

A.A. 2006-2007, CDLS in Informatica

# Introduction to Formal Methods

## 06: SAT Based Bounded Model Checking

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## SAT-based Bounded Model Checking

- ▷ Key problems with BDD's:
  - they can explode in space
  - an expert user can make the difference (e.g. reordering, algorithms)
- ▷ A possible alternative:
  - Propositional Satisfiability Checking (SAT)
  - SAT technology is very advanced
- ▷ Advantages:
  - reduced memory requirements
  - limited sensitivity: one good setting, does not require expert users
  - much higher capacity (more variables) than BDD based techniques

## SAT-based Bounded Model Checking [cont.]

### Key ideas:

- ▷ look for counter-example paths of increasing length  $k$ 
  - oriented to finding bugs
- ▷ for each  $k$ , builds a boolean formula that is satisfiable iff there is a counter-example of length  $k$ 
  - can be expressed using  $k \cdot |s|$  variables
  - formula construction is not subject to state explosion
- ▷ satisfiability of the boolean formulas is checked using a *SAT procedure*
  - can manage complex formulae on several 100K variables
  - returns satisfying assignment (i.e., a counter-example)

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## Basic notation & definitions

- ▷ **Boolean formula**
  - $\top, \perp$  are formulas
  - A **propositional atom**  $A_1, A_2, A_3, \dots$  is a formula;
  - if  $\varphi_1$  and  $\varphi_2$  are formulas, then  $\neg\varphi_1, \varphi_1 \wedge \varphi_2, \varphi_1 \vee \varphi_2, \varphi_1 \rightarrow \varphi_2, \varphi_1 \leftrightarrow \varphi_2$  are formulas.
- ▷ **Literal**: a propositional atom  $A_i$  (positive literal) or its negation  $\neg A_i$  (negative literal)
- ▷ N.B.: if  $l := \neg A_i$ , then  $\neg l := A_i$
- ▷ **Atoms**( $\varphi$ ): the set  $\{A_1, \dots, A_N\}$  of atoms occurring in  $\varphi$ .
- ▷ a boolean formula can be represented as a **tree** or as a **DAG**

## Basic notation & definitions (cont)

- ▷ **Total truth assignment**  $\mu$  for  $\varphi$ :

$$\mu : \text{Atoms}(\varphi) \mapsto \{\top, \perp\}.$$

- ▷ **Partial Truth assignment**  $\mu$  for  $\varphi$ :

$$\mu : \mathcal{A} \mapsto \{\top, \perp\}, \mathcal{A} \subset \text{Atoms}(\varphi).$$

- ▷ **Set and formula representation of an assignment:**

- $\mu$  can be represented as a set of literals:

$$\text{EX: } \{\mu(A_1) := \top, \mu(A_2) := \perp\} \implies \{A_1, \neg A_2\}$$

- $\mu$  can be represented as a formula:

$$\text{EX: } \{\mu(A_1) := \top, \mu(A_2) := \perp\} \implies A_1 \wedge \neg A_2$$

## Basic notation & definitions (cont)

▷  $\mu \models \varphi$  ( $\mu$  satisfies  $\varphi$ ):

- $\mu \models A_i \iff \mu(A_i) = \top$
- $\mu \models \neg\varphi \iff \text{not } \mu \models \varphi$
- $\mu \models \varphi_1 \wedge \varphi_2 \iff \mu \models \varphi_1 \text{ and } \mu \models \varphi_2$
- ...

▷  $\varphi$  is **satisfiable** iff  $\mu \models \varphi$  for some  $\mu$

▷  $\varphi_1 \models \varphi_2$  ( $\varphi_1$  entails  $\varphi_2$ ):

$\varphi_1 \models \varphi_2$  iff for every  $\mu$   $\mu \models \varphi_1 \implies \mu \models \varphi_2$

▷  $\models \varphi$  ( $\varphi$  is valid):

$\models \varphi$  iff for every  $\mu$   $\mu \models \varphi$

▷  $\varphi$  is valid  $\iff \neg\varphi$  is not satisfiable



## Equivalence and equi-satisfiability

- ▷  $\varphi_1$  and  $\varphi_2$  are **equivalent** iff, for every  $\mu$ ,  
 $\mu \models \varphi_1$  iff  $\mu \models \varphi_2$
- ▷  $\varphi_1$  and  $\varphi_2$  are **equi-satisfiable** iff  
exists  $\mu_1$  s.t.  $\mu_1 \models \varphi_1$  iff exists  $\mu_2$  s.t.  $\mu_2 \models \varphi_2$
- ▷  $\varphi_1, \varphi_2$  **equivalent**  
 $\Downarrow \Uparrow$   
 $\varphi_1, \varphi_2$  **equi-satisfiable**
- ▷ EX:  $\varphi_1 \vee \varphi_2$  and  $(\varphi_1 \vee \neg A_3) \wedge (A_3 \vee \varphi_2)$ ,  $A_3$  not in  $\varphi_1 \vee \varphi_2$ , are **equi-satisfiable** but **not equivalent**.

## Complexity

- ▷ The problem of deciding the **satisfiability** of a propositional formula is **NP-complete**.
- ▷ The most important logical problems (**validity**, **inference**, **entailment**, **equivalence**, ...) can be straightforwardly reduced to **satisfiability**, and are thus **(co)NP-complete**.



No existing worst-case-polynomial algorithm.

## POLARITY of subformulas

**Polarity**: the number of nested negations modulo 2.

▷ **Positive/negative occurrences**

- $\varphi$  occurs **positively** in  $\varphi$ ;
- if  $\neg\varphi_1$  occurs **positively [negatively]** in  $\varphi$ ,  
then  $\varphi_1$  occurs **negatively [positively]** in  $\varphi$
- if  $\varphi_1 \wedge \varphi_2$  or  $\varphi_1 \vee \varphi_2$  occur **positively [negatively]** in  $\varphi$ ,  
then  $\varphi_1$  and  $\varphi_2$  occur **positively [negatively]** in  $\varphi$ ;
- if  $\varphi_1 \rightarrow \varphi_2$  occurs **positively [negatively]** in  $\varphi$ ,  
then  $\varphi_1$  occurs **negatively [positively]** in  $\varphi$  and  $\varphi_2$  occurs  
**positively [negatively]** in  $\varphi$ ;
- if  $\varphi_1 \leftrightarrow \varphi_2$  occurs in  $\varphi$ ,  
then  $\varphi_1$  and  $\varphi_2$  occur **positively and negatively** in  $\varphi$ ;

## Negative normal form (NNF)

▷  $\varphi$  is in **Negative normal form** iff it is given only by applications of  $\wedge, \vee$  to literals.

▷ every  $\varphi$  can be reduced into NNF:

1. substituting all  $\rightarrow$ 's and  $\leftrightarrow$ 's:

$$\varphi_1 \rightarrow \varphi_2 \implies \neg\varphi_1 \vee \varphi_2$$

$$\varphi_1 \leftrightarrow \varphi_2 \implies (\neg\varphi_1 \vee \varphi_2) \wedge (\varphi_1 \vee \neg\varphi_2)$$

2. pushing down negations recursively:

$$\neg(\varphi_1 \wedge \varphi_2) \implies \neg\varphi_1 \vee \neg\varphi_2$$

$$\neg(\varphi_1 \vee \varphi_2) \implies \neg\varphi_1 \wedge \neg\varphi_2$$

$$\neg\neg\varphi_1 \implies \varphi_1$$

▷ The reduction is **linear** if a DAG representation is used.

▷ Preserves the **equivalence** of formulas.

## Conjunctive Normal Form (CNF)

- ▷  $\varphi$  is in **Conjunctive normal form** iff it is a conjunction of disjunctions of literals:

$$\bigwedge_{i=1}^L \bigvee_{j_i=1}^{K_i} l_{j_i}$$

- ▷ the disjunctions of literals  $\bigvee_{j_i=1}^{K_i} l_{j_i}$  are called **clauses**
- ▷ Easier to handle: list of lists of literals.
  - $\implies$  no reasoning on the recursive structure of the formula

## Classic CNF Conversion $CNF(\varphi)$

- ▷ Every  $\varphi$  can be reduced into CNF by, e.g.,
  1. converting it into NNF;
  2. applying recursively the DeMorgan's Rule:
$$(\varphi_1 \wedge \varphi_2) \vee \varphi_3 \implies (\varphi_1 \vee \varphi_3) \wedge (\varphi_2 \vee \varphi_3)$$
- ▷ Worst-case **exponential**.
- ▷  $Atoms(CNF(\varphi)) = Atoms(\varphi)$ .
- ▷  $CNF(\varphi)$  is **equivalent** to  $\varphi$ .
- ▷ **Normal**: if  $\varphi_1$  equivalent to  $\varphi_2$ , then  $CNF(\varphi_1)$  identical to  $CNF(\varphi_2)$  modulo reordering.
- ▷ Rarely used in practice.

## Labeling CNF conversion $CNF_{label}(\varphi)$

- ▷ Every  $\varphi$  can be reduced into CNF by, e.g., applying recursively bottom-up the rules:

$$\varphi \implies \varphi[(l_i \vee l_j) | B] \wedge CNF(B \leftrightarrow (l_i \vee l_j))$$

$$\varphi \implies \varphi[(l_i \wedge l_j) | B] \wedge CNF(B \leftrightarrow (l_i \wedge l_j))$$

$$\varphi \implies \varphi[(l_i \leftrightarrow l_j) | B] \wedge CNF(B \leftrightarrow (l_i \leftrightarrow l_j))$$

$l_i, l_j$  being literals and  $B$  being a “new” variable.

- ▷ Worst-case **linear**.
- ▷  $Atoms(CNF_{label}(\varphi)) \supseteq Atoms(\varphi)$ .
- ▷  $CNF_{label}(\varphi)$  is **equi-satisfiable** w.r.t.  $\varphi$ .
- ▷ Non-normal.
- ▷ More used in practice.

## DPLL

- ▷ **Davis-Putnam-Longeman-Loveland procedure** (DPLL)
- ▷ Tries to build recursively an assignment  $\mu$  satisfying  $\varphi$ ;
- ▷ At each recursive step assigns a truth value to (all instances of) **one atom**.
- ▷ Performs **deterministic choices** first.



# DPLL Algorithm

```

function DPLL( $\varphi, \mu$ )
  if  $\varphi = \top$                                      /* base */
    then return True;
  if  $\varphi = \perp$                                    /* backtrack */
    then return False;
  if {a unit clause (l) occurs in  $\varphi$ }           /* unit */
    then return DPLL(assign(l,  $\varphi$ ),  $\mu \wedge l$ );
  if {a literal l occurs pure in  $\varphi$ }            /* pure */
    then return DPLL(assign(l,  $\varphi$ ),  $\mu \wedge l$ );
  l := choose-literal( $\varphi$ );                       /* split */
  return DPLL(assign(l,  $\varphi$ ),  $\mu \wedge l$ ) or
         DPLL(assign( $\neg l$ ,  $\varphi$ ),  $\mu \wedge \neg l$ );

```

## Variants of DPLL

DPLL is a **family** of algorithms.

- ▷ different **splitting heuristics**
- ▷ **preprocessing**: (subsumption, 2-simplification)
- ▷ **backjumping**
- ▷ **learning**
- ▷ **random restart**
- ▷ **horn relaxation**
- ▷ ...

## DPLL – summary

- ▷ Handles **CNF formulas**
- ▷ Probably **the most efficient SAT algorithm**
- ▷ Requires **polynomial space!!!**  
⇒ very limited memory requirements
- ▷ **Choose\_literal()** critical!
- ▷ Advanced optimization techniques
- ▷ Many very efficient implementations [e.g., Chaff]

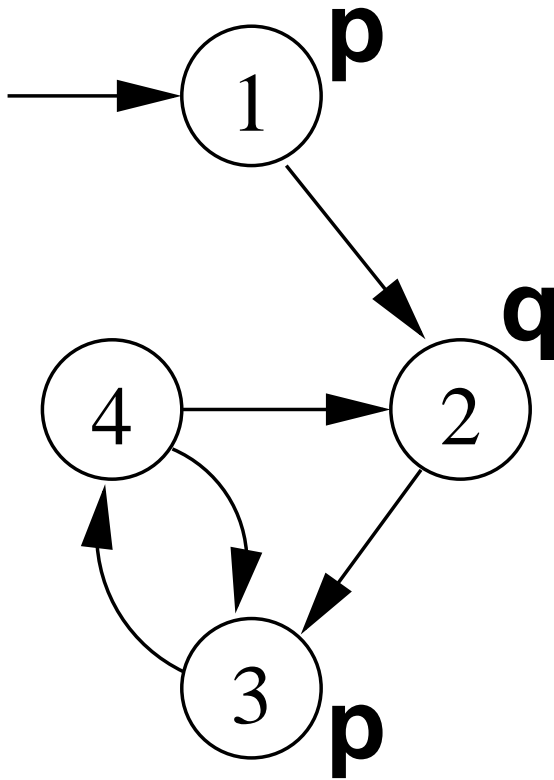
## Many applications of SAT

- ▷ Many successful applications of SAT:
  - Boolean circuits
  - (Bounded) Planning
  - (Bounded) Model Checking
  - Cryptography
  - Scheduling
  - ...
- ▷ All NP-complete problem can be (polynomially) converted to SAT.
- ▷ **Key issue:** find an efficient encoding.

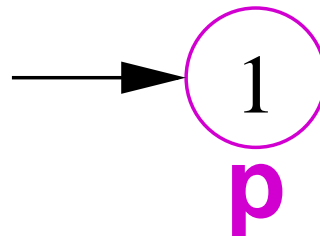
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## Bounded Model Checking: Example

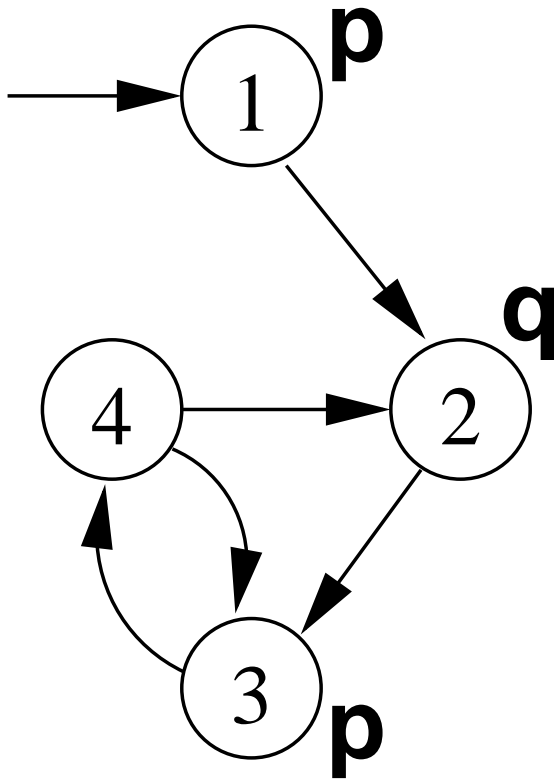


- LTL Formula:  **$G(p \rightarrow Fq)$**
- Negated Formula (violation):  **$F(p \ \& \ G \ ! \ q)$**
- $k = 0$ :

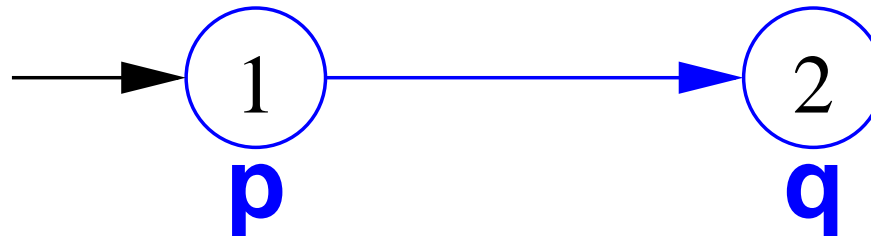


- No counter-example found.

## Bounded Model Checking: Example

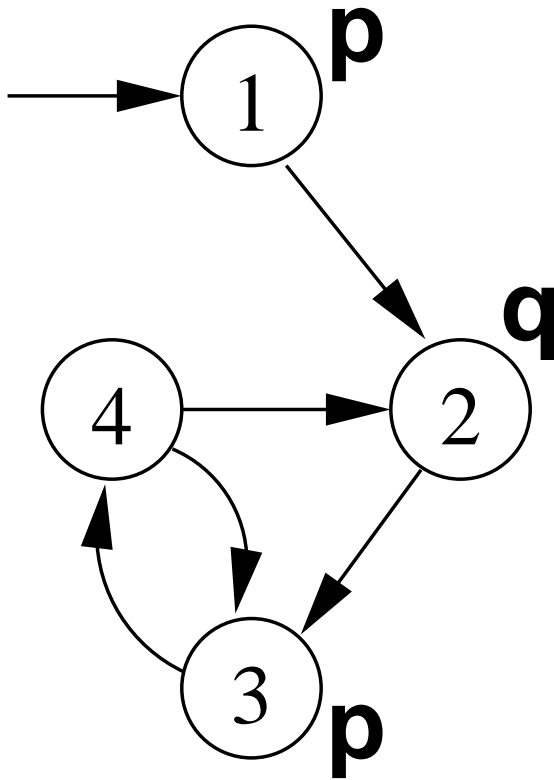


- LTL Formula:  **$G(p \rightarrow Fq)$**
- Negated Formula (violation):  **$F(p \ \& \ G \ ! \ q)$**
- $k = 1$ :

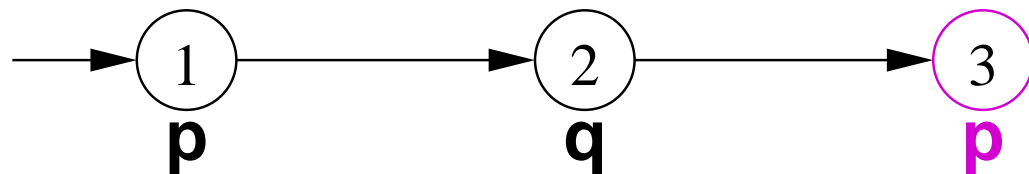


- No counter-example found.

# Bounded Model Checking: Example



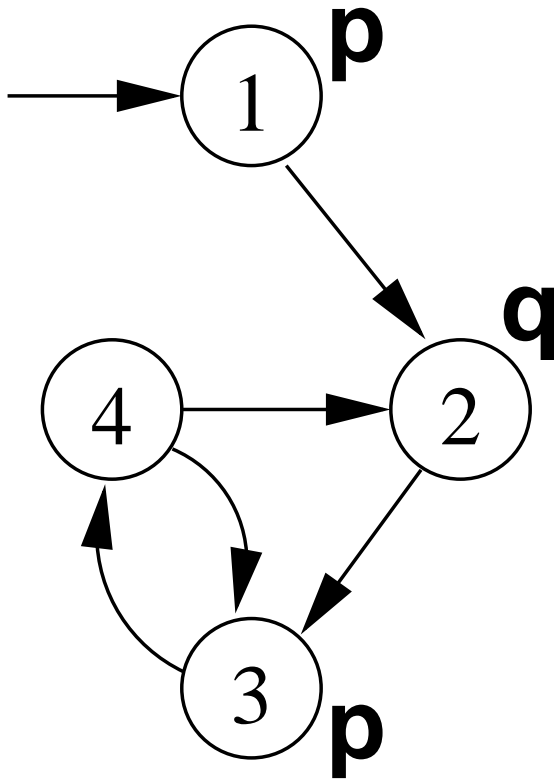
- LTL Formula:  **$G(p \rightarrow Fq)$**
- Negated Formula (violation):  **$F(p \ \& \ G \ ! \ q)$**
- $k = 2$ :



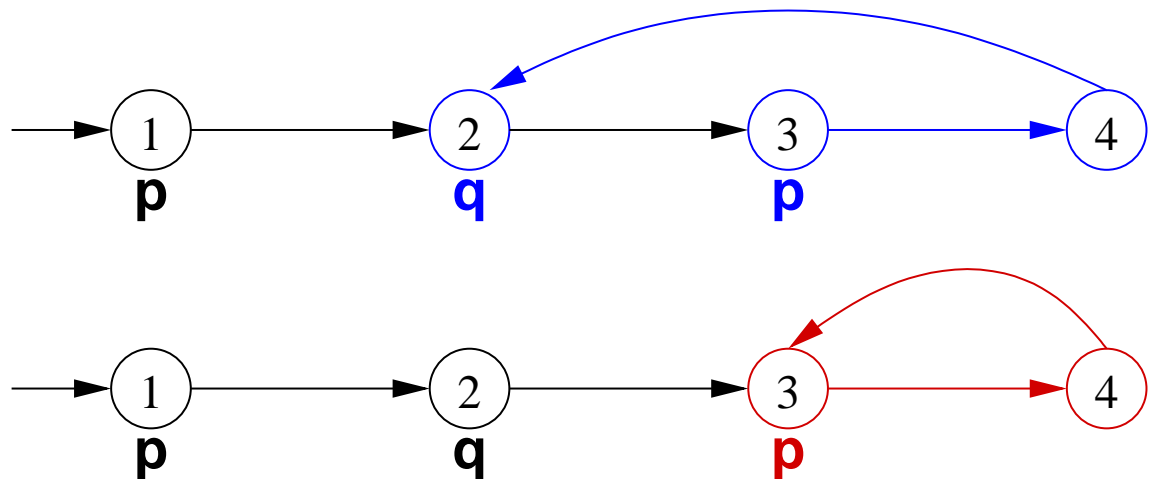
- No counter-example found.



# Bounded Model Checking: Example



- LTL Formula:  **$G(p \rightarrow Fq)$**
- Negated Formula (violation):  **$F(p \ \& \ G \ ! \ q)$**
- $k = 3$ :



- **The 2nd trace is a counter-example!**

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## The problem [Biere et al, 1999]

### Ingredients:

- ▷ A **system** written as a Kripke structure  $M := \langle S, I, T, \mathcal{L} \rangle$
- ▷ A **property**  $f$  written as a **LTL formula**:
- ▷ an integer  $k$  (**bound**)

### Problem:

- ▷ Is there an execution path of  $M$  of length  $k$  satisfying the temporal property  $f$ ?:

$$M \models_k \mathbf{E}f$$

- ▷ check repeated for increasing values of  $k = 1, 2, 3, \dots$

## The encoding

Equivalent to the satisfiability problem of a boolean formula  $[[M, f]]_k$  defined as follows:

$$[[M, f]]_k := [[M]]_k \wedge [[f]]_k \quad (1)$$

$$[[M]]_k := I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}), \quad (2)$$

$$[[f]]_k := \left( \neg \bigvee_{l=0}^k R(s^k, s^l) \wedge [[f]]_k^0 \right) \vee \bigvee_{l=0}^k (R(s^k, s^l) \wedge {}_l[[f]]_k^0), \quad (3)$$

- ▷ the vector  $s$  of propositional variables is replicated  $k+1$  times  $s^0, s^1, \dots, s^k$
- ▷  $[[M]]_k$  encodes the fact that the  $k$ -path is an execution of  $M$
- ▷  $[[f]]_k$  encodes the fact that the  $k$ -path satisfies  $f$

## The Encoding [cont.]

In general, the encoding for a formula  $f$  with  $k$  steps

$$[[f]]_k$$

is the disjunction of

- ▷ the constraints needed to express a model without loopback,

$$\left( \neg \left( \bigvee_{l=0}^k R(s^k, s^l) \right) \right) \wedge [[f]]_k^0$$

- ▷ the constraints needed to express a given loopback, for all possible points of loopback

$$\bigvee_{l=0}^k (R(s^k, s^l) \wedge {}_l[[f]]_k^0)$$

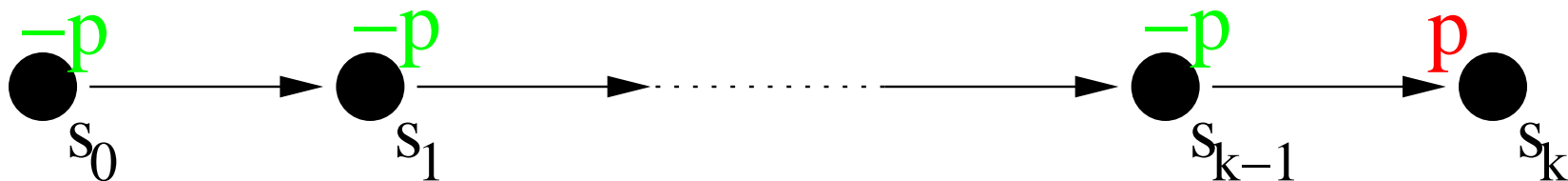
# The encoding of $[[f]]_k^i$ and $l[[f]]_k^i$

$f$	$[[f]]_k^i$	$l[[f]]_k^i$
$p$	$p_i$	$p_i$
$\neg p$	$\neg p_i$	$\neg p_i$
$h \wedge g$	$[[h]]_k^i \wedge [[g]]_k^i$	$l[[h]]_k^i \wedge l[[g]]_k^i$
$h \vee g$	$[[h]]_k^i \vee [[g]]_k^i$	$l[[h]]_k^i \vee l[[g]]_k^i$
$\mathbf{X}g$	$[[g]]_k^{i+1}$ <i>if</i> $i < k$ $\perp$ <i>otherwise.</i>	$l[[g]]_k^{i+1}$ <i>if</i> $i < k$ $l[[g]]_k^l$ <i>otherwise.</i>
$\mathbf{G}g$	$\perp$	$\bigwedge_{j=\min(i,l)}^k l[[g]]_k^j$
$\mathbf{F}g$	$\bigvee_{j=i}^k [[g]]_k^j$	$\bigvee_{j=\min(i,l)}^k l[[g]]_k^j$
$h\mathbf{U}g$	$\bigvee_{j=i}^k \left( [[g]]_k^j \wedge \bigwedge_{n=i}^{j-1} [[h]]_k^n \right)$	$\bigvee_{j=i}^k \left( l[[g]]_k^j \wedge \bigwedge_{n=i}^{j-1} l[[h]]_k^n \right) \vee$ $\bigvee_{j=l}^{i-1} \left( l[[g]]_k^j \wedge \bigwedge_{n=i}^k l[[h]]_k^n \wedge \bigwedge_{n=l}^{j-1} l[[h]]_k^n \right)$
$h\mathbf{R}g$	$\bigvee_{j=i}^k \left( [[h]]_k^j \wedge \bigwedge_{n=i}^j [[g]]_k^n \right)$	$\bigwedge_{j=\min(i,l)}^k l[[g]]_k^j \vee$ $\bigvee_{j=i}^k \left( l[[h]]_k^j \wedge \bigwedge_{n=i}^j l[[g]]_k^n \right) \vee$ $\bigvee_{j=l}^{i-1} \left( l[[h]]_k^j \wedge \bigwedge_{n=i}^k l[[g]]_k^n \wedge \bigwedge_{n=l}^j l[[g]]_k^n \right)$

## Example: $\mathbf{F}p$ (reachability)

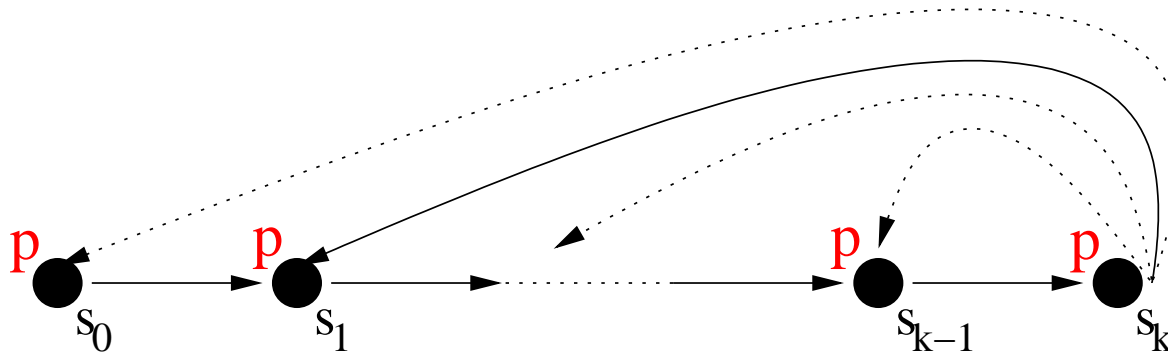
- ▷  $f := \mathbf{F}p$ : is there a reachable state in which  $p$  holds?
- ▷ a finite path can show that the property holds
- ▷  $[[M, f]]_k$  is:

$$I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}) \wedge \bigvee_{j=0}^k p^j$$



## Example: $Gp$

- ▷  $f := Gp$ : is there a path where  $p$  holds forever?
- ▷ We need to produce an infinite behaviour, with a finite number of transitions
- ▷ We can do it by imposing that the path loops back



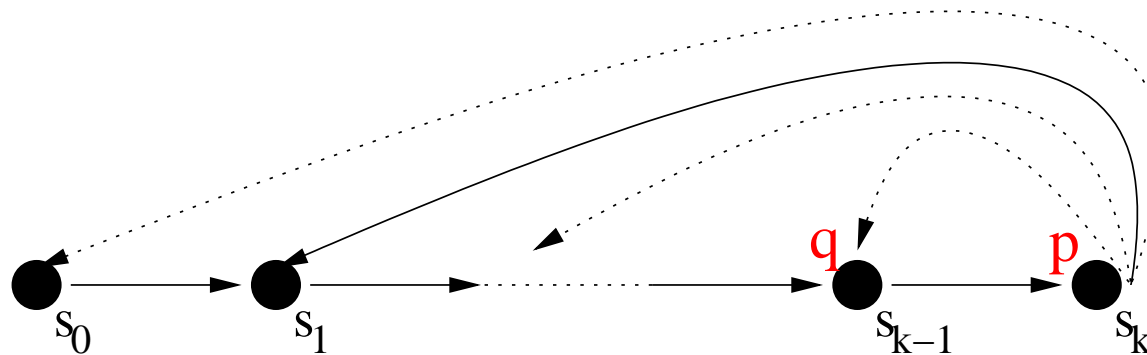
- ▷  $[[M, f]]_k$  is:

$$I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}) \wedge \bigvee_{l=0}^k R(s^k, s^l) \wedge \bigwedge_{j=0}^k p^j$$



## Example: $\mathbf{GF}q \wedge \mathbf{F}p$ (fair reachability)

- ▷  $f := \mathbf{GF}q \wedge \mathbf{F}p$ : provided that  $q$  holds infinitely often, is there a reachable state in which  $p$  holds?
- ▷ Again, we need to produce an infinite behaviour, with a finite number of transitions



- ▷  $[[M, f]]_k$  is:

$$I(s^0) \wedge \bigwedge_{i=0}^{k-1} R(s^i, s^{i+1}) \wedge \bigvee_{j=0}^k p_j \wedge \bigvee_{l=0}^k \left( R(s^k, s^l) \wedge \bigvee_{j=l}^k q \right)$$

## Example: a bugged 3-bit shift register

▷ System  $M$ :

- $I(x) := \top$  (arbitrary initial state)

- Correct  $R$ :

$$R(x, x') := (x'[0] \leftrightarrow x[1]) \wedge (x'[1] \leftrightarrow x[2]) \wedge (x'[2] \leftrightarrow 0)$$

- Bugged  $R$ :

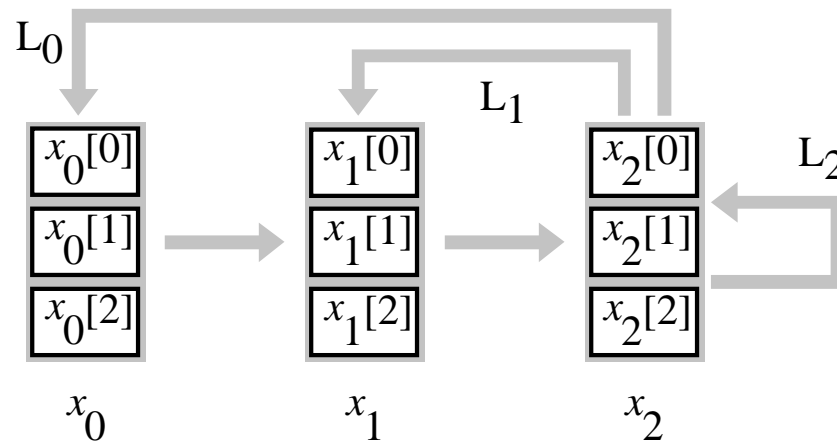
$$R(x, x') := (x'[0] \leftrightarrow x[1]) \wedge (x'[1] \leftrightarrow x[2]) \wedge (x'[2] \leftrightarrow 1)$$

▷ Property:  $\mathbf{AF}(!x[0] \wedge !x[1] \wedge !x[2])$

▷ BMC Problem:  $M \models_k \mathbf{EG}((x[0] \vee x[1] \vee x[2]))$

## Example: a bugged 3-bit shift register (cont.)

$k = 2$ :



$$\begin{aligned}
 [[M]]_2 : & \left( \begin{array}{l} (x_1[0] \leftrightarrow x_0[1]) \wedge (x_1[1] \leftrightarrow x_0[2]) \wedge (x_1[2] \leftrightarrow 1) \wedge \\ (x_2[0] \leftrightarrow x_1[1]) \wedge (x_2[1] \leftrightarrow x_1[2]) \wedge (x_2[2] \leftrightarrow 1) \end{array} \right) \wedge \\
 \bigvee_{i=0}^2 L_i : & \left( \begin{array}{l} ((x_0[0] \leftrightarrow x_2[1]) \wedge (x_0[1] \leftrightarrow x_2[2]) \wedge (x_0[2] \leftrightarrow 1)) \vee \\ ((x_1[0] \leftrightarrow x_2[1]) \wedge (x_1[1] \leftrightarrow x_2[2]) \wedge (x_1[2] \leftrightarrow 1)) \vee \\ ((x_2[0] \leftrightarrow x_2[1]) \wedge (x_2[1] \leftrightarrow x_2[2]) \wedge (x_2[2] \leftrightarrow 1)) \end{array} \right) \wedge \\
 \bigwedge_{i=0}^2 (x \neq 0) : & \left( \begin{array}{l} (x_0[0] \vee x_0[1] \vee x_0[2]) \wedge \\ (x_1[0] \vee x_1[1] \vee x_1[2]) \wedge \\ (x_2[0] \vee x_2[1] \vee x_2[2]) \end{array} \right)
 \end{aligned}$$

$\implies \text{SAT: } x_i[j] := 1 \ \forall i, j$

## Bounded Model Checking: summary

- ▷ **incomplete technique:**
  - if you find all formulas unsatisfiable, it tells you nothing
  - computing the maximum  $k$  (diameter) possible but extremely hard
- ▷ **very efficient** for some problems (typically debugging)
- ▷ lots of enhancements
- ▷ current symbolic model checkers embed a SAT based BMC tool

## Efficiency Issues in Bounded Model Checking

- ▷ Caching different problems:
  - can we exploit the similarities between problems at  $k$  and  $k + 1$ ?
- ▷ Simplification of encodings
  - Reduced Boolean Circuits (RBC)
  - Boolean Expression Diagrams (BED)
  - Simplification based on Binary-Clauses Reasoning
- ▷ Extend usage to CTL formulae
- ▷ When can we stop increasing the bound  $k$  if we don't find violations?

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## Basic Bound

Theorem. If  $k = |M|$ , then  $M \models \mathbf{E}f \iff M \models_k \mathbf{E}f$ .

▷  $|M|$  is always a bound of  $k$ . ( $2^{|S|}$  is a bound as well.)

- $|M|$  huge!
- not so easy to compute in a symbolic setting.

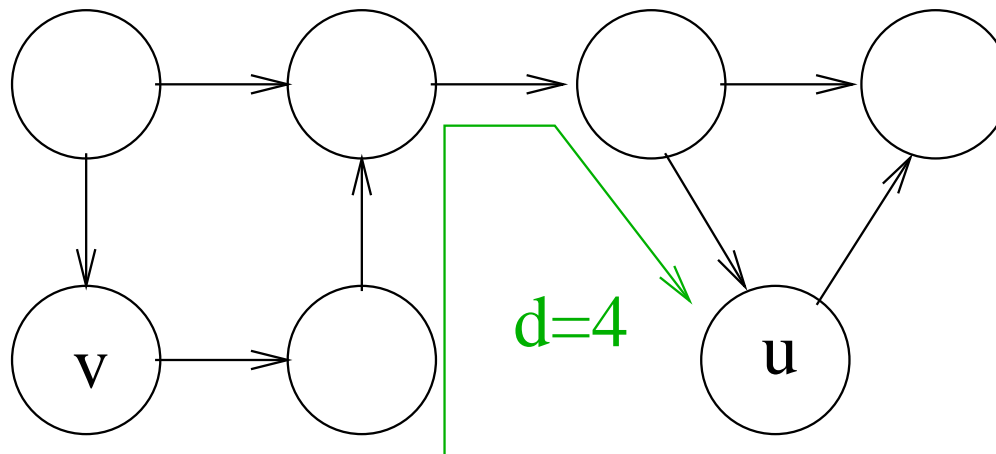
$\implies$  need to find better bounds!

# The diameter

**Diameter:** Given  $M$ , the **diameter** of  $M$  is the minimum integer  $d$  s.t. for every path  $s_0, \dots, s_{d+1}$  there exist a path  $t_0, \dots, t_l$  s.t.  $l \leq d$ ,  $t_0 = s_0$  and  $t_l = s_{d+1}$ .

▷ Intuition: if  $u$  is reachable from  $v$ , then there is a path from  $v$  to  $u$  of length  $d$  or less.

⇒ it is the maximum distance between two states in  $M$ .





## The diameter: computation

- ▷  $d$  is the minimum integer  $d$  which makes the following formula true:

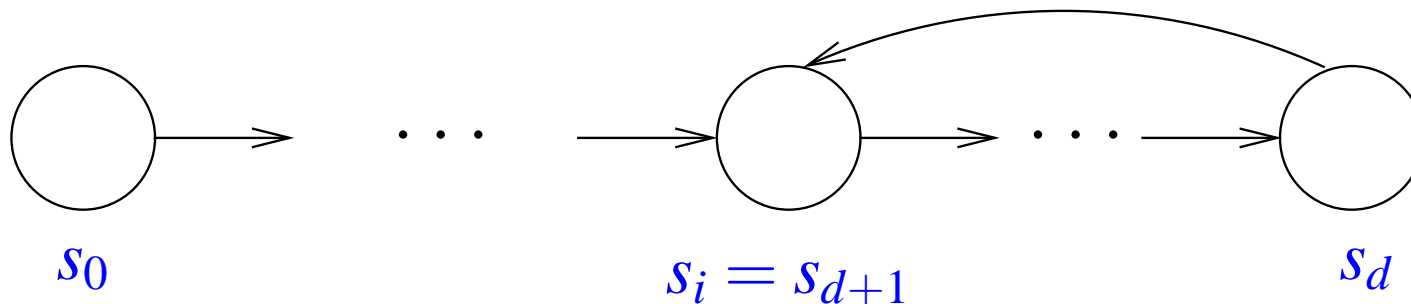
$$\forall s_0, \dots, s_{d+1}. \exists t_0, \dots, t_d. \bigwedge_{i=0}^d T(s_i, s_{i+1}) \rightarrow \left( t_0 = s_0 \wedge \bigwedge_{i=0}^{d-1} T(t_i, t_{i+1}) \wedge \bigvee_{i=0}^d t_i = s_{d+1} \right)$$

- ▷ Quantified boolean formula (QBF): much harder than NP-complete!

## The recurrence diameter

**Recurrence diameter:** Given  $M$ , the recurrence diameter of  $M$  is the minimum integer  $d$  s.t. for every path  $s_0, \dots, s_{d+1}$  there exist  $j \leq d$  s.t.  $s_{d+1} = s_j$

▷ Intuition: the maximum length of a non-loop path

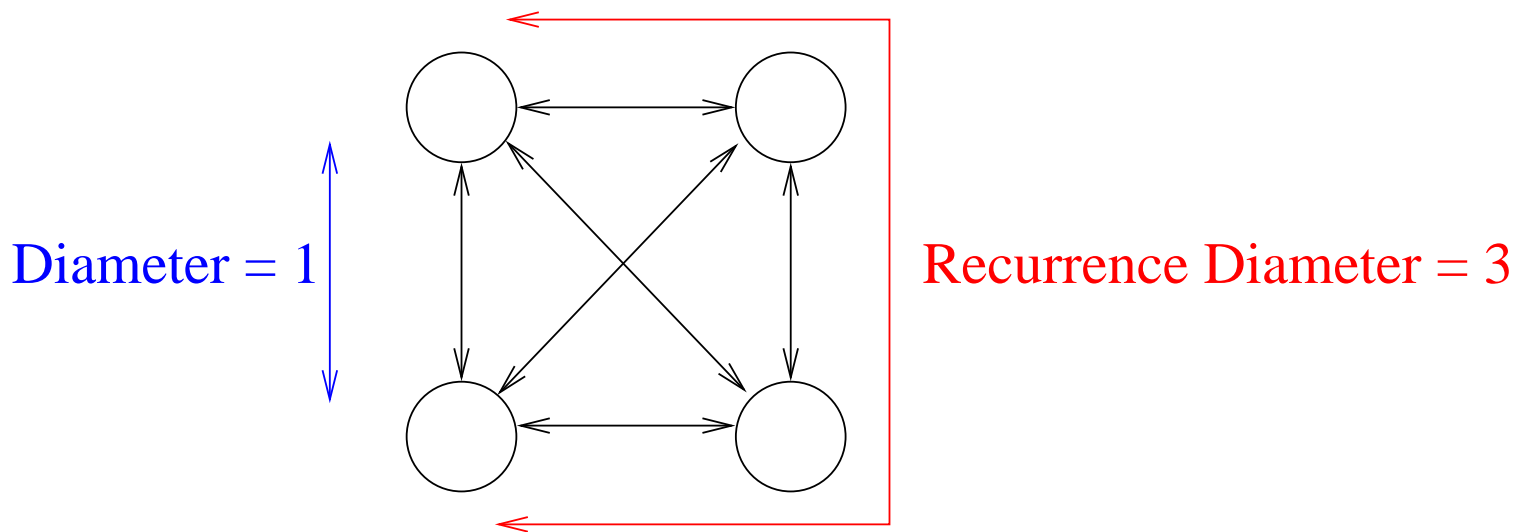


## The recurrence diameter: computation

- ▷  $d$  is the minimum integer  $d$  which makes the following formula true:

$$\forall s_0, \dots, s_{d+1}. \bigwedge_{i=0}^d T(s_i, s_{i+1}) \rightarrow \bigvee_{i=0}^d s_i = s_{d+1}$$

- ▷ Validity problem: coNP-complete (solvable by SAT).
- ▷ Possibly much longer than the diameter!



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## Inductive Reasoning on Invariants

1. If all the initial states are good,
  2. and if from any good state we only go to good states
- ⇒ then we can conclude that the system is correct for all reachable states.

## SAT-based Inductive Reasoning on Invariants

1. If all the initial states are good
  - $I(s^0) \rightarrow Good(s^0)$  is valid (its negation is unsatisfiable)
2. if from any good state we only go to good states
  - $(Good(s^k) \wedge R(s^k, s^{k+1})) \rightarrow Good(s^{k+1})$  is valid (its negation is unsatisfiable)

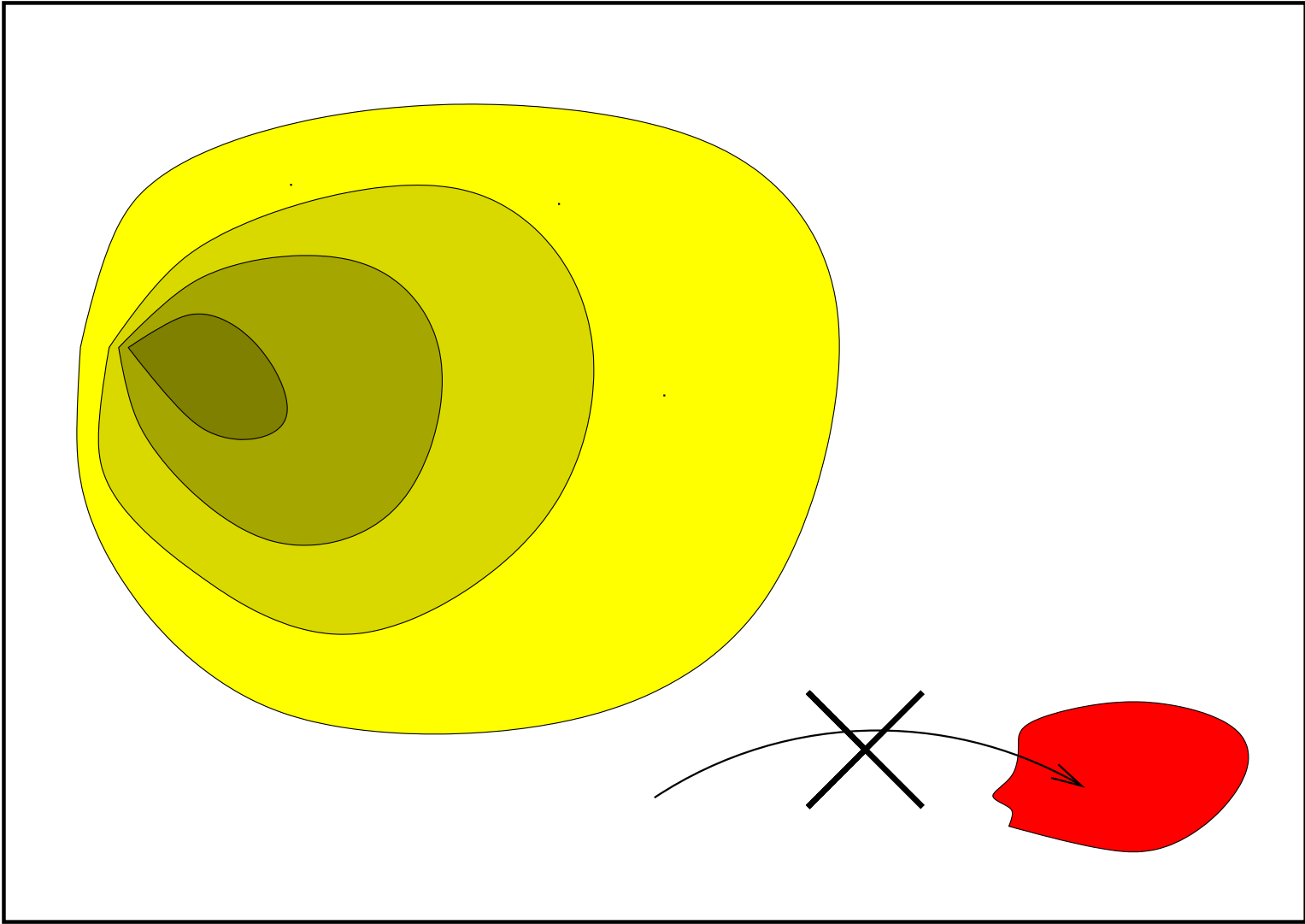
then we can conclude that the **system is correct for all reachable states.**

⇒ Check for the unsatisfiability of the boolean formulas:

$$\neg( (I(s^0) \rightarrow Good(s^0)) );$$

$$\neg( (Good(s^k) \wedge R(s^k, s^{k+1})) \rightarrow Good(s^{k+1}) ) )$$

# SAT-based Inductive Reasoning on Invariants [cont.]



## Strengthening of Invariants

- ▷ Problem: Induction may fail because of unreachable states:
- if  $(Good(s^k) \wedge R(s^k, s^{k+1})) \rightarrow Good(s^{k+1})$  is not valid, this does not mean that the property does not hold
  - both  $s^k$  and  $s^{k+1}$  might be unreachable



## Strengthening of Invariants [cont.]

- ▷ Solution: increase the depth of induction

$$(Good(s^k) \wedge R(s^k, s^{k+1}) \wedge Good(s^{k+1}) \wedge R(s^{k+1}, s^{k+2})) \rightarrow Good(s^{k+2})$$

force loop freedom with  $\neg(s^i = s^j)$

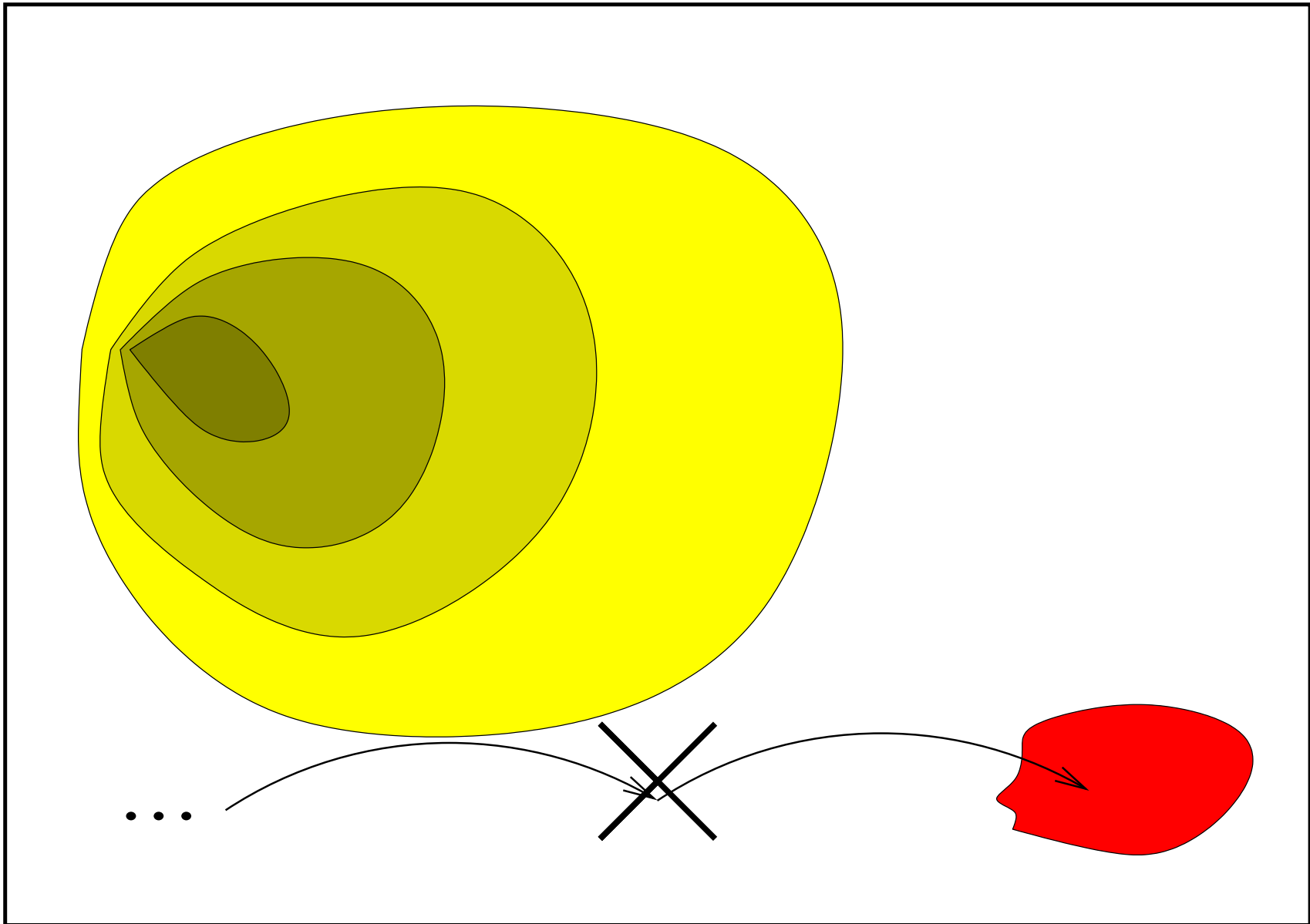
- ⇒ Check for the unsatisfiability of the boolean formulas:

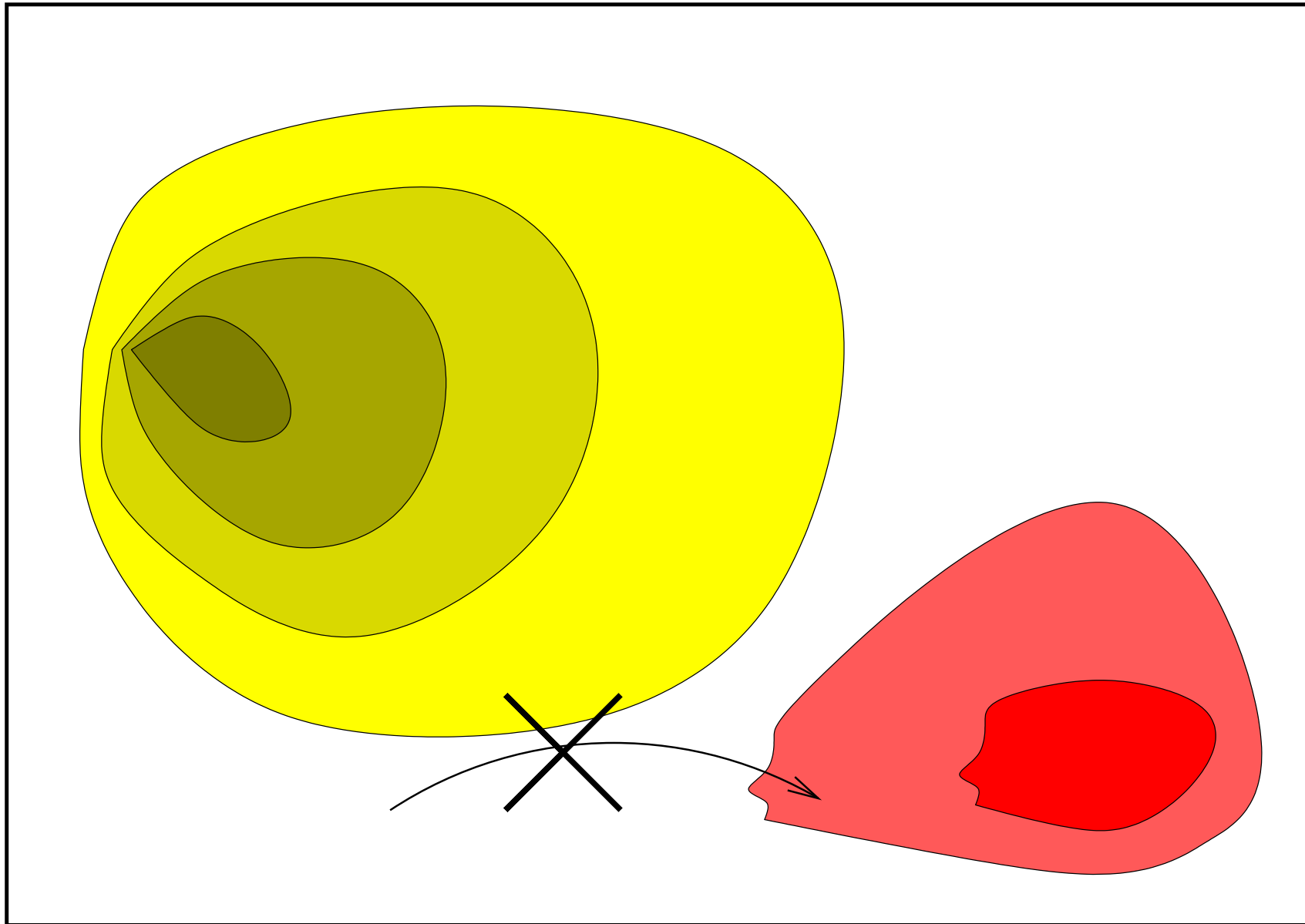
$$\neg( (I(s^0) \rightarrow Good(s^0)) );$$

$$\neg( (Good(s^k) \wedge R(s^k, s^{k+1})) \rightarrow Good(s^{k+1}) ) )$$

$$\neg( (Good(s^k) \wedge R(s^k, s^{k+1}) \wedge Good(s^{k+1}) \wedge R(s^{k+1}, s^{k+2})) \rightarrow Good(s^{k+2}) ) )$$

- ▷ repeat for increasing values of the gap 1, 2, 3, 4, ....
- ▷ dual to bounded model checking





- ▷ Guess (or, better, infer)  $\phi$  such that  $Good \wedge \phi$  is an invariant
- ▷ All the above checks are implementable with SAT technologies

## Mixed BMC & Inductive reasoning [Sheeran et al. 2000]

1. **function** CHECK\_PROPERTY ( $I, R, \varphi$ )
2.     **for**  $n := 0, 1, 2, 3, \dots$  **do**
3.         **if** (DPLL( $Base_n$ ) == SAT)
4.             **then return** PROPERTY\_VIOLATED;
5.         **else if** (DPLL( $Step_n \wedge Unique_n$ ) == UNSAT)
6.             **then return** PROPERTY\_VERIFIED;
7.     **end for**;

$$Base_n \quad := \quad I(\mathbf{s}_0) \wedge \bigwedge_{i=0}^{n-1} (R(\mathbf{s}_i, \mathbf{s}_{i+1}) \wedge \varphi(\mathbf{s}_i)) \wedge \neg\varphi(\mathbf{s}_n)$$

$$Step_n \quad := \quad \bigwedge_{i=0}^n (R(\mathbf{s}_i, \mathbf{s}_{i+1}) \wedge \varphi(\mathbf{s}_i)) \wedge \neg\varphi(\mathbf{s}_{n+1})$$

$$Unique_n \quad := \quad \bigwedge_{0 \leq i < j \leq n} \neg(\mathbf{s}_i = \mathbf{s}_{j+1})$$