

# Exercises for the Logic Course

First Order Logic

Course Web Page

<http://www.inf.unibz.it/~artale/DML/dml.htm>

**Computer Science**

Free University of Bozen-Bolzano

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# 1 Exercises

## 1.1 Formalisation

### 1.1.1 Graph properties

Formalise properties of the below graph first using the language with only the binary relation symbol  $R$ , and then using the language with the binary relation symbol  $R$ , and the constant symbols  $c_1$  and  $c_2$ .



### 1.1.2 Program properties (*Homework*)

Write a first-order formula expressing that an array of size 3 is sorted in decreasing order.

**Solution.** A sentence expressing this is

$$\forall i \left( \left( (0 = i \vee 0 < i) \wedge i < 3 \right) \rightarrow \left( arr(i) < arr(s(i)) \right) \right)$$

with  $\mathcal{L} = \langle <; s, arr; 3, 0 \rangle$  where 0 is a constant symbol which stands for 0, 3 is a constant symbol which stands for 3 (the size of the array),  $s$  is a unary function symbol and  $s(i)$  stands for “successor of  $i$ ”,  $arr$  is a unary function symbol and  $arr(i)$  stands for “ $i$ -th element of the array”,  $<$  is a binary relation symbol which stands for the standard linear order over naturals (we wrote  $s < t$  instead of  $<(s, t)$  for keeping the sentence readable).

## 1.2 Substitutions

Consider a language with a constant symbol  $c$ , binary predicate  $r$  and unary predicate  $p$ . Compute the following simultaneous substitutions of the constant symbol for all the *free* occurrences of a variable:

- $\forall y r(x, y)[x/c]$  (read: “substitution of  $c$  for  $x$ ”);
- $\forall x p(x)[x/c]$ ;
- $\forall y (\forall x r(x, y) \wedge r(x, y))[x/c]$ ;
- $(r(x, y) \wedge \forall y r(x, y))[x/c, y/d]$ .

## 1.3 Semantics and Informal Semantic Arguments

### 1.3.1 Model Checking

Read Definition 7.19 in your textbook (p. 137) and Theorem 7.20 (p. 138) for tackling the following exercises.

(1) Consider a first order language with a binary predicate  $r$ , and a unary predicate  $p$ . Consider the interpretation  $\mathcal{J}$  with domain  $\{0, 1\}$ , and

$$p^{\mathcal{J}} = \{0, 1\};$$

$$r^{\mathcal{J}} = \{(0, 0), (0, 1)\}.$$

Check whether  $\mathcal{J}$  is a model or a counter-model of the following three formulae, and justify your answers:

$$\forall x p(x);$$

$$\forall x \exists y r(x, y);$$

$$\exists x \forall y r(x, y).$$

(Visualisation aid: depict the interpretation as a (directed) graph with nodes 0, 1 and  $p^{\mathcal{J}}$  predicating that both nodes are, say, pink coloured. Then check the above properties also pictorially.)

(2) (Homework) Consider the graph on the right and the first-order language with only the binary predicate  $r$ .

- Define in set-theoretic terms the interpretation  $\mathcal{J}$  with: domain equal to the set of nodes of the graph;  $r^{\mathcal{J}}$  equal to the set of edges of the graph.
- Determine which of the following formulas  $\mathcal{J}$  satisfies: (i)  $\exists x \neg r(x, x)$ ; (ii)  $\forall x \exists y (r(x, y) \wedge \neg r(y, x))$ .
- Define a closed formula different than the above two and of which  $\mathcal{J}$  is a model.



Justify your answers.

### 1.3.2 Satisfiability: Model or Countermodel Building

(1) Consider a first-order language with one binary predicate,  $r$ , and one constant,  $c$ . Let  $\varphi$  be the following closed formula:

$$\forall x \exists y (r(x, y) \wedge r(y, c)).$$

Define two interpretations  $\mathcal{J}_1$  and  $\mathcal{J}_2$ , each with underlying domain  $\{0, 1\}$ , such that  $\mathcal{J}_1 \models \varphi$  (i.e.,  $\mathcal{J}_1$  is a model of  $\varphi$ ) and  $\mathcal{J}_2 \not\models \varphi$  (i.e.,  $\mathcal{J}_2$  is a counter-model of  $\varphi$ ).

**Solution.** Take  $\mathcal{J}_1$  and  $\mathcal{J}_2$  as follows: both  $r^{\mathcal{J}_1}$  and  $r^{\mathcal{J}_2}$  are  $\geq$ ;  $c^{\mathcal{J}_1}$  is 0, whereas  $c^{\mathcal{J}_2}$  is 1. Then  $\mathcal{J}_1 \models \varphi$  but  $\mathcal{J}_2 \not\models \varphi$  (prove it as in 1.3.1)

(2) (Homework) Consider the language with one binary predicate,  $r$ . Let  $\varphi$  be the following closed formula:

$$\forall x \exists y (r(x, y) \wedge \forall z (r(y, z) \rightarrow \neg r(x, z))).$$

Define two interpretations  $\mathcal{J}_1$  and  $\mathcal{J}_2$  for  $\varphi$  so that: both have domains equal to the set of all natural numbers,  $\mathbb{N}$ , but  $\mathcal{J}_1$  is a model of  $\varphi$ , and  $\mathcal{J}_2$  is a counter-model of  $\varphi$ .

**Solution.** Define  $r^{\mathcal{J}_1}$  as the successor relation over natural numbers and  $r^{\mathcal{J}_2}$  as  $<$ .

(3) Consider a language with a unary predicate  $p$  and constant  $c$ . Find an interpretation that falsifies (a.k.a., is a countermodel of)  $\exists x p(x) \rightarrow p(c)$ .

### 1.3.3 Validity and Unsatisfiability

Consider a first-order language with at least a constant  $c$  and a predicate  $p$ . Consider the following closed formulae of the language:

1.  $\neg\forall x\varphi \leftrightarrow \exists x\neg\varphi$ ;
2.  $\neg\exists x\varphi \leftrightarrow \forall x\neg\varphi$ ;
3.  $\forall x(\varphi(x) \wedge \psi(x)) \leftrightarrow (\forall x\varphi(x) \wedge \forall x\psi(x))$  (*homework*);
4.  $\exists x(\varphi(x) \vee \psi(x)) \leftrightarrow (\exists x\varphi(x) \vee \exists x\psi(x))$  (*homework*);
5.  $\forall x\varphi \wedge \exists x\neg\varphi$ .

Using an informal semantic argument, prove that (1)–(4) are valid, and (5) is unsatisfiable.

**Solution.** Let us prove that  $\models \forall x(\varphi(x) \wedge \psi(x)) \leftrightarrow (\forall x\varphi(x) \wedge \forall x\psi(x))$ .

This means that, for all interpretations  $\mathcal{J}$ , we have  $\mathcal{J} \models \forall x(\varphi(x) \wedge \psi(x)) \leftrightarrow (\forall x\varphi(x) \wedge \forall x\psi(x))$ , that is,  $\mathcal{J} \models \forall x(\varphi(x) \wedge \psi(x))$  iff  $\mathcal{J} \models \forall x\varphi(x) \wedge \forall x\psi(x)$ . We prove the following claim: (1) we assume  $\mathcal{J} \models \forall x(\varphi(x) \wedge \psi(x))$  and prove  $\mathcal{J} \models \forall x\varphi(x) \wedge \forall x\psi(x)$ ; (2) then we assume  $\mathcal{J} \models \forall x\varphi(x) \wedge \forall x\psi(x)$  and prove  $\mathcal{J} \models \forall x(\varphi(x) \wedge \psi(x))$ .

(1)  $\mathcal{J} \models \forall x(\varphi(x) \wedge \psi(x))$  means that, for every  $d$  in the domain in  $\mathcal{J}$ , we have  $\mathcal{J}, [x/d] \models \varphi(x) \wedge \psi(x)$  (this is a shorthand for: for all assignments  $v$ , we have  $\mathcal{J}, v_{[x \leftarrow d]} \models \varphi(x) \wedge \psi(x)$ ). Therefore  $\mathcal{J}, [x/d] \models \varphi(x)$  and  $\mathcal{J}, [x/d] \models \psi(x)$ . This amounts to saying that, for all  $d$  in the domain of  $\mathcal{J}$ ,  $\mathcal{J} \models \varphi(x)$  and  $\mathcal{J} \models \psi(x)$ , that is,  $\mathcal{J} \models \forall x\varphi(x) \wedge \forall x\psi(x)$ .

(2)  $\mathcal{J} \models \forall x\varphi(x) \wedge \forall x\psi(x)$  means that  $\mathcal{J} \models \forall x\varphi(x)$  and  $\mathcal{J} \models \forall x\psi(x)$ . This means that, for every  $d$  in the domain in  $\mathcal{J}$ , we have  $\mathcal{J}, [x/d] \models \varphi(x)$  and  $\mathcal{J}, [x/d] \models \psi(x)$ . Therefore  $\mathcal{J}, [x/d] \models \varphi(x) \wedge \psi(x)$ , for every  $d$  in the domain in  $\mathcal{J}$ , and hence  $\mathcal{J} \models \forall x(\varphi(x) \wedge \psi(x))$ .

Let us prove that  $\not\models \forall x\varphi(x) \wedge \exists x\neg\varphi(x)$ . We prove a stronger claim, that is, the formula is unsatisfiable: no interpretation satisfies it. We reason by contradiction and assume that there exists  $\mathcal{J}$  so that  $\mathcal{J} \models \forall x\varphi(x) \wedge \exists x\neg\varphi(x)$ . Then  $\mathcal{J} \models \forall x\varphi(x)$  (\*) and  $\mathcal{J} \models \exists x\neg\varphi(x)$  (\*\*). Now, (\*) means that, for every  $d$  in the domain of  $\mathcal{J}$ , we have  $\mathcal{J}, [x/d] \models \varphi(x)$ . Instead (\*\*) means that there exists  $d'$  in the domain of  $\mathcal{J}$  so that  $\mathcal{J}, [x/d'] \models \neg\varphi(x)$ , that is,  $\mathcal{J}, [x/d'] \not\models \varphi(x)$ . Such two statements are contradictory.

## 1.4 The Tableau Calculus and Procedure

### 1.4.1 Scaffolding Exercises

Detect what's wrong in the following tableau procedures, constructed as in the lecture slides, and fix them to prove what is required.

**1** Prove that  $\exists xp(x) \wedge \exists xq(x)$  is satisfiable. What's wrong with the following tableau for proving it? Fix it.

$$\begin{array}{c}
 \exists xp(x) \wedge \exists xq(x) \\
 | \\
 [\exists xp(x)] \\
 [\exists xq(x)] \\
 | \\
 p(c) \\
 | \\
 q(c) \\
 \text{open}
 \end{array}$$

**2** Prove that  $\forall x\varphi(x) \wedge \exists x\neg\varphi(x)$  is unsatisfiable. What's wrong with the following tableau for proving it? Fix it.

$$\begin{array}{c}
 \forall x\varphi(x) \wedge \exists x\neg\varphi(x) \\
 | \\
 \forall x\varphi(x) \\
 [\exists x\neg\varphi(x)] \\
 | \\
 \neg\varphi(d) \\
 | \\
 \varphi(c) \\
 \forall x\varphi(x) \\
 \text{open}
 \end{array}$$

**3** Prove that  $\forall xp(x) \rightarrow \exists xp(x)$  is valid. What's wrong with the following tableau for proving it? Fix it.

$$\begin{array}{c}
 \forall xp(x) \rightarrow \exists xp(x) \equiv \exists x\neg p(x) \vee \exists xp(x) \\
 \swarrow \quad \searrow \\
 \exists x\neg p(x) \quad \exists xp(x) \\
 | \quad \quad | \\
 \neg p(c) \quad p(d) \\
 \text{open} \quad \text{open}
 \end{array}$$

#### 1.4.2 For Satisfiability

**1.** Use the tableau procedure to prove that  $\forall xr(x, x)$  is satisfiable, where  $r$  is a binary predicate symbol. Use the tableau to construct a model for the formula.

**Solution.** We build an **open** tableau for  $\forall xr(x, x)$  for proving this to be satisfiable and defining a model for it.

$$\begin{array}{c}
 \forall xr(x, x) \\
 | \\
 r(\mathbf{a}, \mathbf{a}) \\
 \forall xr(x, x)
 \end{array}$$

A model  $\mathcal{J}$  is given by the (single) open branch: it has domain  $D = \{\mathbf{a}\}$  and  $r^{\mathcal{J}} = \{(\mathbf{a}, \mathbf{a})\}$ .

**2.** Use the tableau procedure to prove that  $\forall x\forall y(r(x, y) \rightarrow r(y, x))$  is satisfiable, where  $r$  is a binary predicate symbol. Use the tableau to construct a model for the formula.

**Solution.** We first transform the formula into *negated normal form* (NNF) and then build an **open** tableau for this to prove that  $\forall x\forall y(r(x, y) \rightarrow r(y, x))$  is satisfiable and define a model for it. The NNF is the equivalent formula  $\forall x\forall y(\neg r(x, y) \vee r(y, x))$ .

$$\begin{array}{c}
\forall x \forall y (\neg r(x, y) \vee r(y, x)) \\
\quad \downarrow \\
\forall y (\neg r(a, y) \vee r(y, a)) \\
\forall x \forall y (\neg r(x, y) \vee r(y, x)) \\
\quad \downarrow \\
[\neg r(a, a) \vee r(a, a)] \\
\forall y (\neg r(a, y) \vee r(y, a)) \\
\quad \swarrow \quad \searrow \\
\neg r(a, a) \quad r(a, a) \\
\text{open} \quad \text{open}
\end{array}$$

The two open branches give two models, both with the same domain  $D = \{\mathbf{a}\}$ ; in one  $r^J = \emptyset$ ; in the other  $r^J = \{(\mathbf{a}, \mathbf{a})\}$ .

### 1.4.3 For Validity

1. Consider the following closed formulae of a first-order language with only predicates and constants. Use tableaux to prove that they are valid.

$$\neg \forall x p(x) \vee \exists x p(x); \tag{1}$$

$$\forall y p(y) \rightarrow \forall x p(x); \tag{2}$$

$$\exists x (p(x) \wedge p'(x)) \rightarrow \exists x p(x); \tag{3}$$

$$\forall x (p(x) \wedge p'(x)) \rightarrow \forall x p(x); \tag{4}$$

$$\forall x (p(x) \wedge p'(x)) \rightarrow \forall x (p(x) \vee p'(x)). \tag{5}$$

**Solution.** To prove that (1) and (2) are **valid**, we first negate them and transform the negated formulae into NNF; we finally build a **closed** tableau for each of the resulting NNF formulae.

$$(1) \neg(\neg \forall x p(x) \vee \exists x p(x)) \equiv \forall x p(x) \wedge \forall x \neg p(x).$$

$$\begin{array}{c}
\forall x p(x) \wedge \forall x \neg p(x) \\
\quad \downarrow \\
\forall x p(x) \\
\forall x \neg p(x) \\
\quad \downarrow \\
p(c) \\
\forall x p(x) \\
\quad \downarrow \\
\neg p(c) \\
\forall x \neg p(x) \\
\text{closed}
\end{array}$$

$$(2) \neg(\forall y p(y) \rightarrow \forall x p(x)) \equiv \forall y p(y) \wedge \exists x \neg p(x).$$

$$\begin{array}{c}
\forall y p(y) \wedge \exists x \neg p(x) \\
| \\
\forall y p(y) \\
[\exists x \neg p(x)] \\
| \\
\forall y p(y) \\
\neg p(c) \\
| \\
p(c) \\
\forall y p(y) \\
\text{closed}
\end{array}$$

2. Consider the following closed formulae of a first-order language with only a binary predicate:  $\varphi$  is  $\forall x r(x, x)$ ,  $\psi$  is  $\forall x \forall y (r(x, y) \rightarrow r(y, x))$ . Using a semantic argument *or* the tableau procedure, verify whether the following two formulae

1.  $\varphi \rightarrow \psi$
2.  $\psi \rightarrow \varphi$

are valid. In case the given formulae are not valid, define counter-models for them.

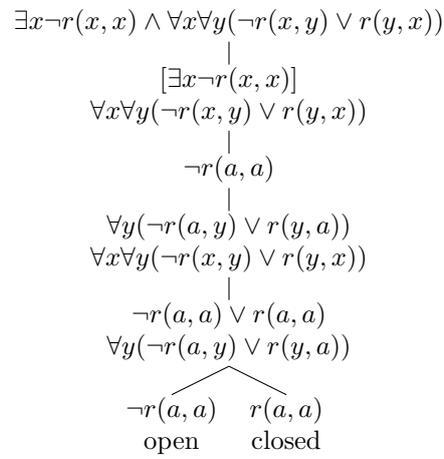
**Solution.** To prove that the given formulae are **not valid**, we negate them and transform the negated formulae into NNF; we finally build an **open** tableau for each of the resulting NNF formulae.

The negation of the first formula is equivalent to  $\forall x r(x, x) \wedge \exists x \exists y (r(x, y) \wedge \neg r(y, x))$ . A tableau for it is as follows:

$$\begin{array}{c}
\forall x r(x, x) \wedge \exists x \exists y (r(x, y) \wedge \neg r(y, x)) \\
| \\
\forall x r(x, x) \\
[\exists x \exists y (r(x, y) \wedge \neg r(y, x))] \\
| \\
[\exists y (r(a, y) \wedge \neg r(y, a))] \\
| \\
[r(a, b) \wedge \neg r(b, a)] \\
| \\
r(a, b) \\
\neg r(b, a) \\
| \\
r(a, a) \\
r(b, b) \\
\forall x r(x, x) \\
\text{open}
\end{array}$$

An interpretation  $\mathcal{J}$  that is a counter-model of the formula is found along the open branch of the tableau:  $r^{\mathcal{J}} = \{(\mathbf{a}, \mathbf{b}), (\mathbf{a}, \mathbf{a}), (\mathbf{b}, \mathbf{b})\}$ .

The negation of the second formula is equivalent to  $\exists x \neg r(x, x) \wedge \forall x \forall y (\neg r(x, y) \vee r(y, x))$ . A tableau for it is as follows:



An interpretation  $\mathcal{J}$  that is a counter-model of the formula is found along the open branch of the tableau:  $r^{\mathcal{J}} = \emptyset$ .