

## Proving languages not to be regular

4.5

Consider:  $L_{\text{alt}} = \{w \mid \text{has alternating 0's and 1's}\}$

$L_{\text{eq}} = \{w \mid \text{has an equal number of 0's and 1's}\}$

• Claim:  $L_{\text{alt}}$  is regular

Proof: easy  $E_{\text{alt}} = (\epsilon + 0)(1 \cdot 0)^*(\epsilon + 1)$  is such that  $\mathcal{L}(E_{\text{alt}}) = L_{\text{alt}}$

• Claim:  $L_{\text{eq}}$  is not regular

How can we prove this?

Intuition: • DFA with  $n$  states can count up to  $n$ .

• to decide whether  $w \in L_{\text{eq}}$  we need unbounded counting (since  $w$  may be arbitrarily long)

### Pumping Lemma:

For all regular languages  $L \subseteq \Sigma^*$

• there exists  $n$  (which depends on  $L$ ) such that

• for all  $w \in L$  with  $|w| \geq n$

• there exists a decomposition  $w = xyz$  of  $w$  s.t.

1)  $|y| \geq 1$  (i.e.,  $y \neq \epsilon$ )

2)  $|x \cdot y| \leq n$

3) for all  $k \geq 0$ ,  $x y^k z \in L$ .

Intuitively, for every  $w \in L$ , we can find a substring  $y$  "near" the beginning of  $w$  that can be "pumped", while still obtaining words in  $L$ .

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 Proof:

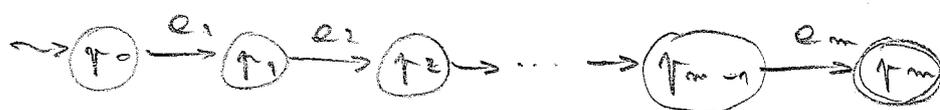
Given regular language  $L$ , let  $A = (Q, \Sigma, \delta, q_0, F)$  be a DFA with  $\mathcal{L}(A) = L$ .

We take  $n = |Q|$ .

Consider any  $w = e_1 e_2 \dots e_m \in L$  with  $m = |w| \geq n$ .

Since  $w \in \mathcal{L}(A)$ , we have that  $\hat{\delta}(q_0, w) \in F$ .

Define  $r_i = \hat{\delta}(q_0, e_1 e_2 \dots e_i) \quad \forall i \in \{1, \dots, m\}$  and  $r_0 = q_0$



Since  $m \geq n$ ,

- each  $r_i, 0 \leq i \leq m$  belongs to  $Q$ , and

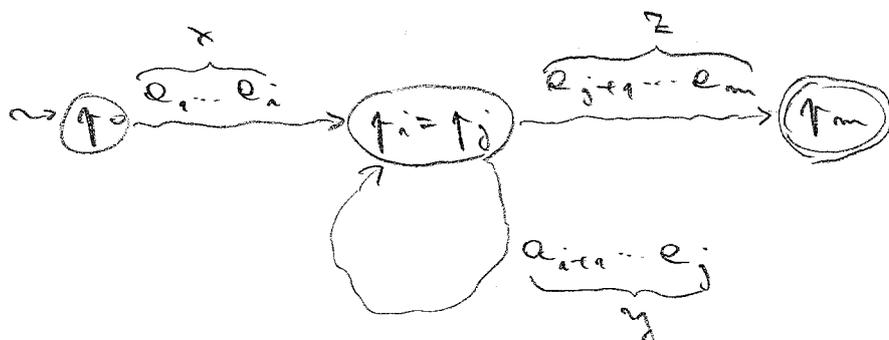
- $|Q| = n$

by the pigeon-hole principle,  $r_0, r_1, \dots, r_m$  are not all distinct

Let  $i, j$  with  $0 \leq i < j \leq m$  be the best indices such that

$$r_i = r_j$$

Hence, to accept  $w$ , the DFA goes through a cycle:



$$\hat{\delta}(q_0, x) = r_i$$

$$\hat{\delta}(r_i, y) = r_i$$

$$\hat{\delta}(r_i, z) = r_m$$

Observe:  $|y| = j - i \geq 1$  (since  $i < j$ )

- $|xy| = j \leq m$

$$\hat{\delta}(q_0, xy^k z) = \hat{\delta}(\hat{\delta}(q_0, x), y^k z) = \hat{\delta}(r_i, y^k z) = \hat{\delta}(\hat{\delta}(r_i, y), y^{k-1} z)$$

$$= \hat{\delta}(r_i, y^{k-1} z) = \dots = \hat{\delta}(r_i, z) = r_m \in F \Rightarrow xy^k z \in L$$

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 END

q.e.d.