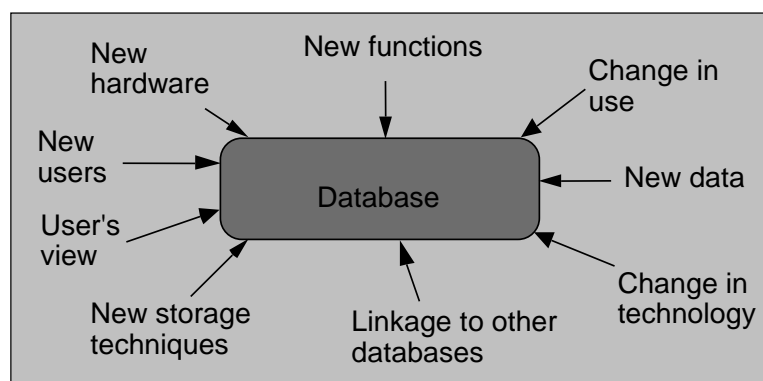
ANSI/SPARC architecture for DBMSs (1978):

- Many *external views*
- One *conceptual* (= logical) *schema*
- One *physical* (= internal) *schema*
 - Views describe how users see the data
 - Conceptual schema defines logical structure
 - Physical schema describes the files and indexes used



27

Data Independence



- **Logical** data independence
 - change the logical schema without having to change the external schemas
- **Physical** data independence
 - change the internal schema without having to change the logical schema

28

Change the mapping, not the schema!

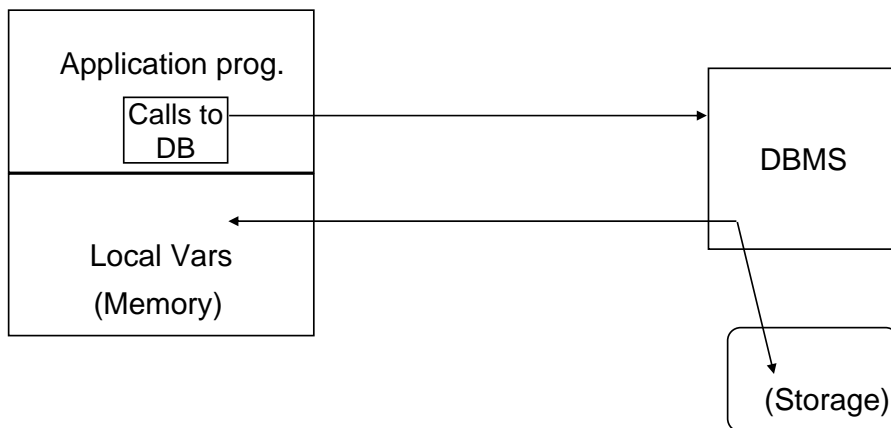
Database Languages

- Data Definition Language (DDL)
 - Commands for setting up the schema of a database
 - The process of designing a schema can be complex, may use a design methodology and/or tool
- Data Manipulation Language (DML)
 - Commands to manipulate data in database:
RETRIEVE, INSERT, DELETE, MODIFY
 - Also called “query language”

29

Host Languages

C, C++, Fortran, Lisp, Java, Perl, Python, ...



Host language is completely general (Turing complete) but gives no support for data manipulation

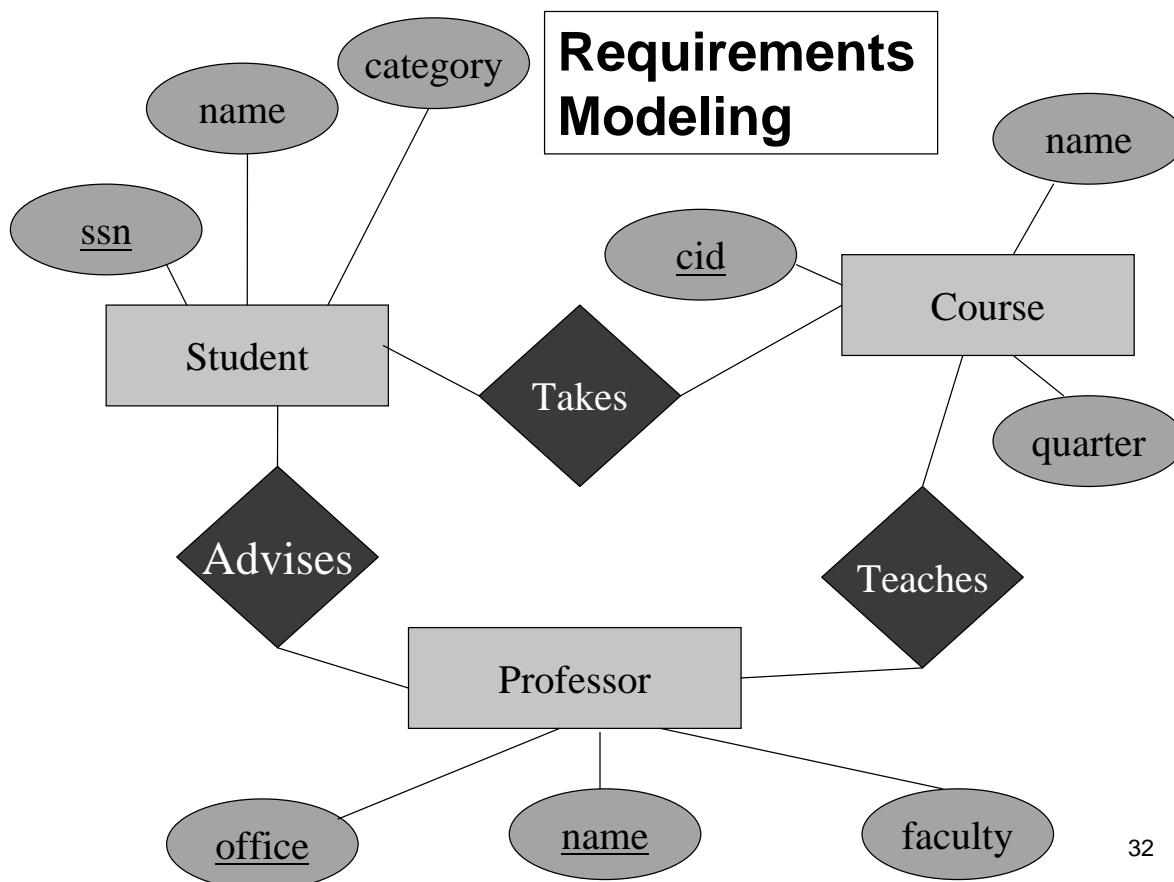
Query language—less general, “non procedural” and optimizable

30

Building an Application with a DBMS

- Requirements gathering (natural language, pictures)
- Requirements modeling (conceptual data model, ER)
 - Decide what *entities* should be part of the application and how they should be *related*
- Schema design and implementation
 - Decide on a set of *tables*, *attributes*
 - Create the tables in the database system
 - Populate database (insert records/tuples)
- Write application programs using the DBMS
 - ... a lot easier now that the data management is taken care of

31



32

Schema Design and Implementation

- Tables:

Student:

SSN	Name	Category
123-45-6789	Charles	undergrad
234-56-7890	Dan	grad

Takes:

SSN	CID
123-45-6789	CSE444
123-45-6789	CSE444
234-56-7890	CSE142
	...

Course:

CID	Name	Quarter
CSE444	Databases	fall
CSE541	Operating systems	winter

- The logical schema separates the logical view from the physical view of the data.

Querying a Database

- *“Find all courses that Mary takes”*
- **S**(tructured) **Q**(uery) **L**(anguage)

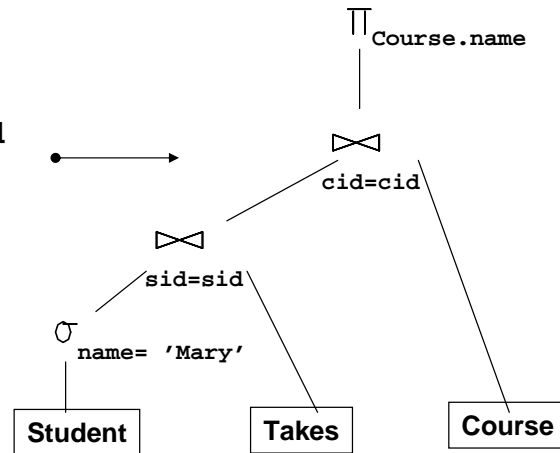
```
select c.name
from Student s, Takes t,
      Course c
where s.name = 'Mary' and
      s.ssn = t.ssn and
      t.cid = c.cid
```

- The query processor figures out how to answer the query efficiently

Query Optimization

Goal: *Declarative SQL query* \longrightarrow *Query execution plan*

```
select c.name
from Student s, Takes t,
      Course c
where s.name = 'Mary' and
      s.ssn = t.ssn and
      t.cid = c.cid
```



Plan: Tree of relational algebra operators,
choice of algorithm for each operator

Ideally: Find best plan Practically: Avoid worst plans!

35

Traditional and Novel Data Management Issues

- Traditional Data Management:
 - Relational data for enterprise applications
 - Storage
 - Query processing/optimization
 - Transaction processing
- Novel Data Management:
 - Integration of data from multiple databases, warehousing
 - Data management for decision support, data mining
 - Managing documents, audio, and visual data
 - Exchange of data on the web: XML
 - Data Streams
 - Querying data on the Web: RDF

36